

Fill in this information to identify the case:

Debtor Achaggen, Inc.

United States Bankruptcy Court for the District of Delaware

Case number 19-10844

Official Form 410
Proof of Claim

04/19

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Other than a claim under 11 U.S.C. § 503(b)(9), this form should not be used to make a claim for an administrative expense arising after the commencement of the case.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed.

Part 1: Identify the Claim

1. Who is the current creditor? Alesha R Halfhide
Name of the current creditor (the person or entity to be paid for this claim)
Other names the creditor used with the debtor _____

2. Has this claim been acquired from someone else?
 No
 Yes. From whom? _____

3. Where should notices and payments to the creditor be sent?
Federal Rule of Bankruptcy Procedure (FRBP) 2009 (g)
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Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Name: <u>Alesha R Halfhide</u>	Name: <u>Same</u>
Number: <u>4003</u> Street: <u>Panorama Drive</u>	Number: <u>Same</u> Street: <u>Same</u>
City: <u>Hutchinson</u> State: <u>KS</u> ZIP Code: <u>67502</u>	City: <u>Same</u> State: <u>Same</u> ZIP Code: <u>Same</u>
Country: <u>USA</u>	Country: <u>Same</u>
Contact phone: <u>620 755 2989</u>	Contact phone: <u>Same</u>
Contact email: <u>ba_half1@gmail.com</u>	Contact email: <u>Same</u>

Electronic claim identifier for electronic payments in chapter 13 (if you use one): _____

4. Does this claim amend one already filed?
 No
 Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim?
 No
 Yes. Who made the earlier filing? _____



Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: _____

7. How much is the claim? \$ 5842.95 Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card. Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c). Limit disclosing information that is entitled to privacy, such as health care information.

Equity purchase / loss

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature of property:
 Real estate: If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____

Basis for perfection: _____
 Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____

Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amount should match the amount in line 7.)

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Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____%

Fixed

Variable

10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check all that apply:

Amount entitled to priority

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). \$ _____

Up to \$3,025* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7). \$ _____

Wages, salaries, or commissions (up to \$13,650* earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4). \$ _____

Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8). \$ _____

Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5). \$ _____

Other. Specify subsection of 11 U.S.C. § 507(a)() that applies. \$ _____

* Amounts are subject to adjustment on 4/01/22 and every 3 years after that for cases begun on or after the date of adjustment.

13. Is all or part of the claim pursuant to 11 U.S.C. § 503(b)(9)?

No

Yes. Indicate the amount of your claim arising from the value of any goods received by the debtor within 20 days before the date of commencement of the above case, in which the goods have been sold to the Debtor in the ordinary course of such Debtor's business. Attach documentation supporting such claim.

\$ _____

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this Proof of Claim serves as an acknowledgement that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this Proof of Claim and have reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 07 07 2019
MM / DD / YYYY

Alesha R. Halfhide
Signature

Print the name of the person who is completing and signing this claim:

Name Alesha Range Halfhide
First name Middle name Last name

Title _____

Company _____
Identify the corporate servicer as the company if the authorized agent is a servicer.

Address 4003 Penotama Drive
Number Street
Hutchinson KS 67502 USA
City State ZIP Code Country

Contact phone 620 255 2989 Email bahalf1@gmail.com

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ACHAOGEN, INC. Corporate Action Notice

USA MUTUALS <id@proxyvote.com>
Reply-To: USA MUTUALS <registrar@proxyvote.com>
To: bahalf1@gmail.com

Thu, Jun 27, 2019 at 3:09 AM

CORPORATE ACTION COMMUNICATION

This is information regarding a/an BANKRUPTCY W/ PROOF OF CLAIM
for ACHAOGEN, INC.

HOW To Instruct



August 5, 2019
Instruct By August 1, 2019



Call Your Financial Institution
USA MUTUALS

CUSIP: 004449104
Job Number: E14110

Account Number: ***3744**
Shares: 401.000000
Control Number: 8426950024716694

You have elected to receive shareholder communications via the internet.

This email contains information specific to your holding(s) in the security mentioned above. If you have any questions pertaining to this notice, please contact your financial institution.

Please refer to the material for instructions on how to participate and/or any pertinent processing deadlines.

You can view the information about this corporate action event by following the link(s) below:

Important
Materials
Offer



Select account

[Redacted]	#	[Redacted]
[Redacted]	#	[Redacted]
[Redacted] 6752	#	---3744
[Redacted]	#	[Redacted]
[Redacted]	#	[Redacted]
[Redacted]	#	[Redacted]

[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	Link/Add Acct
ash alts	Avail for withdrawal	Total positions val	Avail funds for trading	Nonmarginable funds	[Redacted]	[Redacted]

[Link accounts](#)

[Edit nickname](#)

[Balances](#) [Order status](#) [Transaction history](#) [Cost Basis](#)

Positions									
Symbol	Qty	Asset	Last	Cost	Mkt value*	Day gain (\$)*	Day gain (%)*	Gain (\$)*	Gain (%)*
AKAQO	401	Equity	0.0165	5,842.95	6.62	0.00	0.00%	-5,836.33	-99.89%
[Redacted]	[Redacted]	Equity	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted]	[Redacted]	Equity	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted]	[Redacted]	Equity	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted]	[Redacted]	Equity	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted]	[Redacted]	Equity	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]
[Redacted]	[Redacted]	Equity	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]	[Redacted]

*Most data is streaming, but values marked with * update every 5 minutes. 11:40:31 am ET 7/7/19 [Update now](#)

Cash & Cash Alternatives			
Description	Mkt value*	Day gain (\$)*	Accrued interest (\$)¹
Cash	[Redacted]	[Redacted]	[Redacted]
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	[Redacted]	[Redacted]	[Redacted] View rate schedule

*Most data is streaming, but values marked with * update every 5 minutes. 11:40:31 am ET 7/7/19 [Update now](#)

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Quotes may be delayed up to 15 minutes. If you see delayed quotes, it might be because you haven't signed the exchange agreements, which is required in order to receive streaming data. To sign the agreements, go to [My Profile](#).

Indicates non-standard option

Indicates options that are in-the-money

¹Interest is accrued daily and credited to your account on a monthly basis. This is accrued interest month-to-date that has not yet been paid; however, this money can be withdrawn or used to buy securities.

The "cash alternatives" balance is the interest- or dividend-earning cash you hold in a sweep vehicle; this money can be withdrawn or used to buy securities. This balance also includes accrued interest that will be