

Troy D. Greenfield, OSB #892534  
 Email: tgreenfield@schwabe.com  
 Joel A. Parker, OSB #001633  
 Email: jparker@schwabe.com  
 Jeffrey S. Eden, OSB #851903  
 Email: jeden@schwabe.com  
 Alex I. Poust, OSB #925155  
 Email: apoust@schwabe.com  
 Schwabe, Williamson & Wyatt, P.C.  
 Pacwest Center  
 1211 SW 5th Ave., Suite 1900  
 Portland, OR 97204  
 Telephone: 503.222.9981  
 Facsimile: 503.796.2900

Ivan B. Knauer (Admitted *Pro Hac Vice*)  
 Email: knaueri@pepperlaw.com  
 Brian M. Nichilo (Admitted *Pro Hac Vice*)  
 Email: nichilob@pepperlaw.com  
 Pepper Hamilton, LLP  
 600 14<sup>th</sup> Street, NW, Suite 500  
 Washington, DC 20005  
 Telephone: 202. 220.1219  
 Facsimile: 202. 220.1665

Attorneys for the Receiver for Defendants  
 AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS,  
 LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS  
 CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT  
 MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT  
 FOR THE DISTRICT OF OREGON  
 PORTLAND DIVISION

SECURITIES AND EXCHANGE  
 COMMISSION,

Plaintiff,

v.

No. 3:16-cv-00438-PK

~~PROPOSED~~ ORDER AUTHORIZING  
 BRAD FOSTER AND LARISSA  
 GOTGUELF TO ACT ON BEHALF OF  
 RECEIVER



AEQUITAS MANAGEMENT, LLC;  
AEQUITAS HOLDINGS, LLC;  
AEQUITAS COMMERCIAL FINANCE,  
LLC; AEQUITAS CAPITAL  
MANAGEMENT, INC.; AEQUITAS  
INVESTMENT MANAGEMENT, LLC;  
ROBERT J. JESENİK, BRIAN A. OLIVER;  
and N. SCOTT GILLIS,

Defendants.

This matter having come before the Honorable Paul Papak on the Receiver's Motion for an Order Authorizing Brad Foster and Larissa Gotguelf to Act on Behalf of Receiver [Dkt. 240] (the "Motion"), and the Court, being fully advised in the premises, now, therefore,

IT IS HEREBY ORDERED as follows.

1. The Motion is granted in its entirety.
2. Brad Foster and Larissa Gotguelf, and each of them, are authorized to act for and on behalf of the Receiver with respect to the powers and duties of the Receiver provided in the Order Appointing Receiver entered April 14, 2016 [Dkt. 156]; provided, however, that such acts shall only be undertaken with the prior written consent of the Receiver.

Dated this 30<sup>th</sup> day of August, 2016.



United States Magistrate Judge Paul Papak

///  
///  
///  
///

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Alex I. Poust, OSB #925155

Troy D. Greenfield, OSB #892534

[tgreenfield@schwabe.com](mailto:tgreenfield@schwabe.com)

Joel A. Parker, OSB #001633

[jparker@schwabe.com](mailto:jparker@schwabe.com)

Jeffrey S. Eden, OSB #851903

[jeden@schwabe.com](mailto:jeden@schwabe.com)

Alex I. Poust, OSB #925155

[apoust@schwabe.com](mailto:apoust@schwabe.com)

Telephone: 503.222.9981

Facsimile: 503.796.2900

Ivan B. Knauer (Admitted *Pro Hac Vice*)

[knaueri@pepperlaw.com](mailto:knaueri@pepperlaw.com)

Brian M. Nichilo (Admitted *Pro Hac Vice*)

[nichilob@pepperlaw.com](mailto:nichilob@pepperlaw.com)

PEPPER HAMILTON LLP

600 Fourteenth Street, N.W.

Washington, DC 2005

Tel: (202) 220-1665

Attorneys for the Receiver for Defendants  
Aequitas Management, LLC, Aequitas  
Holdings, LLC, Aequitas Commercial Finance,  
LLC, Aequitas Capital Management, Inc., and  
Aequitas Investment Management, LLC