

**UNITED STATES DISTRICT COURT
DISTRICT OF OREGON**

Securities and Exchange Commission,

Plaintiff(s),

v.

Aequitas Management, LLC, et al.,

Defendant(s).

Civil Case No. 3:16-cv-00438-PK

APPLICATION FOR SPECIAL
ADMISSION – *PRO HAC VICE*

Attorney Henry P. Baer, Jr. requests special admission *pro hac vice* in
the above-captioned case.

Certification of Attorney Seeking *Pro Hac Vice* Admission: I have read and understand the
requirements of LR 83-3, and certify that the following information is correct:

(1) PERSONAL DATA:

Name: Baer Henry P. Jr.
(Last Name) (First Name) (MI) (Suffix)
 Firm or Business Affiliation: Finn Dixon & Herling LLP
 Mailing Address: Six Landmark Square, Suite 600
 City: Stamford State: CT Zip: 06901
 Phone Number: 203-325-5000 Fax Number: 203-325-5001
 Business E-mail Address: hbaer@fdh.com



(2) BAR ADMISSIONS INFORMATION:

- (a) State bar admission(s), date(s) of admission, and bar ID number(s):

Connecticut State Court, 11/6/2009, 430328

New York State Court, 1998, 2884609

- (b) Other federal court admission(s), date(s) of admission, and bar ID number(s):

United States District Court, District of Connecticut, 9/2/08, ct27781

United States District Court, SDNY, 6/30/98, HB3866

(3) CERTIFICATION OF DISCIPLINARY ACTIONS:

- (a) ☒ I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association; or
- (b) ☐ I am now or have been subject to disciplinary action from a state or federal bar association. (See attached letter of explanation.)

(4) CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:

Per LR 83-3(a)(3), I have professional liability insurance, or financial responsibility equivalent to liability insurance, that meets the insurance requirements of the Oregon State Bar for attorneys practicing in this District, and that will apply and remain in force for the duration of the case, including any appeal proceedings.

(5) REPRESENTATION STATEMENT:


I am representing the following party(s) in this case:

Compass Partners International II L P

(6) CM/ECF REGISTRATION:

Concurrent with approval of this *pro hac vice* application, I acknowledge that I will become a registered user of the Court's Case Management/Electronic Case File system. (See the Court's website at ord.uscourts.gov), and I consent to electronic service pursuant to Fed. R. Civ. P 5(b)(2)(E) and the Local Rules of the District of Oregon.

DATED this 19th day of January, 2017



(Signature of Pro Hac Counsel)

Henry P. Baer, Jr.

(Typed Name)

CERTIFICATION OF ASSOCIATED LOCAL COUNSEL:

I certify that I am a member in good standing of the bar of this Court, that I have read and understand the requirements of LR 83-3, and that I will serve as designated local counsel in this particular case.

DATED this 19th day of January, 2017

/s/ Nicholas J. Henderson

(Signature of Local Counsel)

Name: Henderson Nicholas J
(Last Name) (First Name) (MI) (Suffix)

Oregon State Bar Number: 074027

Firm or Business Affiliation: Motschenbacher & Blattner, LLP

Mailing Address: 117 SW Taylor Street, Suite 300

City: Portland State: OR Zip: 97204

Phone Number: 503-417-0508 Business E-mail Address: nhenderson@portlaw.com

COURT ACTION

☐ Application approved subject to payment of fees.

☐ Application denied.

DATED this _____ day of _____, _____

Judge