

UNITED STATES DISTRICT COURT  
DISTRICT OF OREGONCivil Case No. 3-16-cv-438(PK)Securities and Exchange Commission  
Plaintiff(s),APPLICATION FOR SPECIAL  
ADMISSION - *PRO HAC VICE*

v.

Aequitas Management, LLC, et. al  
Defendant(s).

Attorney Arthur Newbold requests special admission *pro hac vice* in  
the above-captioned case.

**Certification of Attorney Seeking *Pro Hac Vice* Admission:** I have read and understand the  
requirements of LR 83-3, and certify that the following information is correct:

## (1) PERSONAL DATA:

Name: Newbold Arthur  
(Last Name) (First Name) (MI) (Suffix)

Firm or Business Affiliation: Dechert LLP

Mailing Address: 2929 Arch Street

City: Philadelphia State: PA Zip: 19104

Phone Number: (215) 994-2624 Fax Number: (215) 994-2222

Business E-mail Address: arthur.newbold@dechert.com



(2) **BAR ADMISSIONS INFORMATION:**

- (a) State bar admission(s), date(s) of admission, and bar ID number(s):

Pennsylvania, Nov. 1967, 03119

- (b) Other federal court admission(s), date(s) of admission, and bar ID number(s):

U.S. Supreme Court, Aug. 1981; U.S. Court of Appeals - Third  
Cir., Dec. 1969; Fourth Cir., Nov. 1976; Sixth Cir., Nov 1994;  
E.D.P.A., Dec. 1967; M.D.P.A., Aug. 1983; D. Colo., Mar. 2004

(3) **CERTIFICATION OF DISCIPLINARY ACTIONS:**

- (a) ☒ I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association; or
- (b) ☐ I am now or have been subject to disciplinary action from a state or federal bar association. (See attached letter of explanation.)

(4) **CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:**

Per LR 83-3(a)(3), I have professional liability insurance, or financial responsibility equivalent to liability insurance, that meets the insurance requirements of the Oregon State Bar for attorneys practicing in this District, and that will apply and remain in force for the duration of the case, including any appeal proceedings.

(5) **REPRESENTATION STATEMENT:**

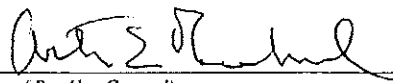
I am representing the following party(s) in this case:

Olaf Janke

## (6) CM/ECF REGISTRATION:

Concurrent with approval of this *pro hac vice* application, I acknowledge that I will become a registered user of the Court's Case Management/Electronic Case File system. (See the Court's website at [ord.uscourts.gov](http://ord.uscourts.gov)), and I consent to electronic service pursuant to Fed. R. Civ. P 5(b)(2)(E) and the Local Rules of the District of Oregon.

DATED this 11<sup>th</sup> day of April, 2017

  
(Signature of Pro Hac Counsel)

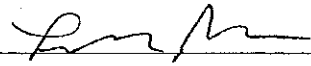
Arthur Newbold

(Typed Name)

## CERTIFICATION OF ASSOCIATED LOCAL COUNSEL:

I certify that I am a member in good standing of the bar of this Court, that I have read and understand the requirements of LR 83-3, and that I will serve as designated local counsel in this particular case.

DATED this 14<sup>th</sup> day of April, 2017

  
(Signature of Local Counsel)

Name: Bauman Lori I.  
(Last Name) (First Name) (MI) (Suffix)

Oregon State Bar Number: 871617

Firm or Business Affiliation: Ater Wynne LLP

Mailing Address: 1331 NW Lovejoy Street, Suite 900

City: Portland State: OR Zip: 97209

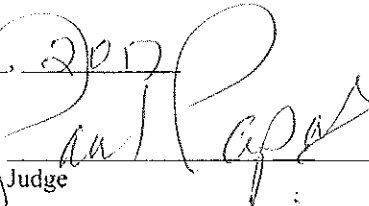
Phone Number: (503) 226-1191 Business E-mail Address: lib@aterwynne.com

## COURT ACTION

☒ Application approved subject to payment of fees.

☐ Application denied.

DATED this 17<sup>th</sup> day of April, 2017

  
Judge