

**UNITED STATES DISTRICT COURT
DISTRICT OF OREGON**

SECURITIES AND EXCHANGE COMMISSION

Plaintiff(s),

v.

AEQUITAS MANAGEMENT, LLC, et al.

Defendant(s).

Civil Case No. 3:16-cv-00438-PK

**APPLICATION FOR SPECIAL
ADMISSION – PRO HAC VICE**

Attorney DAREN A. LUMA requests special admission *pro hac vice* in
the above-captioned case.

Certification of Attorney Seeking Pro Hac Vice Admission: I have read and understand the
requirements of LR 83-3, and certify that the following information is correct:

(1) PERSONAL DATA:

Name: LUMA DAREN A.
(Last Name) (First Name) (MI) (Suffix)
Firm or Business Affiliation: BEUGELMANS, LLP
Mailing Address: 80 BROAD STREET, SUITE 1302
City: NEW YORK State: NY Zip: 10004
Phone Number: (646) 350-0050 Fax Number: (646) 304-6897
Business E-mail Address: dluma@beugelmans.com



(2) BAR ADMISSIONS INFORMATION:

- (a) State bar admission(s), date(s) of admission, and bar ID number(s):

New York, 3/12/97) 2792901;

Massachusetts (11/5/97) 633750

- (b) Other federal court admission(s), date(s) of admission, and bar ID number(s):

SDNY (12/28/98); EDNY (1/15/99); NDNY (6/10/15) DL0027

(3) CERTIFICATION OF DISCIPLINARY ACTIONS:

- (a) ☒ I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association; or
- (b) ☐ I am now or have been subject to disciplinary action from a state or federal bar association. (See attached letter of explanation.)

(4) CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:

Per LR 83-3(a)(3), I have professional liability insurance, or financial responsibility equivalent to liability insurance, that meets the insurance requirements of the Oregon State Bar for attorneys practicing in this District, and that will apply and remain in force for the duration of the case, including any appeal proceedings.

(5) REPRESENTATION STATEMENT:

I am representing the following party(s) in this case:

Rahel Abraham, Virginia Adams, Richard Ader, Paul and Ernestine Allen, Peter Anderson and Susan Roeseler, Karl Balzer and Anne Hadley, Emma and James Barber, Steven Beaird, Gregory and Barbara Bergere, Muraldharan Bhoopathy and Amudha Sundaramurthy, et al.

(6) CM/ECF REGISTRATION:

Concurrent with approval of this *pro hac vice* application, I acknowledge that I will become a registered user of the Court's Case Management/Electronic Case File system. (See the Court's website at ord.uscourts.gov), and I consent to electronic service pursuant to Fed. R. Civ. P 5(b)(2)(E) and the Local Rules of the District of Oregon.

DATED this 5th day of December, 2016



(Signature of Pro Hac Counsel)

DAREN A. LUMA
(Typed Name)

CERTIFICATION OF ASSOCIATED LOCAL COUNSEL:

I certify that I am a member in good standing of the bar of this Court, that I have read and understand the requirements of LR 83-3, and that I will serve as designated local counsel in this particular case.

DATED this 23rd day of February 2017



(Signature of Local Counsel)

Name: Johnson Leslie S.
(Last Name) (First Name) (MI) (Suffix)

Oregon State Bar Number: _____

Firm or Business Affiliation: Kent & Johnson, LLP

Mailing Address: 205 SE Spokane St., #300

City: Portland State: OR Zip: 97202

Phone Number: (503) 220-0717 Business E-mail Address: johnson@kentlaw.com

COURT ACTION

- ☐ Application approved subject to payment of fees.
☐ Application denied.

DATED this _____ day of _____, _____

Judge