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UNITED STATES DISTRICT COURT
DISTRICT OF OREGON
PORTLAND DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Case No. 3:16-CV-00438-PK

Plaintiff,

vs.

AEQUITAS MANAGEMENT, LLC; AEQUITAS
HOLDINGS, LLC; AEQUITAS COMMERCIAL
FINANCE, LLC; AEQUITAS CAPITAL
MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC; ROBERT J. JESENİK;
BRIAN A. OLIVER; and N. SCOTT GILLIS,

Defendants.

**DECLARATION OF BERNARD B.
SMYTH IN SUPPORT OF
PLAINTIFF SECURITIES AND
EXCHANGE COMMISSION'S
OPPOSITION TO INDIVIDUAL
DEFENDANTS' MOTION FOR
PROTECTIVE ORDER**



DECLARATION OF BERNARD B. SMYTH

I, Bernard B. Smyth, declare:

1. I am an attorney duly admitted to practice in the State of California. I am Senior Counsel in the San Francisco Regional Office of the United States Securities and Exchange Commission (the “Commission”), the plaintiff in the above-captioned matter. I am one of the attorneys who has primary responsibility for investigating and litigating this matter. I have custody of the Commission’s file concerning this matter, including the documents described below. I have personal knowledge of the facts set forth herein, and if called as a witness, I could and would competently testify as follows:

2. Since the filing of its complaint in this action, the Commission has sought substantial numbers of documents from the receiver appointed over the Aequitas entities. Among the documents the Commission has sought from the receiver, are the email boxes for the three individual defendants in this action – Robert J. Jesenik, Brian A. Oliver, and N. Scott Gillis (collectively, “Defendants”) – for the period January 1, 2014 through March 30, 2016. The Commission requested those documents shortly after the action was filed and followed up that request with a formal document request dated January 31, 2017. Attached as Exhibit 1 is a true and correct copy of the Commission’s First Request for Production of Documents to Defendant Receivership Entities.

3. The Commission initially learned through counsel for the receiver that, although they could not articulate the nature of any potential privileges, Defendants believed that they might have individual privilege claims over certain documents within their email boxes. Defendants requested the right to review all emails in their email boxes in which an attorney was a party to the communication, including all emails in which an Aequitas’ in-house attorney was included, prior to the production of such emails.

4. The receiver and the Commission agreed to allow the Defendants the review they requested. The receiver provided Defendants with any emails within their email boxes to which an attorney was a party in November 2016.

5. After several meet-and-confer conferences between the Commission and Defendants, Defendants agreed to mark any documents over which they intended to assert privilege by February 28, 2017 and to provide a privilege log identifying any such documents to the Commission by March 15, 2017. Consistent with that agreement, on February 28, 2017, Defendants identified to the receiver what documents they believed might be subject to their individual privilege or a joint privilege held by them and Aequitas.

6. On March 15, 2017, Defendants provided the Commission with their initial privilege logs. Those logs identified thousands of documents over which Defendants asserted either an individual privilege or a joint defense privilege.

7. Defendants and the Commission engaged in at least five meet-and-confer calls – on March 17, 2017, March 24, 2017, March 31, 2017, April 10, 2017, and April 18, 2017 – regarding Defendants privilege assertions. During the course of each of those meet and confer calls, the Commission requested factual and legal support for Defendants privilege claims, including support for their claim that certain communications were made pursuant to a joint defense arrangement. Despite the Commission’s repeated requests, during the meet-and-confer process, Defendants never provided to the Commission the documents attached as Exhibits D, E, G, J, K, and L to the Declaration of Jeffrey F. Robertson (Dkt. No. 429).

8. During the meet-and-confer process, the Commission repeatedly informed Defendants that Robert Holmen, Aequitas’ former General Counsel, reviewed communications to which he was a party but over which Defendants asserted privilege. The Commission informed Defendants that based on his review of the documents, Mr. Holmen did not believe that any of the communications were made in furtherance of a joint defense effort and that he was prepared to sign a declaration to that effect. On that basis, the Commission informed Defendants

that the Commission did not believe Defendants' privilege assertions over those communications was valid. The Commission repeatedly requested Defendants to provide facts to address Mr. Holmen's position, but received none.

9. At the conclusion of the meet-and-confer process, the Commission agreed not to pursue numerous documents over which Defendants claimed privilege, including numerous documents that the Commission believed it had a strong legal argument were not privileged, and Defendants withdrew their privilege assertions over numerous documents they initially identified as privileged. The parties narrowed the scope of their disagreement regarding Defendants' privilege assertions to those documents over which Defendants claimed a joint defense privilege related to the SEC investigation, but in which no outside counsel was a party to the communication. The specific documents still in dispute are identified on the privilege logs attached as Exhibits A, B, and C to the Declaration of Jeffrey F. Robertson. In addition, there are two documents that are still in dispute that are not identified on the privilege logs attached to Mr. Robertson's declaration. Those documents are identified by the control numbers LD01021470 and LD01021475 on page 42 of the privilege log attached as Exhibit 2 to this declaration.

10. On April 14, 2017, Defendants provided revised privilege logs that identified the documents over which they continued to assert privilege. Their revised privilege logs highlight those documents where the Commission contested Defendants' privilege assertions. Documents that are not highlighted are those that continue to be withheld subject to the Defendants' privilege assertions and are not in dispute.

11. Attached as Exhibit 2 is a true and correct copy of Robert Jesenik's April 14, 2017 privilege log. That log identifies approximately 734 documents that are being withheld on the basis of a privilege claim that the Commission does not challenge, 93 of which are withheld on the basis of the joint defense arrangement that is the subject of Defendants' motion for protective order.

12. Attached as Exhibit 3 is a true and correct copy of Brian Oliver's April 14, 2017 privilege log. That log identifies approximately 135 documents that are being withheld on the basis of a privilege claim that the Commission does not challenge, 5 of which are withheld on the basis of the joint defense arrangement that is the subject of Defendants' motion for protective order.

13. Attached as Exhibit 4 is a true and correct copy of N. Scott Gillis' April 14, 2017 privilege log. That log identifies approximately 6 documents that are being withheld on the basis of a privilege claim that the Commission does not challenge.

14. Attached as Exhibit 5 is a true and correct copy of the transcript of the February 27, 2017 hearing in *Ciuffitelli v. Deloitte & Touche LLP* (Case No. 3:16-cv-00580-AC).

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and that this Declaration was signed in San Francisco, California on May 10, 2017.

/s/ Bernard B. Smyth
BERNARD B. SMYTH

Exhibit 1
to
Smyth Declaration

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UNITED STATES DISTRICT COURT
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HOLDINGS, LLC; AEQUITAS COMMERCIAL
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MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC; ROBERT J. JESENİK;
BRIAN A. OLIVER; and N. SCOTT GILLIS,

Defendants.

Case No. 3:16-CV-00438-PK

SECURITIES AND EXCHANGE
COMMISSION'S FIRST REQUEST
FOR PRODUCTION OF DOCUMENTS
TO DEFENDANT RECEIVERSHIP
ENTITIES

PROPOUNDING PARTY: Securities and Exchange Commission

RESPONDING PARTY: Defendants Aequitas Management, LLC; Aequitas Holdings, LLC;
Aequitas Commercial Finance, LLC; Aequitas Capital
Management, Inc.; Aequitas Investment Management, LLC
("Receivership Entities")

SET NUMBER: One

SEC's Req. For Production (First) to
Def. Receivership Entities

Pursuant to Rules 26 and 34 of the Federal Rules of Civil Procedure, plaintiff Securities and Exchange Commission (“Commission”) hereby propounds its First Request for Production of Documents to defendant Receivership Entities and requests that defendants respond and permit inspection and copying within 30 days. Inspection and copying shall take place at the offices of the Securities and Exchange Commission, 44 Montgomery Street, Suite 2800, San Francisco, California, 94104.

A. DEFINITIONS AND INSTRUCTIONS

1. The terms “you” and “your” refer to defendant Receivership Entities, agents, trustees, custodians, attorneys, accountants, representatives, or other persons acting under his direction or control or purporting to act on his behalf.

2. The term “Aequitas,” means the entity doing business under the name Aequitas Management, LLC including parents, subsidiaries, affiliates, predecessors, successors, officers, directors, employees, agents, general partners, limited partners, partnerships and aliases, code names, or trade or business names used by any of the foregoing.

3. The terms “document” and “documents,” as used in these requests, should be given the same broad meaning as provided under Rule 34(a) of the Federal Rules of Civil Procedure.

4. The terms “thing” or “tangible thing,” as used in these requests, should be given the same broad meaning as provided under Rule 34(a) of the Federal Rules of Civil Procedure.

5. The term “electronically stored information,” as used in these requests, should be given the same broad meaning as provided under Rule 34(a) of the Federal Rules of Civil Procedure.

6. If any privilege or protection is claimed as to any requested document, tangible thing, or electronically stored information (including any portion thereof), for each such document, tangible thing, or electronically stored information identify: (1) the nature of the privilege, the basis for the privilege or protection asserted, and the individual(s) and entity or entities who claim to hold the privilege; (2) the type of document, tangible thing, or electronically stored information; (3) the date of creation; (4) each person who prepared and each person who signed it; (5) each person who received it or a copy; and (6) the present location of the document, tangible thing, or electronically stored information. Where the claim of privilege or protection is made as to a portion of a document, tangible thing, or electronically stored information, produce the remainder not subject to the claim.

7. If any requested document, tangible thing, or electronically stored information has been lost, destroyed or disposed of, prepare a list identifying each such document as precisely as possible, providing without limitation for each such document: (1) a complete description of the contents, the date, and each person who created or received the original or a copy of the document, tangible thing, or electronically stored information; (2) the date, manner, and reasons for the loss or disposal and the persons responsible for, and the persons involved in, the loss or disposal; (3) identification of each person now in possession of the original or a copy of the document, tangible thing, or electronically stored information

B. REQUESTS FOR PRODUCTION

REQUEST FOR PRODUCTION NO. 1:

Produce all emails, including attachments, for which the following individuals were custodian, for the period January 1, 2014 through March 30, 2016:

- a. Robert Jesenik

- b. Brian Oliver
- c. N. Scott Gillis
- d. Olaf Janke
- e. Andrew MacRitchie

REQUEST FOR PRODUCTION NO. 2:

Produce all documents and other electronically stored information preserved at the time the Receivership was entered on the hard drives of computers over which the following individuals were custodian:

- a. Robert Jesenik
- b. Brian Oliver
- c. N. Scott Gillis
- d. Olaf Janke
- e. Andrew MacRitchie

REQUEST FOR PRODUCTION NO. 3:

Produce all joint defense agreements, common interest agreements, or similar agreements entered into by Aequitas, Robert Jesenik, Brian Oliver, and/or N. Scott Gillis in effect during the period January 1, 2014 through the present.

REQUEST FOR PRODUCTION NO. 4:

Produce all engagement letters entered into between Aequitas and any attorney for engagements in effect during the period January 1, 2014 through March 10, 2016.

REQUEST FOR PRODUCTION NO. 5:

Produce all employment agreements for any in-house legal counsel employed by Aequitas during the period January 1, 2014 through March 10, 2016.

Dated: January 31, 2017

/s/ Bernard B. Smyth

Sheila E. O'Callaghan

Wade Rhyne

Bernard B. Smyth

SECURITIES AND EXCHANGE COMMISSION

44 Montgomery Street, Suite 2800

San Francisco, CA 94104

(415) 705-2500

Exhibit 2
to
Smyth Declaration

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK
Robert Jesenik Privilege Log

Control Number ID	Privilege Status (Jesenik)	Privilege Designation (Jesenik)	Sort Date	Email From	Email To	Email CC	Descriptions
LD00054445	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/26/2014 8:57	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00054570	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/28/2014 13:14	Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob(bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Janke, Olaf(ojanke@aequitascapital.com) <ojanke@aequitascapital.com>; ChristopherPallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00057201	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/26/2014 12:41	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00057202	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/26/2014 12:42	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00057205	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/26/2014 12:47	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00057352	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/26/2014 17:30	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Brasch, Warren (wbrasch@aequitascapital.com) <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00057354	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/26/2014 17:32	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00057395	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/28/2014 17:08	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>;	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Lee, Jason (jlee@aequitascapital.com) <jlee@aequitascapital.com>; Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00057397	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/28/2014 17:12	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK
Robert Jesenik Privilege Log

LD00057399	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/28/2014 17:13	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00057554	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/1/2014 9:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00058024	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2014 1:43	Lee, Jason <jlee@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00058052	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2014 12:14	Jesenik, Bob <bjesenik@aequitascapital.com>	Lee, Jason <jlee@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00058059	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2014 13:34	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Lee, Jason <jlee@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00058680	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/18/2014 22:52	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00058683	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/18/2014 23:20	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Steven Wilker <steven.wilker@tonkon.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00061118	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/5/2014 12:36	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00061294	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/5/2014 16:12	Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>;	Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00061296	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/5/2014 16:15	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD00061300	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/5/2014 16:34	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00061315	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2014 14:46	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Lee, Jason <jlee@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00061319	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2014 16:01	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Lee, Jason <jlee@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00061384	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/9/2014 19:58	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00061764	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/12/2014 11:56	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Steven Wilker<steven.wilker@tonkon.com> <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00062126	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/16/2014 18:48	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00062219	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/19/2014 11:21	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00062229	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/19/2014 11:42	Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00062242	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/19/2014 12:25	Steven Wilker <steven.wilker@tonkon.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00064622	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/27/2014 16:51	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00064678	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/27/2014 18:32	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00065062	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/5/2014 18:47 Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Craig, Andrew <acraig@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00065633	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/12/2014 22:16 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00065637	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/12/2014 22:20 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00065641	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/12/2014 22:26 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00065936	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/17/2014 14:48 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00065939	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/17/2014 14:51 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00066132	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/19/2014 18:05 Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00066165	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/19/2014 19:09 Steven Wilker <steven.wilker@tonkon.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00066198	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/19/2014 19:54 Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00067432	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/9/2014 19:51 Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>; Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason (jlee@aequitascapital.com) <jlee@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00067435	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/9/2014 19:58 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00067467	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/9/2014 20:43 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00067871	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/25/2014 18:43 Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00067934	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/25/2014 19:40 Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00068688	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/13/2014 16:24 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00070564	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/24/2014 17:59 Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Craig, Andrew <acraig@aequitascapital.com>; Nancy Kennedy <nancy.kennedy@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00070919	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2014 19:51 Steven Wilker <steven.wilker@tonkon.com>	amacritchie@aequitascapital.com; swood@aequitascapital.com; bjesenik@aequitascapital.com; ojanke@aequitascapital.com;	molly.honore@tonkon.com; chris.pallanch@tonkon.com; david.namdar@tonkon.com;	Email chain regarding ASFG litigation subject to joint defense.
LD00070920	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2014 19:52 Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00070921	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2014 19:53 Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00070927	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	5/12/2014 20:06 Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Molly Honore <Molly.Honore@tonkon.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00074101	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	5/13/2014 10:57 Steven Wilker <steven.wilker@tonkon.com>	Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00076252	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/23/2014 14:02	Brown, Brett <brett.brown@aequitascapital.com>	[DL] CORP Executive Team <_DL_CORPExecutiveTeam@aequitascapital.com>; Gregg, Keith <kgregg@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00076254	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/23/2014 14:05	Froude, Craig <cfroude@aequitascapital.com>	Brown, Brett <brett.brown@aequitascapital.com>; [DL] CORP ExecutiveTeam <_DL_CORPExecutiveTeam@aequitascapital.com>; Gregg, Keith <kgregg@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00076259	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/23/2014 14:23	Brown, Brett <brett.brown@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; [DL] CORP Executive Team <_DL_CORPExecutiveTeam@aequitascapital.com>; Gregg, Keith <kgregg@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00077884	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/15/2014 18:09	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00077946	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/15/2014 21:31	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00077964	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/15/2014 21:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00077975	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/15/2014 23:32	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00078037	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 9:29	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00078039	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 9:30	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00078042	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 9:43 Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00078050	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 10:04 Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Steven Wilker(steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00078055	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 10:18 Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Steven Wilker(steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00082773	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/20/2014 21:12 Wood, Scott <swood@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00084328	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	5/12/2014 15:10 Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf (ojanke@aequitascapital.com) <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00084331	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2014 15:11 Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf(ojanke@aequitascapital.com) <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00084571	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/18/2014 11:37 Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00086856	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/26/2014 4:26 MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00089722	Redacted for Privilege	Attorney Client Communication	6/19/2014 12:23 Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00089855	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/19/2014 17:28	Wood, Scott <swood@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00089859	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/19/2014 17:30	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;	Crow, Chris <ccrow@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00089868	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/19/2014 18:15	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;	Crow, Chris <ccrow@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00089870	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/19/2014 18:18	Crow, Chris <ccrow@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00089898	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/19/2014 21:18	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00094186	Redacted for Privilege	Attorney Client Communication	6/24/2014 9:53	Jesenik, Bob <bjesenik@aequitascapital.com>	Agostine, Michelle <magostine@aequitascapital.com>;	Chong, Michael <mchong@aequitascapital.com>; Brown, Brett <brett.brown@aequitascapital.com>; Schuler, David <dschuler@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Sobolewski, Larissa <lsobolewski@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00096759	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/23/2014 13:33	Agostine, Michelle <magostine@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.	
LD00096763	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/23/2014 13:35	Froude, Craig <cfroude@aequitascapital.com>	Agostine, Michelle <magostine@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.	
LD00096776	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/23/2014 13:52	Jesenik, Bob <bjesenik@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; Agostine, Michelle <magostine@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.	
LD00118677	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	10/8/2014 13:06	Laurie, Laurette <llaurie@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Winner, Misty <mwinner@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; DeYoung, Lori <ldeyoung@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00118688	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	10/8/2014 13:50	Steven Wilker <steven.wilker@tonkon.com>	Laurie, Laurette <llaurie@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Winner, Misty <mwinner@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; DeYoung, Lori <ldeyoung@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00122920	Redacted for Privilege	Attorney Client Communication	10/30/2014 13:11	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.	
LD00123293	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	11/5/2014 19:07	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.	
LD00123308	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/5/2014 20:30	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Gambrell, Eric <egambrell@AKINGUMP.COM>; Laurie, Laurette <llaurie@aequitascapital.com>; DeYoung, Lori <ldeyoung@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Winner, Misty <mwinner@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00127564	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	10/2/2014 15:21	Vukovich, Surena <svukovich@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Laurie, Laurette <llaurie@aequitascapital.com>; Winner, Misty <mwinner@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00129714	Entirely Privileged	Attorney Client Communication; Attorney Work Product	11/10/2014 15:33	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00133947	Entirely Privileged	Attorney Client Communication	11/14/2014 8:38	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00133962	Entirely Privileged	Attorney Client Communication	11/14/2014 10:00	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00241719	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/5/2016 12:58	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email chain providing legal advice regarding use of investor funds and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00242590	Redacted for Privilege	Attorney Client Communication	2/24/2016 10:25	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00242611	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/24/2016 11:38	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00243238	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/7/2016 15:51	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>;	Nichilo, Brian M. <nichilob@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Robert Knuts <RKnuts@shertremonte.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00243246	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/7/2016 16:07	Knauer, Ivan B. <knaueri@pepperlaw.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Nichilo, Brian M. <nichilob@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Robert Knuts <RKnuts@shertremonte.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00243254	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/7/2016 17:11	Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Holmen, Bob (bholmen@aequitascapital.com) <bholmen@aequitascapital.com>; Bowman, Blake (bbowman@aequitascapital.com) <bbowman@aequitascapital.com>; Brasch, Warren (wbrasch@aequitascapital.com) <wbrasch@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy (AMacRitchie@aequitascapital.com) <AMacRitchie@aequitascapital.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00243295	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/7/2016 18:38	Jesenik, Bob <bjesenik@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Robert Knuts <RKnuts@shertremonte.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00243299	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/7/2016 18:45	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00245831	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/7/2016 13:09	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00245832	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/7/2016 13:22	Froude, Craig <cfroude@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00245840	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/7/2016 15:23	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00246953	Redacted for Privilege	Attorney Client Communication	2/25/2016 10:15	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00246955	Redacted for Privilege	Attorney Client Communication	2/25/2016 10:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00246957	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/25/2016 10:23	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00246959	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/25/2016 10:30	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00246969	Redacted for Privilege	Attorney Client Communication	2/25/2016 11:26	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.	
LD00246971	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/25/2016 11:28	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.	
LD00247675	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/8/2016 8:06	Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Holmen, Bob (bholmen@aequitascapital.com) <bholmen@aequitascapital.com>; Bowman, Blake (bbowman@aequitascapital.com) <bbowman@aequitascapital.com>; Brasch, Warren (wbrasch@aequitascapital.com) <wbrasch@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy (AMacRitchie@aequitascapital.com) <AMacRitchie@aequitascapital.com>; boliver@aequitascapital.com; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Robert Knuts (RKnuts@shertremonte.com) <RKnuts@shertremonte.com>; Raissi, Jahan <JRaissi@sflaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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Robert Jesenik Privilege Log

LD00247716	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/8/2016 11:12	Holmen, Bob <bholmen@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Bowman, Blake <bbowman@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Robert Knuts (RKnuts@shertremonte.com) <RKnuts@shertremonte.com>; Raissi, Jahan <JRaissi@sflaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00247719	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/8/2016 11:31	Knauer, Ivan B. <knaueri@pepperlaw.com> Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Bowman, Blake <bbowman@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Robert Knuts (RKnuts@shertremonte.com) <RKnuts@shertremonte.com>; Raissi, Jahan <JRaissi@sflaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.	
LD00247798	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/8/2016 13:10	Bowman, Blake <bbowman@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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LD00251617	Redacted for Privilege	Attorney Client Communication	2/28/2016 11:56	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00291825	Entirely Privileged	Attorney Client Communication; Attorney Work Product	4/14/2014 18:41	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00499426	Redacted for Privilege	Attorney Client Communication	1/23/2016 12:25	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00499553	Redacted for Privilege	Attorney Client Communication	1/24/2016 15:28	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00503234	Redacted for Privilege	Attorney Client Communication	2/3/2016 17:52	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00507504	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 19:38	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00508063	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/17/2016 10:30	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00508530	Redacted for Privilege	Attorney Client Communication	2/18/2016 8:29	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00508576	Redacted for Privilege	Attorney Client Communication	2/18/2016 9:32	Froude, Craig <cfroude@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00508580	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/18/2016 9:33	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00508585	Redacted for Privilege	Attorney Client Communication	2/18/2016 9:36	Froude, Craig <cfroude@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00509264	Redacted for Privilege	Attorney Client Communication	2/19/2016 13:40	Oliver, Brian <boliver@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00509296	Redacted for Privilege	Attorney Client Communication	2/19/2016 14:22	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00509310	Redacted for Privilege	Attorney Client Communication	2/19/2016 14:39	Holmen, Bob <bholmen@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00510613	Redacted for Privilege	Attorney Client Communication	2/23/2016 14:12	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00510619	Redacted for Privilege	Attorney Client Communication	2/23/2016 14:22	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00510671	Redacted for Privilege	Attorney Client Communication	2/23/2016 16:05	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00510683	Redacted for Privilege	Attorney Client Communication	2/23/2016 16:20	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00510686	Redacted for Privilege	Attorney Client Communication	2/23/2016 16:28	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00510691	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/23/2016 16:40	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00534835	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/24/2014 11:49	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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LD00549453	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/12/2015 17:52	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00549461	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2015 18:33	Froude, Craig <cfroude@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00549487	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2015 20:11	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Agostine, Michelle <magostine@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00549504	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2015 20:51	Agostine, Michelle <magostine@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00549515	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2015 22:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Agostine, Michelle <magostine@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00549554	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/13/2015 10:58	Agostine, Michelle <magostine@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00549556	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/13/2015 10:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Agostine, Michelle <magostine@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00568803	Redacted for Privilege	Attorney Client Communication	5/13/2015 20:45	Jesenik, Bob <bjesenik@aequitascapital.com>	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00568816	Redacted for Privilege	Attorney Client Communication	5/13/2015 22:43	Froude, Craig <cfroude@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00611450	Entirely Privileged	Attorney Client Communication	1/11/2016 17:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00611593	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	1/12/2016 13:25	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00612578	Redacted for Privilege	Attorney Client Communication	1/19/2016 16:33	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00612618	Redacted for Privilege	Attorney Client Communication	1/19/2016 21:10	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00613053	Redacted for Privilege	Attorney Client Communication	1/21/2016 17:18	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00613215	Redacted for Privilege	Attorney Client Communication	1/22/2016 15:09	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00613237	Redacted for Privilege	Attorney Client Communication	1/22/2016 17:10	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00613381	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	1/24/2016 15:23	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00613382	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	1/24/2016 15:42	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00613383	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	1/24/2016 15:46	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614070	Entirely Privileged	Attorney Client Communication	1/28/2016 11:49	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00614240	Entirely Privileged	Attorney Client Communication	1/29/2016 0:07	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614255	Entirely Privileged	Attorney Client Communication	1/29/2016 8:36	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614435	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	1/30/2016 14:17	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Viestenz, Cheryl <cviestenz@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614484	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/31/2016 13:52	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain including attachment providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614533	Entirely Privileged	Attorney Client Communication	2/1/2016 8:47	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614555	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/1/2016 10:55	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain including attachment providing legal advice regarding investor disclosures legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614624	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/1/2016 13:44	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain including attachment providing legal advice regarding investor disclosures legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614640	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/1/2016 15:06	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain including attachment providing legal advice regarding investor disclosures legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614812	Redacted for Privilege	Attorney Client Communication	2/2/2016 12:10	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00614876	Entirely Privileged	Attorney Client Communication	2/2/2016 15:57	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD00614949	Redacted for Privilege	Attorney Client Communication	2/2/2016 22:13	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00615470	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/5/2016 18:25	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00615473	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/5/2016 19:26	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00615479	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/5/2016 20:10	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00615868	Entirely Privileged	Attorney Client Communication	2/9/2016 20:39	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00616477	Entirely Privileged	Attorney Client Communication	2/15/2016 15:27	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00616479	Entirely Privileged	Attorney Client Communication	2/15/2016 15:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD00617035	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/22/2016 15:25	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00617038	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/22/2016 16:05	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00617041	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/22/2016 16:15	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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Robert Jesenik Privilege Log

LD00617044	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/22/2016 16:21	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00617060	Redacted for Privilege	Attorney Client Communication	2/22/2016 19:24	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Email chain including attachment providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD00636777	Entirely Privileged	Attorney Client Communication	6/29/2014 19:17	Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Pyne, Justin <jpyne@aequitascapital.com>; Abushaaban, Nausser <nabushaaban@aequitascapital.com>; Butler, Timothy <tbutler@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00646806	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 9:28	Janke, Olaf <ojanke@aequitascapital.com>	Ades, Adrian <aades@aequitascapital.com>;	Pyne, Justin <jpyne@aequitascapital.com>; Abushaaban, Nausser <nabushaaban@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00646808	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 9:28	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00646836	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 11:30	Abushaaban, Nausser <nabushaaban@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Ades, Adrian <aades@aequitascapital.com>;	Pyne, Justin <jpyne@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00646896	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 12:17	Ades, Adrian <aades@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Pyne, Justin <jpyne@aequitascapital.com>; Abushaaban, Nausser <nabushaaban@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00649419	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 14:05	Janke, Olaf <ojanke@aequitascapital.com>	Ades, Adrian <aades@aequitascapital.com>;	Pyne, Justin <jpyne@aequitascapital.com>; Abushaaban, Nausser <nabushaaban@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00649438	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 14:40	Abushaaban, Nausser <nabushaaban@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Ades, Adrian <aades@aequitascapital.com>;	Pyne, Justin <jpyne@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00776836	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/1/2014 15:40	Jesenik, Bob <bjesenik@aequitascapital.com>	Vukovich, Surena <svukovich@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00776856	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/1/2014 16:36	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00776858	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/1/2014 16:39	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00776860	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/1/2014 16:40	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00776862	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/1/2014 16:42	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777156	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 16:32	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777158	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 16:34	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777160	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 16:35	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777162	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 16:35	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777164	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 16:36	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777189	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 18:04	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777191	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 18:13	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777203	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/2/2014 19:04	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777256	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/3/2014 9:11	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00777444	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/3/2014 20:14	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00777448	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/3/2014 20:25 Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00778787	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/9/2014 15:24 Lee, Jason <jlee@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00778788	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/9/2014 15:24 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Lee, Jason <jlee@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00779977	Entirely Privileged	Attorney Client Communication; Attorney Work Product	4/16/2014 10:36 Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00785822	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 11:28 Pyne, Justin <jpyne@aequitascapital.com>	Ades, Adrian <aades@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00785824	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 11:28 Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00792311	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/12/2014 20:42 Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Steven Wilker(steven.wilker@tonkon.com) <steven.wilker@tonkon.com>;	Chris Pallanch (chris.pallanch@tonkon.com) <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792342	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/12/2014 23:06 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792344	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/12/2014 23:09 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792349	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 0:03 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792356	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 0:36 Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Steven Wilker(steven.wilker@tonkon.com) <steven.wilker@tonkon.com>;	Chris Pallanch (chris.pallanch@tonkon.com) <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792370	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 1:08 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792372	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 1:13 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00792376	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 1:21 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792378	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 1:24 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00792380	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 1:26 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00793551	Entirely Privileged	Attorney Client Communication, Attorney Work Product	6/17/2014 22:47 Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00793818	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	6/18/2014 21:09 Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00794791	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/20/2014 17:31 Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Liu, David <DLiu@edplusholdings.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00794797	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/20/2014 17:36 Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Liu, David <DLiu@edplusholdings.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>; Bassett, Melissa <MBassett@unigogroup.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00794799	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/20/2014 17:42 Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Liu, David <DLiu@edplusholdings.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>; Bassett, Melissa <MBassett@unigogroup.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00794800	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/20/2014 17:42 Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Liu, David <DLiu@edplusholdings.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>; Bassett, Melissa <MBassett@unigogroup.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00794803	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/20/2014 17:46 Bassett, Melissa <MBassett@unigogroup.com>	Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00794805	Entirely Privileged	Attorney Client Communication, Attorney Work Product	6/20/2014 17:47 Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Liu, David <DLiu@edplusholdings.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>; Bassett, Melissa <MBassett@unigogroup.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00795338	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/23/2014 18:00	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00795401	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/23/2014 21:05	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00795485	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/24/2014 8:51	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00795487	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/24/2014 9:05	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00795493	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/24/2014 9:31	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00795494	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/24/2014 9:31	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Agostine, Michelle <magostine@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00795582	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/24/2014 13:32	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00795594	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/24/2014 13:40	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00795680	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/24/2014 16:12	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00795783	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/24/2014 21:12	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00795790	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/24/2014 21:33	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00796406	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/26/2014 21:27	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00796504	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/27/2014 10:16	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00796507	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/27/2014 10:20	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00796512	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/27/2014 10:41	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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LD00796543	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/27/2014 12:25 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00796586	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/27/2014 15:26 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00796610	Redacted for Privilege	Attorney Client Communication	6/27/2014 19:38 Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00796748	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/28/2014 15:42 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00796899	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/28/2014 20:35 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00796901	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/28/2014 21:10 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797157	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/30/2014 17:49 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797161	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/30/2014 18:27 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797166	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/30/2014 18:50 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Lee, Jason <jlee@aequitascapital.com>; Labunsky, Andy <alabunsky@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797185	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/30/2014 20:04 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00797218	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/30/2014 22:31 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797265	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 10:37 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797281	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 10:54 Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797285	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 10:59 Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797287	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 11:13 Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00797289	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 11:15	Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797290	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 11:16	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797291	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 11:20	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Janke, Olaf <ojanke@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797314	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 12:08	Steven Wilker <steven.wilker@tonkon.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797346	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 12:42	Janke, Olaf <ojanke@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>; Kurt Ruttum <kurt.ruttum@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00797416	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/1/2014 14:45	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797551	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/1/2014 20:34	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797662	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/2/2014 12:02	Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797756	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/2/2014 16:02	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00797886	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/2/2014 21:14	Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00798920	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/8/2014 18:25	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Hughes, Roni <rhughes@aequitascapital.com>; Kang, Kenya <kkang@aequitascapital.com>; Pyne, Justin <jpyne@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00798928	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/8/2014 18:37	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Hughes, Roni <rhughes@aequitascapital.com>; Kang, Kenya <kkang@aequitascapital.com>; Pyne, Justin <jpyne@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00799738	Entirely Privileged	Attorney Client Communication	7/10/2014 18:25	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00799744	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/10/2014 18:25	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00799750	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/10/2014 18:27	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.

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LD00799758	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/10/2014 18:43	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00799863	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/11/2014 9:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00799866	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/11/2014 9:46	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00799871	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/11/2014 10:20	Jesenik, Bob <bjesenik@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00799872	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	7/11/2014 10:33	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00800060	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/11/2014 17:41	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00800062	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/11/2014 17:41	Brasch, Warren <wbrasch@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00800610	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/14/2014 12:58	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00802583	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/21/2014 14:40	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00802732	Entirely Privileged	Attorney Client Communication	7/21/2014 23:00	Janke, Olaf <ojanke@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Froude, Craig <cfroude@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00803775	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/25/2014 11:13	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00803818	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/25/2014 12:30	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00805425	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/30/2014 20:04	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00805687	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/31/2014 15:54	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00805690	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/31/2014 16:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD00805869	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/1/2014 14:26	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00808626	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/18/2014 11:31	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00808629	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/18/2014 11:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00808963	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/20/2014 1:54	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00810775	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/28/2014 22:49	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00811719	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	9/3/2014 12:54	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00811730	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	9/3/2014 13:02	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Agostine, Michelle <magostine@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00811742	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	9/3/2014 13:56	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Agostine, Michelle <magostine@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00811992	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	9/4/2014 20:07	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00812082	Entirely Privileged	Attorney Client Communication	9/5/2014 12:52	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00812083	Entirely Privileged	Attorney Client Communication	9/5/2014 12:52	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00814342	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	9/17/2014 0:57	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00825924	Entirely Privileged	Attorney Client Communication; Attorney Work Product	11/5/2014 13:16	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00827151	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	11/11/2014 14:35	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00827154	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	11/11/2014 14:37	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00828355	Redacted for Privilege	Attorney Client Communication	11/17/2014 14:44	Janke, Olaf <ojanke@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00831785	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/8/2014 15:10	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00831787	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/8/2014 15:11	Wood, Scott <swood@aequitascapital.com>	Janke, Olaf <ojanke@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00831802	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/8/2014 15:52	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00831805	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/8/2014 15:55	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00832865	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/12/2014 20:15	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Janke, Olaf <ojanke@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00876317	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2014 17:34	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Vukovich, Surena <svukovich@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00881027	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/15/2014 18:44	Steven Wilker <steven.wilker@tonkon.com>	Bob Jesenik <bjesenik@aequitascapital.com>; Mr. Scott Wood <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00881059	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/15/2014 22:20	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00881061	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/15/2014 22:26	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00881540	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/22/2014 17:22	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00881590	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/22/2014 18:14	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00881603	Redacted for Privilege	Attorney Client Communication	4/22/2014 18:27	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00881604	Redacted for Privilege	Attorney Client Communication	4/22/2014 18:28	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00881605	Redacted for Privilege	Attorney Client Communication	4/22/2014 18:29	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00882452	Entirely Privileged	Attorney Client Communication	4/1/2014 12:33	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Steven Wilker (steven.wilker@tonkon.com) <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00882457	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/1/2014 12:42	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD00882459	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/1/2014 12:49	Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00884677	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	4/3/2014 21:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Vukovich, Surena <svukovich@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892151	Entirely Privileged	Attorney Client Communication, Attorney Work Product	5/29/2014 12:44	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892177	Entirely Privileged	Attorney Client Communication, Attorney Work Product	5/29/2014 12:50	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892191	Entirely Privileged	Attorney Client Communication, Attorney Work Product	5/29/2014 13:05	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892192	Entirely Privileged	Attorney Client Communication, Attorney Work Product	5/29/2014 13:06	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892193	Entirely Privileged	Attorney Client Communication, Attorney Work Product	5/29/2014 13:11	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892223	Entirely Privileged	Attorney Client Communication	5/29/2014 14:02	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892224	Entirely Privileged	Attorney Client Communication	5/29/2014 14:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00892274	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/29/2014 16:13	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00893362	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/9/2014 16:18	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00893365	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/9/2014 16:22	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00893366	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/9/2014 16:23	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00894143	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/29/2014 17:18	Christopher Pallanch <chris.pallanch@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00894239	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/29/2014 22:00	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00894273	Entirely Privileged	Attorney Client Communication	5/30/2014 9:08	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD00894793	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 0:05	Christopher Pallanch <chris.pallanch@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00895246	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/9/2014 18:23	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00895247	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/9/2014 18:26	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00895248	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/9/2014 18:28	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00896964	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/11/2014 13:52	Christopher Pallanch <chris.pallanch@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00897030	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/13/2014 13:51	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00897053	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 14:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00897107	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 19:15	Christopher Pallanch <chris.pallanch@tonkon.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00897109	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/13/2014 19:18	Steven Wilker <steven.wilker@tonkon.com>	Christopher Pallanch <chris.pallanch@tonkon.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00897291	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 14:38	Steven Wilker <steven.wilker@tonkon.com>	Mr. Scott Wood <swood@aequitascapital.com>; Bob Jesenik <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00897313	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/16/2014 14:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>;	Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00898989	Redacted for Privilege	Attorney Client Communication	7/13/2014 17:13	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00899262	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/21/2014 17:23	Jesenik, Bob <bjesenik@aequitascapital.com>	Agostine, Michelle <magostine@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00899890	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/25/2014 21:09	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00899900	Entirely Privileged	Attorney Client Communication	6/25/2014 21:13	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD00900860	Redacted for Privilege	Attorney Client Communication	7/21/2014 23:18	Froude, Craig <cfroude@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Agostine, Michelle <magostine@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00900861	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/21/2014 23:29	Agostine, Michelle <magostine@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Wood, Scott <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00901542	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	6/23/2014 17:59	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00901579	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	6/23/2014 19:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Agostine, Michelle <magostine@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00901612	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	6/23/2014 23:18	Agostine, Michelle <magostine@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00901996	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/2/2014 9:32	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00902161	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/8/2014 16:59	Steven Wilker <steven.wilker@tonkon.com>	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Larissa Stec <Larissa.Stec@tonkon.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00902163	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/8/2014 17:01	Wood, Scott <swood@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Larissa Stec <Larissa.Stec@tonkon.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00902175	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/8/2014 17:45	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>;	Larissa Stec <Larissa.Stec@tonkon.com>; Christopher Pallanch <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00902241	Entirely Privileged	Attorney Client Communication, Attorney Work Product	7/10/2014 18:25	Jesenik, Bob <bjesenik@aequitascapital.com>	Steven Wilker <steven.wilker@tonkon.com>; Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00902394	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/14/2014 15:34	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00902410	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	7/14/2014 15:54	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00904213	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/14/2014 20:03	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00904573	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/22/2014 18:07	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00905560	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/2/2014 17:37	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD00905714	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/7/2014 11:18	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00905716	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/7/2014 11:19	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00909145	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/15/2014 10:45	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00909415	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/25/2014 20:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00910303	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/31/2014 19:22	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;	Email chain including voicemail attachment regarding ASFG litigation subject to joint defense.
LD00911042	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/15/2014 13:39	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911108	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/15/2014 19:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911297	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/22/2014 12:42	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00911345	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/22/2014 13:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911477	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/28/2014 21:31	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911493	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/28/2014 22:58	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911495	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/28/2014 23:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911503	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/28/2014 23:04	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00911504	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/28/2014 23:05	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00916364	Entirely Privileged	Attorney Client Communication	7/29/2014 9:42	Oliver, Brian <boliver@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding CashReady litigation containing legal advice subject to joint defense.

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Robert Jesenik Privilege Log

LD00917536	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/25/2014 17:27	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00917825	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/31/2014 13:34	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00917826	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/31/2014 13:35	Wood, Scott <swood@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	steven.wilker@tonkon.com; ChristopherPallanch (chris.pallanch@tonkon.com) <chris.pallanch@tonkon.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00917827	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/31/2014 13:38	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00920487	Entirely Privileged	Attorney Client Communication; Attorney Work Product	9/18/2014 19:42	Steven Wilker <steven.wilker@tonkon.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Scott Wood (swood@aequitascapital.com) <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00924322	Entirely Privileged	Attorney Client Communication, Attorney Work Product	9/24/2014 18:46	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00926258	Entirely Privileged	Attorney Client Communication; Attorney Work Product	9/30/2014 18:18	Christopher Pallanch <chris.pallanch@tonkon.com>	Bob Jesenik <bjesenik@aequitascapital.com>;	Scott Wood <swood@aequitascapital.com>; Steven Wilker <steven.wilker@tonkon.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00926260	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	9/30/2014 18:19	Jesenik, Bob <bjesenik@aequitascapital.com>	Vukovich, Surena <svukovich@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00929040	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/14/2014 14:34	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00929041	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/14/2014 14:35	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00932653	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	10/28/2014 15:30	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00933396	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/20/2014 11:50	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00933487	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/20/2014 13:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00934115	Entirely Privileged	Attorney Client Communication; Attorney Work Product	11/4/2014 20:53	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD00937022	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/14/2014 10:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD00937028	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/14/2014 10:08	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00941249	Entirely Privileged	Attorney Client Communication	12/7/2014 12:01	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00941281	Entirely Privileged	Attorney Client Communication	12/7/2014 12:54	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00942011	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	12/18/2014 19:11	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00942015	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/18/2014 19:15	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00944655	Entirely Privileged	Attorney Client Communication, Attorney Work Product	11/24/2014 11:47	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00948589	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/5/2015 21:27	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00948592	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/5/2015 21:31	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949240	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	1/13/2015 16:10	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949245	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/13/2015 16:13	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949246	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/13/2015 16:14	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949250	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/13/2015 16:17	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949901	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/24/2015 15:06	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949902	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/24/2015 15:07	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00949903	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/24/2015 15:08	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00958774	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/14/2015 11:30	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Agostine, Michelle <magostine@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.

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LD00958776	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/14/2015 11:34	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00965014	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/19/2015 15:27	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00966843	Entirely Privileged	Attorney Client Communication	4/17/2015 14:01	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00966845	Entirely Privileged	Attorney Client Communication	4/17/2015 14:06	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00966846	Entirely Privileged	Attorney Client Communication	4/17/2015 14:09	Jesenik, Bob <bjesenik@aequitascapital.com>	Kathy Jesenik <kjesenik@aol.com>;		Email chain providing personal legal advice regarding family matter.
LD00966856	Entirely Privileged	Attorney Client Communication	4/17/2015 14:21	kjesenik@aol.com	acraig@aequitascapital.com; bjesenik@aequitascapital.com;		Email chain providing personal legal advice regarding family matter.
LD00968628	Entirely Privileged	Attorney Client Communication	4/17/2015 16:32	Craig, Andrew <acraig@aequitascapital.com>	kjesenik@aol.com; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00968689	Entirely Privileged	Attorney Client Communication	4/17/2015 19:31	Kathy Jesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>; Uncle Kenny <kennethcox3@icloud.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00968795	Entirely Privileged	Attorney Client Communication	4/21/2015 15:57	Craig, Andrew <acraig@aequitascapital.com>	kjesenik@aol.com;	kennethcox3@icloud.com; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachments providing personal legal advice regarding family matter.
LD00969965	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/6/2015 12:37	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00969968	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/6/2015 12:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00969974	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/6/2015 12:49	Wood, Scott <swood@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00970744	Entirely Privileged	Attorney Client Communication	4/18/2015 10:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00970803	Entirely Privileged	Attorney Client Communication	4/18/2015 19:17	Kenneth Cox <kennethcox3@icloud.com>	Kathy Jesenik <kjesenik@aol.com>;	Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00970886	Entirely Privileged	Attorney Client Communication	4/21/2015 19:14	Kathy Jesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>;	kennethcox3@icloud.com; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00970928	Entirely Privileged	Attorney Client Communication	4/22/2015 0:31	Kathy Jesenik <kjesenik@aol.com>	Kenneth Cox <kennethcox3@icloud.com>;	Craig, Andrew <acraig@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00970929	Entirely Privileged	Attorney Client Communication	4/22/2015 0:37	Kathy Jesenik <kjesenik@aol.com>	Bob Jesenik <bjesenik@aequitascapital.com>; Uncle Kenny <kennethcox3@icloud.com>;	Craig, Andrew <acraig@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00972933	Entirely Privileged	Attorney Client Communication	5/28/2015 13:24	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00973111	Entirely Privileged	Attorney Client Communication	6/5/2015 19:30	Craig, Andrew <acraig@aequitascapital.com>	Kenneth Cox <kennethcox3@icloud.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00974360	Entirely Privileged	Attorney Client Communication	5/28/2015 14:46	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00974362	Entirely Privileged	Attorney Client Communication	5/28/2015 14:58	Jesenik, Bob <bjesenik@aequitascapital.com>	Kathy Jesenik <kjesenik@aol.com>;		Email chain providing personal legal advice regarding family matter.
LD00975463	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	5/13/2015 11:51	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00976227	Entirely Privileged	Attorney Client Communication	6/3/2015 16:56	Kathy Jesenik <kjesenik@aol.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Uncle Kenny <kennethcox3@icloud.com>;	Email chain providing personal legal advice regarding family matter.

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LD00976244	Entirely Privileged	Attorney Client Communication	6/3/2015 18:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00976246	Entirely Privileged	Attorney Client Communication	6/3/2015 18:08	Craig, Andrew <acraig@aequitascapital.com>	Kathy Jesenik <kjesenik@aol.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00976254	Entirely Privileged	Attorney Client Communication	6/3/2015 18:26	Kathy Jesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00976267	Entirely Privileged	Attorney Client Communication	6/3/2015 20:11	Kenneth Cox <kennethcox3@icloud.com>	Kathy Jesenik <kjesenik@aol.com>;	Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00978095	Entirely Privileged	Attorney Client Communication	6/4/2015 12:31	Kathy Jesenik <kjesenik@aol.com>	Kenneth Cox <kennethcox3@icloud.com>;	Craig, Andrew <acraig@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00978098	Entirely Privileged	Attorney Client Communication	6/4/2015 13:02	Craig, Andrew <acraig@aequitascapital.com>	Kathy Jesenik <kjesenik@aol.com>;	Kenneth Cox <kennethcox3@icloud.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00978112	Entirely Privileged	Attorney Client Communication	6/4/2015 14:08	Kathy Jesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>;	Kenneth Cox <kennethcox3@icloud.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00978155	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/4/2015 17:22	Jesenik, Bob <bjesenik@aequitascapital.com>	Wease, Jonathan <jwease@unigogroup.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00978160	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/4/2015 17:43	Wease, Jonathan <jwease@unigogroup.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00978189	Entirely Privileged	Attorney Client Communication	6/4/2015 20:33	kjesenik@aol.com	acraig@aequitascapital.com;	bjesenik@aequitascapital.com; kennethcox3@icloud.com;	Email chain providing personal legal advice regarding family matter.
LD00979203	Redacted for Privilege	Attorney Client Communication	5/25/2015 11:36	Brasch, Warren <wbrasch@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00979204	Redacted for Privilege	Attorney Client Communication	5/25/2015 11:38	Jesenik, Bob <bjesenik@aequitascapital.com>	Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD00979396	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/29/2015 19:06	Gambrell, Eric <egambrell@AKINGUMP.COM>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Vukovich, Surena (svukovich@aequitascapital.com) <svukovich@aequitascapital.com>;		Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00979398	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/29/2015 19:10	Vukovich, Surena <svukovich@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD00979810	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/11/2015 17:47	Bowman, Blake <bbowman@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00980706	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	6/12/2015 9:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD00980872	Entirely Privileged	Attorney Client Communication	7/26/2015 17:23	Jesenik, Bob <bjesenik@aequitascapital.com>	Jesenik, Ryan <rmjesenik@aequitascapital.com>; Chelsea Jesenik (cjesenik@sandiego.edu) <cjesenik@sandiego.edu>;		Email chain providing personal legal advice regarding family matter.
LD00982165	Entirely Privileged	Attorney Client Communication	7/20/2015 20:55	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00982313	Entirely Privileged	Attorney Client Communication	7/26/2015 18:35	Chelsea Jesenik <cjesenik@sandiego.edu>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00983532	Entirely Privileged	Attorney Client Communication	7/5/2015 16:47	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00983540	Entirely Privileged	Attorney Client Communication	7/5/2015 23:09	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.

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LD00983545	Entirely Privileged	Attorney Client Communication; Attorney Work Product	7/6/2015 0:24	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain and attachments providing personal legal advice regarding family matter.
LD00987219	Entirely Privileged	Attorney Client Communication	7/22/2015 10:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00989303	Entirely Privileged	Attorney Client Communication	7/26/2015 12:07	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00989309	Entirely Privileged	Attorney Client Communication	7/26/2015 13:13	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00989975	Entirely Privileged	Attorney Client Communication	8/17/2015 22:57	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00990915	Entirely Privileged	Attorney Client Communication	8/18/2015 13:36	Craig, Andrew <bjesenik@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00990919	Entirely Privileged	Attorney Client Communication	8/18/2015 13:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00990968	Entirely Privileged	Attorney Client Communication	8/18/2015 15:05	kjesenik@aol.com	svukovich@aequitascapital.com; kennethcox3@icloud.com;	bjesenik@aequitascapital.com; acraig@aequitascapital.com;	Email chain providing personal legal advice regarding family matter.
LD00991094	Redacted for Privilege	Attorney Client Communication	8/24/2015 17:44	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00991096	Redacted for Privilege	Attorney Client Communication	8/24/2015 17:51	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00991345	Entirely Privileged	Attorney Client Communication; Attorney Work Product	9/1/2015 15:36	Kjesenik <kjesenik@aol.com>	Kenneth Cox <kennethcox3@icloud.com>;	Bob Jesenik <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00991383	Entirely Privileged	Attorney Client Communication; Attorney Work Product	9/1/2015 19:06	Kjesenik <kjesenik@aol.com>	Bob Jesenik <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00991398	Redacted for Privilege	Attorney Client Communication	9/1/2015 22:25	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain and attachment providing personal legal advice regarding family matter.
LD00993160	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/25/2015 13:16	Craig, Andrew <acraig@aequitascapital.com>	Kathy Jesenik <kjesenik@aol.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00993409	Redacted for Privilege	Attorney Client Communication	9/2/2015 12:51	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00994935	Redacted for Privilege	Attorney Client Communication	8/19/2015 14:17	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00995073	Entirely Privileged	Attorney Client Communication	8/22/2015 13:21	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00995080	Entirely Privileged	Attorney Client Communication	8/22/2015 16:42	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00995292	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/26/2015 15:54	Kjesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>;	Surena Vukovich <svukovich@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00995543	Entirely Privileged	Attorney Client Communication	9/3/2015 15:03	Craig, Andrew <acraig@aequitascapital.com>	Kjesenik <kjesenik@aol.com>;	kennethcox3@icloud.com; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00995547	Entirely Privileged	Attorney Client Communication	9/3/2015 15:44	Kjesenik <kjesenik@aol.com>	Uncle Kenny <kennethcox3@icloud.com>;	Bob Jesenik <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00995553	Entirely Privileged	Attorney Client Communication	9/3/2015 16:20	Kjesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>;	Bob Jesenik <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD00996992	Redacted for Privilege	Attorney Client Communication	8/26/2015 17:49	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00996995	Redacted for Privilege	Attorney Client Communication	8/26/2015 17:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00997007	Redacted for Privilege	Attorney Client Communication	8/26/2015 18:12	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.

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LD00997008	Redacted for Privilege	Attorney Client Communication	8/26/2015 18:21	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00997111	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/27/2015 14:00	kjesenik@aol.com	acraig@aequitascapital.com; bjesenik@aequitascapital.com; svukovich@aequitascapital.com;	kennethcox3@icloud.com;	Email chain providing personal legal advice regarding family matter.
LD00997874	Entirely Privileged	Attorney Client Communication	9/15/2015 18:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain including attachment providing personal legal advice regarding family matter.
LD00997879	Entirely Privileged	Attorney Client Communication	9/15/2015 18:07	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing personal legal advice regarding family matter.
LD00997929	Entirely Privileged	Attorney Client Communication	9/15/2015 20:56	Attorney Client Communication <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachment providing personal legal advice regarding family matter.
LD00998017	Entirely Privileged	Attorney Client Communication	9/16/2015 9:22	Jesenik, Bob <bjesenik@aequitascapital.com>	dbwiles@wileslawgroup.com; TIMOTHY STUCKEY <talans49@me.com>;		Email chain including attachment providing personal legal advice regarding family matter.
LD00999928	Entirely Privileged	Attorney Client Communication	9/16/2015 23:07	David Wiles <dbwiles@wileslawgroup.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	TIMOTHY STUCKEY <talans49@me.com>;	Email chain providing personal legal advice regarding family matter.
LD00999940	Entirely Privileged	Attorney Client Communication	9/17/2015 9:06	Jesenik, Bob <bjesenik@aequitascapital.com>	David Wiles <dbwiles@wileslawgroup.com>;	TIMOTHY STUCKEY <talans49@me.com>;	Email chain providing personal legal advice regarding family matter.
LD01002123	Entirely Privileged	Attorney Client Communication	11/2/2015 17:14	Craig, Andrew <acraig@aequitascapital.com>	Kjesenik <kjesenik@aol.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD01003036	Entirely Privileged	Attorney Client Communication	10/7/2015 9:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;		Email chain including attachments providing personal legal advice regarding family matter.
LD01003101	Entirely Privileged	Attorney Client Communication	10/7/2015 12:54	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachments providing personal legal advice regarding family matter.
LD01004233	Entirely Privileged	Attorney Client Communication	11/2/2015 18:58	Kjesenik <kjesenik@aol.com>	Bob Jesenik <bjesenik@aequitascapital.com>; Uncle Kenny <kennethcox3@icloud.com>; Sue Newman <suemikenewman@msn.com>;		Email chain providing personal legal advice regarding family matter.
LD01004239	Entirely Privileged	Attorney Client Communication	11/2/2015 19:01	Kjesenik <kjesenik@aol.com>	Craig, Andrew <acraig@aequitascapital.com>;	Bob Jesenik <bjesenik@aequitascapital.com>;	Email chain providing personal legal advice regarding family matter.
LD01009812	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/4/2015 15:07	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01009814	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/4/2015 15:26	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01016124	Entirely Privileged	Attorney Client Communication	1/12/2016 11:27	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01017048	Entirely Privileged	Attorney Client Communication	1/5/2016 19:04	Holmen, Bob <bholmen@aequitascapital.com>	mfagel@gibsondunn.com;	Jesenik, Bob <bjesenik@aequitascapital.com>; Callcott, W. Hardy (hcallcott@sidley.com) <hcallcott@sidley.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01017050	Entirely Privileged	Attorney Client Communication	1/5/2016 19:08	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Callcott, W. Hardy (hcallcott@sidley.com) <hcallcott@sidley.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01017052	Entirely Privileged	Attorney Client Communication	1/5/2016 19:14	Holmen, Bob <bholmen@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Podrybau, Cyndie <cpodrybau@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01017073	Entirely Privileged	Attorney Client Communication	1/5/2016 21:14	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Callcott, W. Hardy (hcallcott@sidley.com) <hcallcott@sidley.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01017220	Redacted for Privilege	Attorney Client Communication	1/8/2016 12:44	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Holmen, Bob (bholmen@aequitascapital.com) <bholmen@aequitascapital.com>;		Email chain containing attorney advice regarding SEC enforcement subject to joint defense.

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LD01017267	Entirely Privileged	Attorney Client Communication	1/8/2016 15:58	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01017527	Entirely Privileged	Attorney Client Communication	1/16/2016 12:14	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01017598	Redacted for Privilege	Attorney Client Communication	1/19/2016 19:09	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01018435	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/5/2016 11:18	Falco, John P. <falcoj@pepperlaw.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01018439	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/5/2016 11:20	Jesenik, Bob <bjesenik@aequitascapital.com>	Falco, John P. <falcoj@pepperlaw.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Del Raso, Joseph <DELRASOJ@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01018467	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/5/2016 12:17	Holmen, Bob <bholmen@aequitascapital.com>	Falco, John P. <falcoj@pepperlaw.com>;	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019312	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	1/6/2016 13:21	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01019316	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	1/6/2016 13:24	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01019322	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	1/6/2016 13:30	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01019409	Entirely Privileged	Attorney Client Communication	1/8/2016 17:42	Holmen, Bob <bholmen@aequitascapital.com>	Del Raso, Joseph (DELRASOJ@pepperlaw.com) <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019618	Entirely Privileged	Attorney Client Communication	1/17/2016 11:27	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019626	Entirely Privileged	Attorney Client Communication	1/17/2016 12:36	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019628	Entirely Privileged	Attorney Client Communication	1/17/2016 12:38	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019661	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:53	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01019666	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01019672	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain including attachment providing legal advice from personal attorney.
LD01019677	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01019678	Entirely Privileged	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney and advice regarding investor disclosures.
LD01019679	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain including attachment providing legal advice from personal attorney.

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LD01019681	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01019684	Entirely Privileged	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney and advice regarding investor disclosures.
LD01019685	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney and advice regarding investor disclosures.
LD01019687	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01019689	Redacted for Privilege	Attorney Client Communication	1/17/2016 20:54	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01019713	Redacted for Privilege	Attorney Client Communication	1/17/2016 21:34	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.
LD01019750	Redacted for Privilege	Attorney Client Communication	1/18/2016 10:54	Holmen, Bob <bholmen@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019764	Entirely Privileged	Attorney Client Communication	1/18/2016 12:12	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01019917	Redacted for Privilege	Attorney Client Communication	1/20/2016 19:08	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Email chain providing legal advice regarding use of investor funds and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01020227	Entirely Privileged	Attorney Client Communication	1/29/2016 19:37	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;	Email chain including voicemail from Marc Fagel providing legal advice.
LD01020340	Redacted for Privilege	Attorney Client Communication	2/1/2016 18:03	Holmen, Bob <bholmen@aequitascapital.com>	Steffner, Ryan <rsteffner@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Delgardio, Joe <jdelgardio@aequitascapital.com>; Goila, Tom <TGoila@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01020341	Redacted for Privilege	Attorney Client Communication	2/1/2016 18:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Steffner, Ryan <rsteffner@aequitascapital.com>; Goila, Tom <TGoila@aequitascapital.com>; Delgardio, Joe <jdelgardio@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01020410	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/3/2016 17:57	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01020526	Entirely Privileged	Attorney Client Communication	2/4/2016 10:56	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.
LD01020567	Entirely Privileged	Attorney Client Communication	2/5/2016 19:00	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;	Email chain including voicemail from Marc Fagel providing legal advice.
LD01021470	Entirely Privileged	Attorney Client Communication	1/10/2016 12:47	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01021475	Entirely Privileged	Attorney Client Communication	1/10/2016 13:31	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01021627	Redacted for Privilege	Attorney Client Communication	1/11/2016 16:22	Holmen, Bob <bholmen@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01021629	Redacted for Privilege	Attorney Client Communication	1/11/2016 16:25	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01021630	Redacted for Privilege	Attorney Client Communication	1/11/2016 16:28	Holmen, Bob <bholmen@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01021815	Entirely Privileged	Attorney Client Communication; Attorney Work Product	1/18/2016 13:24	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01021816	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/18/2016 13:28	Holmen, Bob <bholmen@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01021982	Redacted for Privilege	Attorney Client Communication	1/20/2016 20:08	Froude, Craig <cfroude@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01022020	Redacted for Privilege	Attorney Client Communication	1/21/2016 9:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding use of investor funds and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01022516	Entirely Privileged	Attorney Client Communication; Attorney Work Product	1/30/2016 15:33	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01022543	Entirely Privileged	Attorney Client Communication; Attorney Work Product	1/31/2016 10:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01022720	Entirely Privileged	Attorney Client Communication	2/2/2016 12:56	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;		Email chain including voicemail from Marc Fagel providing legal advice.
LD01022761	Entirely Privileged	Attorney Client Communication	2/4/2016 12:11	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01022977	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/7/2016 17:51	Holmen, Bob <bholmen@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01023672	Entirely Privileged	Attorney Client Communication	1/12/2016 8:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01023679	Entirely Privileged	Attorney Client Communication	1/12/2016 8:49	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01023681	Entirely Privileged	Attorney Client Communication	1/12/2016 9:05	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01023684	Entirely Privileged	Attorney Client Communication	1/12/2016 9:37	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01024626	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/4/2016 19:40	Bowman, Blake <bbowman@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01024633	Entirely Privileged	Attorney Client Communication	2/4/2016 19:46	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;		Email chain including voicemail from Marc Fagel providing legal advice.
LD01024669	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/4/2016 21:17	Holmen, Bob <bholmen@aequitascapital.com>	Falco, John P. <falcoj@pepperlaw.com>; Del Raso, Joseph (DELRASOJ@pepperlaw.com) <DELRASOJ@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01024700	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/4/2016 22:01	Del Raso, Joseph <DELRASOJ@pepperlaw.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01024706	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/4/2016 22:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Holmen, Falco, John P. <falcoj@pepperlaw.com>; Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01024707	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/4/2016 22:04	Del Raso, Joseph <DELRASOJ@pepperlaw.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01024720	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/4/2016 22:40	Holmen, Bob <bholmen@aequitascapital.com>	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Falco, John P. <falcoj@pepperlaw.com>; Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01024857	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/8/2016 14:45	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01024940	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/8/2016 15:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025021	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	2/8/2016 16:21	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025145	Entirely Privileged	Attorney Client Communication	2/11/2016 9:31	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01025152	Entirely Privileged	Attorney Client Communication	2/11/2016 9:57	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.
LD01025156	Entirely Privileged	Attorney Client Communication	2/11/2016 10:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01025204	Redacted for Privilege	Attorney Client Communication	2/17/2016 10:57	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01025214	Entirely Privileged	Attorney Client Communication	2/17/2016 11:05	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01025219	Entirely Privileged	Attorney Client Communication	2/17/2016 11:08	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025222	Entirely Privileged	Attorney Client Communication	2/17/2016 11:14	Holmen, Bob <bholmen@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025223	Entirely Privileged	Attorney Client Communication	2/17/2016 11:17	Fagel, Marc J. <MFagel@gibsondunn.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025286	Redacted for Privilege	Attorney Client Communication	2/19/2016 13:42	Froude, Craig <cfroude@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Bowman, Blake <bbowman@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.

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Robert Jesenik Privilege Log

LD01025608	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:16	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025611	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:18	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025612	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025613	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 14:19	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Vogel, Tom <tvogel@jconnelly.com>; Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srosenstein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01025614	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:28	Jesenik, Bob <bjesenik@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025615	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:28	Knauer, Ivan B. <knaueri@pepperlaw.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01025618	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:29	Knauer, Ivan B. <knaueri@pepperlaw.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026000	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/11/2016 15:54	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01026020	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/11/2016 16:44	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01026137	Redacted for Privilege	Attorney Client Communication	2/15/2016 12:18	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01026147	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 12:39	Fagel, Marc J. <MFagel@gibsondunn.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026149	Redacted for Privilege	Attorney Client Communication	2/15/2016 12:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01026153	Redacted for Privilege	Attorney Client Communication	2/15/2016 12:50	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.

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LD01026172	Entirely Privileged	Attorney Client Communication	2/15/2016 13:11	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026173	Entirely Privileged	Attorney Client Communication	2/15/2016 13:12	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026175	Entirely Privileged	Attorney Client Communication	2/15/2016 13:14	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026185	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 13:38	Holmen, Bob <bholmen@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026187	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 13:40	Holmen, Bob <bholmen@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026190	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 13:49	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026191	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 13:50	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026192	Entirely Privileged	Attorney Client Communication	2/15/2016 13:51	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain regarding ASFG litigation and SEC litigation subject to joint defense.
LD01026207	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 14:55	Holmen, Bob <bholmen@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026208	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 14:57	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain regarding ASFG litigation and SEC litigation subject to joint defense.
LD01026252	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 18:07	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026260	Entirely Privileged	Attorney Client Communication	2/15/2016 18:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026386	Entirely Privileged	Attorney Client Communication	2/17/2016 16:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01026392	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/17/2016 16:05	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01026526	Redacted for Privilege	Attorney Client Communication	2/19/2016 16:05	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik Bob <bjesenik@aequitascapital.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01026534	Entirely Privileged	Attorney Client Communication	2/19/2016 16:07	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01026552	Entirely Privileged	Attorney Client Communication	2/19/2016 16:17	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01026553	Entirely Privileged	Attorney Client Communication	2/19/2016 16:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.

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LD01026581	Entirely Privileged	Attorney Client Communication	2/19/2016 16:35	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01026590	Entirely Privileged	Attorney Client Communication	2/19/2016 16:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01026746	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/22/2016 19:19	Holmen, Bob <bholmen@aequitascapital.com>	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01026760	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/22/2016 20:31	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026800	Redacted for Privilege	Attorney Client Communication	2/22/2016 21:58	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026807	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/22/2016 21:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain including attachment providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026809	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/22/2016 21:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain including attachment providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026877	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/23/2016 9:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01026878	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/23/2016 9:56	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01027028	Entirely Privileged	Attorney Client Communication	2/24/2016 18:44	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;		Email chain including voicemail from Marc Fagel providing legal advice.
LD01027120	Entirely Privileged	Attorney Client Communication	2/26/2016 17:34	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;		Email chain including voicemail from Marc Fagel providing legal advice.
LD01027123	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/26/2016 17:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01027127	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/26/2016 17:40	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01027197	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/27/2016 12:09	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD01027214	Redacted for Privilege	Attorney Client Communication	2/27/2016 13:09	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01027218	Redacted for Privilege	Attorney Client Communication	2/27/2016 13:14	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01027443	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/1/2016 20:37	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01027446	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/1/2016 20:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027451	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/1/2016 21:43	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027452	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/1/2016 21:50	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01027524	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/4/2016 11:12	Brasch, Warren <wbrasch@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01027815	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/8/2016 13:23	Jesenik, Bob <bjesenik@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01027831	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/8/2016 14:27	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01027841	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/8/2016 14:45	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01027926	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 15:16	Vogel, Tom <tvogel@jconnelly.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027927	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 15:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Vogel, Tom <tvogel@jconnelly.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027928	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 15:18	Vogel, Tom <tvogel@jconnelly.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027929	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 15:29	Vogel, Tom <tvogel@jconnelly.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027930	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 15:29	Jesenik, Bob <bjesenik@aequitascapital.com>	Vogel, Tom <tvogel@jconnelly.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027933	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 15:30	Vogel, Tom <tvogel@jconnelly.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01027947	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 15:47 Knauer, Ivan B. <knaueri@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027966	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 16:07 Knauer, Ivan B. <knaueri@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027974	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 16:10 Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01027981	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 16:11	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Knauer, Ivan B. <knauri@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01027983	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 16:15	Knauer, Ivan B. <knauri@pepperlaw.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01028111	Redacted for Privilege	Attorney Client Communication	3/11/2016 10:19	Jesenik, Bob <bjesenik@aequitascapital.com>	Vogel, Tom <tvogel@jcpinc.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01028143	Redacted for Privilege	Attorney Client Communication	3/16/2016 17:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com; Email chain providing legal advice from personal attorney.
LD01028146	Redacted for Privilege	Attorney Client Communication	3/16/2016 17:45	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com; Email chain providing legal advice from personal attorney.
LD01028148	Redacted for Privilege	Attorney Client Communication	3/16/2016 17:48	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com; Email chain providing legal advice from personal attorney.
LD01028157	Redacted for Privilege	Attorney Client Communication	3/16/2016 18:07	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com; Email chain providing legal advice from personal attorney.
LD01028604	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	4/12/2016 18:59	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>	bjesenik@aequitascapital.com;	Fagel, Marc J. <MFagel@gibsondunn.com>; Klaber, Deena B. <DKlaber@gibsondunn.com>; Email chain providing legal advice from personal attorney.
LD01028927	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/9/2016 21:51	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.
LD01028930	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/9/2016 23:07	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Email chain regarding ASFG litigation subject to joint defense.
LD01028931	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/9/2016 23:22	Gambrell, Eric <egambrell@AKINGUMP.COM>	Holmen, Bob <bholmen@aequitascapital.com>;	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Email chain regarding ASFG litigation subject to joint defense.

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LD01028934	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/9/2016 23:36	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01028993	Entirely Privileged	Attorney Client Communication	2/10/2016 11:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01029151	Entirely Privileged	Attorney Client Communication	2/12/2016 14:37	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01029154	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2016 14:39	Jesenik, Bob <bjesenik@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029155	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2016 14:47	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029191	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/12/2016 15:39	Bowman, Blake <bbowman@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029250	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/15/2016 18:57	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029371	Entirely Privileged	Attorney Client Communication	2/16/2016 11:33	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Del Raso, Joseph <DELRASOJ@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029376	Entirely Privileged	Attorney Client Communication	2/16/2016 11:34	Fagel, Marc J. <MFagel@gibsondunn.com>	Del Raso, Joseph <DELRASOJ@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029435	Entirely Privileged	Attorney Client Communication	2/16/2016 13:02	Del Raso, Joseph <DELRASOJ@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029457	Entirely Privileged	Attorney Client Communication	2/16/2016 13:26	Knauer, Ivan B. <knaueri@pepperlaw.com>	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029471	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/16/2016 13:51	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029501	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/16/2016 14:48	Knauer, Ivan B. <knaueri@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029502	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/16/2016 14:50	Bowman, Blake <bbowman@aequitascapital.com>	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029517	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/17/2016 20:03	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Bowman, Blake (bbowman@aequitascapital.com) <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029531	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/17/2016 20:40	Bowman, Blake <bbowman@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01029534	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/17/2016 20:44	Fagel, Marc J. <MFagel@gibsondunn.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029633	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 11:15	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; John W. Lucas <jlucas@pszjlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01029657	Entirely Privileged	Attorney Client Communication	2/18/2016 12:10	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01029661	Entirely Privileged	Attorney Client Communication	2/18/2016 12:16	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01029687	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 12:54	John W. Lucas <jlucas@pszjlaw.com>	Holmen, Bob <bholmen@aequitascapital.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Ronald F. Greenspan <ron.greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01029688	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 12:56	Holmen, Bob <bholmen@aequitascapital.com>	John W. Lucas <jlucas@pszjlaw.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Ronald F. Greenspan <ron.greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01029690	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 13:06	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; John W. Lucas <jlucas@pszjlaw.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Ronald F. Greenspan <ron.greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01029691	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 13:07	Gambrell, Eric <egambrell@AKINGUMP.COM>	Holmen, Bob <bholmen@aequitascapital.com>; John W. Lucas <jlucas@pszjlaw.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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LD01029693	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 13:10	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; John W. Lucas <jlucas@pszjlaw.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01029694	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 13:10	John W. Lucas <jlucas@pszjlaw.com>	Holmen, Bob <bholmen@aequitascapital.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01029700	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/18/2016 13:15	Gambrell, Eric <egambrell@AKINGUMP.COM>	John W. Lucas <jlucas@pszjlaw.com>; Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01029828	Entirely Privileged	Attorney Client Communication	2/20/2016 14:49	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.
LD01029849	Entirely Privileged	Attorney Client Communication	2/20/2016 19:01	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01029938	Entirely Privileged	Attorney Client Communication	2/21/2016 18:16	Jesenik, Bob <bjesenik@aequitascapital.com>	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Knauer, Ivan B. <knauleri@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029943	Entirely Privileged	Attorney Client Communication	2/21/2016 18:30	Jesenik, Bob <bjesenik@aequitascapital.com>	Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Knauer, Ivan B. <knauleri@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01029970	Entirely Privileged	Attorney Client Communication	2/21/2016 20:16	Knauer, Ivan B. <knauleri@pepperlaw.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01030155	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/23/2016 15:34	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Bob Holmen <bholmen@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01030189	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/23/2016 16:06	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01030202	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/23/2016 16:25	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01030257	Redacted for Privilege	Attorney Client Communication	2/23/2016 17:27	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030548	Redacted for Privilege	Attorney Client Communication	2/28/2016 11:58	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030554	Redacted for Privilege	Attorney Client Communication	2/28/2016 12:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030570	Redacted for Privilege	Attorney Client Communication	2/28/2016 12:09	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030584	Redacted for Privilege	Attorney Client Communication	2/28/2016 12:42	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.

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LD01030602	Redacted for Privilege	Attorney Client Communication	2/28/2016 13:29	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030614	Redacted for Privilege	Attorney Client Communication	2/28/2016 13:47	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030619	Redacted for Privilege	Attorney Client Communication	2/28/2016 13:50	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030816	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 10:33	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030818	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 10:37	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030849	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 10:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030856	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:12	Knauer, Ivan B. <knaueri@pepperlaw.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01030857	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:13	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030858	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:14	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030859	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:16	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030860	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:30	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030864	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:31	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030865	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 11:33	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01030973	Entirely Privileged	Attorney Client Communication	3/2/2016 17:12	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01031044	Redacted for Privilege	Attorney Client Communication	3/5/2016 10:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01031065	Redacted for Privilege	Attorney Client Communication	3/5/2016 11:45	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01031133	Redacted for Privilege	Attorney Client Communication	3/6/2016 11:09	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01031143	Redacted for Privilege	Attorney Client Communication	3/6/2016 11:10	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.
LD01031146	Redacted for Privilege	Attorney Client Communication	3/6/2016 11:13	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.

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LD01031160	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 11:14	Brasch, Warren <wbrasch@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Brasch, Warren <wbrasch@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01031161	Redacted for Privilege	Attorney Client Communication	3/6/2016 11:23	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01031163	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 11:28	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01031167	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 11:33	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01031169	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 11:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Ira Kharasch <ikharasch@pszjlaw.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01031173	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 11:41	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>;	Ira Kharasch <ikharasch@pszjlaw.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01031178	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 12:12	Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Ira Kharasch <ikharasch@pszjlaw.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01031187	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 12:44	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01031188	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 12:51	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01031189	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 12:52	Brasch, Warren <wbrasch@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Ira Kharasch <ikharasch@pszjlaw.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01031190	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 12:53	Jesenik, Bob <bjesenik@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01031207	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 13:24	Gambrell, Eric <egambrell@AKINGUMP.COM>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD01031214	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/6/2016 14:03	Knauer, Ivan B. <knaueri@pepperlaw.com>	Brasch, Warren <wbrasch@aequitascapital.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Ira Kharasch <ikharasch@pszjlaw.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01031554	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/11/2016 10:48	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Vogel, Tom <tvogel@jcprinc.com>;	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01031626	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/11/2016 18:00	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01031638	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/11/2016 20:14	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01031639	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	3/11/2016 20:14	Jesenik, Bob <bjesenik@aequitascapital.com>	Marc J. Fagel <mfagel@gibsondunn.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01032516	Entirely Privileged	Attorney Client Communication	2/10/2016 11:45	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01032517	Entirely Privileged	Attorney Client Communication	2/10/2016 11:46	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01032918	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/16/2016 15:20	Bowman, Blake <bbowman@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01032958	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/16/2016 16:37	Fagel, Marc J. <MFagel@gibsondunn.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01033020	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/16/2016 18:46	Jesenik, Bob <bjesenik@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01033080	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/16/2016 21:12	Bowman, Blake <bbowman@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01033448	Redacted for Privilege	Attorney Client Communication	2/22/2016 14:31	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01033453	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/22/2016 14:40	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01033455	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/22/2016 14:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01033579	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/24/2016 1:15	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01033679	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/24/2016 12:43	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>	bjesenik@aequitascapital.com;		Email chain providing legal advice from personal attorney.

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LD01033693	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/24/2016 12:47	Jesenik, Bob <bjesenik@aequitascapital.com>	Bruggebrew, Daniel M. <DBruggebrew@gibsondunn.com>;	Email chain providing legal advice from personal attorney.	
LD01033877	Entirely Privileged	Attorney Client Communication; Attorney Work Product	2/26/2016 11:24	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01033968	Entirely Privileged	Attorney Client Communication	2/29/2016 18:00	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;	Email chain including voicemail from Marc Fagel providing legal advice.	
LD01034082	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/2/2016 18:06	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01034229	Redacted for Privilege	Attorney Client Communication	3/3/2016 17:30	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.	
LD01034423	Entirely Privileged	Attorney Client Communication	3/7/2016 16:39	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.	
LD01034441	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/7/2016 17:13	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.	
LD01034472	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:34	Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain including attachments providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034476	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:37	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01034477	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:41 Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034478	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:42 Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034479	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:43 Nichilo, Brian M. <nichilob@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>;	Email chain including attachments providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01034483	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:51	Foster, Brad <Brad.Foster@FTIConsulting.com>	Nichilo, Brian M. <nichilob@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034486	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:55	Knauer, Ivan B. <knaueri@pepperlaw.com>	Foster, Brad <Brad.Foster@FTIConsulting.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034487	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 16:58	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034489	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 17:01	Knauer, Ivan B. <knaueri@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Falco, John P. <falcoj@pepperlaw.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.

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LD01034499	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 17:15	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Falco, John P. <falcoj@pepperlaw.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034500	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/9/2016 17:18	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Falco, John P. <falcoj@pepperlaw.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01034651	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 11:04	Vogel, Tom <tvogel@jconnelly.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice from personal attorney.
LD01034661	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 11:21	Fagel, Marc J. <MFagel@gibsondunn.com>	Vogel, Tom <tvogel@jconnelly.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srostein@jconnelly.com>;	Email chain providing legal advice from personal attorney.
LD01034748	Redacted for Privilege	Attorney Client Communication	3/15/2016 10:45	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034758	Redacted for Privilege	Attorney Client Communication	3/15/2016 11:10	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034759	Redacted for Privilege	Attorney Client Communication	3/15/2016 11:12	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034762	Redacted for Privilege	Attorney Client Communication	3/15/2016 11:14	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034779	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:24	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034781	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:33	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034783	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:34	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034784	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:35	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034787	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:49	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034798	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:52	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034799	Redacted for Privilege	Attorney Client Communication	3/15/2016 13:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.

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LD01034803	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034804	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:05	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034806	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:06	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034811	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034813	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:24	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034817	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:29	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034819	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:32	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034845	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:40	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034848	Redacted for Privilege	Attorney Client Communication	3/15/2016 14:48	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034856	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:00	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034858	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034861	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:04	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034863	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:12	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034880	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:34	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034881	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:36	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034884	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:41	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034885	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:43	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01034887	Redacted for Privilege	Attorney Client Communication	3/15/2016 15:44	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice from personal attorney.
LD01035564	Redacted for Privilege	Attorney Client Communication	2/14/2016 14:47	Jesenik, Bob <bjesenik@aequitascapital.com>	Marc J. Fagel <mfagel@gibsondunn.com>;		Email chain providing legal advice from personal attorney.
LD01035588	Entirely Privileged	Attorney Client Communication	2/17/2016 10:05	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain providing legal advice regarding investor disclosures and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01035764	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/26/2016 14:32	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01035765	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/26/2016 14:34	Holmen, Bob <bholmen@aequitascapital.com>	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain including attachments regarding ASFG litigation subject to joint defense.
LD01035773	Entirely Privileged	Attorney Client Communication, Attorney Work Product	2/26/2016 14:34	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD01035788	Redacted for Privilege	Attorney Client Communication, Attorney Work Product	2/26/2016 14:54	Gambrell, Eric <egambrell@AKINGUMP.COM>	Holmen, Bob <bholmen@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.	
LD01035848	Redacted for Privilege	Attorney Client Communication	3/3/2016 18:08	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01035849	Redacted for Privilege	Attorney Client Communication	3/3/2016 18:10	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.	
LD01035854	Redacted for Privilege	Attorney Client Communication	3/3/2016 18:27	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01035855	Redacted for Privilege	Attorney Client Communication	3/3/2016 18:29	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	Email chain providing legal advice from personal attorney.	
LD01035856	Redacted for Privilege	Attorney Client Communication	3/3/2016 18:30	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01035857	Redacted for Privilege	Attorney Client Communication	3/3/2016 18:30	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01035896	Entirely Privileged	Attorney Client Communication, Attorney Work Product	3/7/2016 18:45	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice from personal attorney.	
LD01035968	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 12:58	Vogel, Tom <tvogel@jconnelly.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srosenstein@jconnelly.com>;	Email chain providing legal advice from personal attorney.
LD01035989	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 13:59	Jesenik, Bob <bjesenik@aequitascapital.com>	Vogel, Tom <tvogel@jconnelly.com>; Fagel, Marc J. <MFagel@gibsondunn.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srosenstein@jconnelly.com>;	Email chain providing legal advice from personal attorney.
LD01035990	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 13:59	Knauer, Ivan B. <knaueri@pepperlaw.com>	Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Email chain including attachment providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01036000	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/10/2016 14:11	Fagel, Marc J. <MFagel@gibsondunn.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Vogel, Tom <tvogel@jconnelly.com>;	Taormina, Dana <daormina@jconnelly.com>; Connelly, Jennifer <jconnelly@jconnelly.com>; Graumann, Carol <cgraumann@jconnelly.com>; Rosenstein, Seth <srosenstein@jconnelly.com>;	Email chain providing legal advice from personal attorney.

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Robert Jesenik Privilege Log

LD01036002	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:13	Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Fagel, Marc J. <MFagel@gibsondunn.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;		Email chain including attachments providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01036006	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	3/10/2016 14:16	Fagel, Marc J. <MFagel@gibsondunn.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa (Larissa.Gotguelf@FTIConsulting.com) <Larissa.Gotguelf@FTIConsulting.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01036049	Redacted for Privilege	Attorney Client Communication	3/16/2016 11:20	Jesenik, Bob <bjesenik@aequitascapital.com>	Fagel, Marc J. <MFagel@gibsondunn.com>;	bjesenik@gmail.com;	Email chain providing legal advice from personal attorney.
LD01036202	Entirely Privileged	Attorney Client Communication	4/11/2016 12:41	ShoreWare Voice Mail <Voicemail@aequitascapital.com>	bjesenik@aequitascapital.com;		Email chain including voicemail from Marc Fagel providing legal advice.
LD01039707	Entirely Privileged	Attorney Client Communication; Attorney Work Product	3/20/2015 16:31	Janke, Olaf <ojanke@aequitascapital.com>	Brasch, Warren <wbrasch@aequitascapital.com>; Wease, Jonathan <jwease@unigogroup.com>; Pyne, Justin <jpyne@aequitascapital.com>; Texeria, Ed <etexeria@aequitascapital.com>; Bowman, Blake <bbowman@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Wood, Scott <swood@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD01049102	Redacted for Privilege	Attorney Client Communication; Attorney Work Product	5/26/2015 17:20	Bowman, Blake <bbowman@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Texeria, Ed <etexeria@aequitascapital.com>; Pyne, Justin <jpyne@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01049107	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/26/2015 17:25	Texeria, Ed <etexeria@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Pyne, Justin <jpyne@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.

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LD01049752	Entirely Privileged	Attorney Client Communication	4/16/2015 22:45	Jesenik, Bob <bjesenik@aequitascapital.com>	egambrell@AKINGUMP.COM;	Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01050235	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2015 13:33	Vukovich, Surena <svukovich@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Gillis, Scott <sgillis@aequitascapital.com>;	Kim, Hyongsoon <KimH@AkinGump.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01050457	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/27/2015 9:56	Jesenik, Bob <bjesenik@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Texeria, Ed <etexeria@aequitascapital.com>; Pyne, Justin <jpyne@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01050537	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/27/2015 12:00	Gillis, Scott <sgillis@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01051069	Entirely Privileged	Attorney Client Communication, Attorney Work Product	4/17/2015 6:04	Gambrell, Eric <egambrell@AKINGUMP.COM>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01051469	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/4/2015 18:15	Craig, Andrew <acraig@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01051480	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/4/2015 18:18	Gambrell, Eric <egambrell@AKINGUMP.COM>	Craig, Andrew <acraig@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01051655	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2015 16:59	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Gillis, Scott <sgillis@aequitascapital.com>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD01051701	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/12/2015 23:03	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>;	Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01053382	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/13/2015 11:49	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01053420	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/13/2015 14:27	Vukovich, Surena <svukovich@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Gillis, Scott <sgillis@aequitascapital.com>;	Kim, Hyongsoon <KimH@AkinGump.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01054722	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/11/2015 13:00	Craig, Andrew <acraig@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01055058	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/28/2015 13:24	Jesenik, Bob <bjesenik@aequitascapital.com>	Gillis, Scott <sgillis@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.

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Robert Jesenik Privilege Log

LD01055815	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/7/2015 9:07	Gambrell, Eric <egambrell@AKINGUMP.COM>	Craig, Andrew <acraig@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01055816	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/7/2015 9:16	Jesenik, Bob <bjesenik@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; Craig, Andrew <acraig@aequitascapital.com>;	Gillis, Scott <sgillis@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01055838	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/11/2015 16:35	Jesenik, Bob <bjesenik@aequitascapital.com>	Craig, Andrew <acraig@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Vukovich, Surena <svukovich@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01055845	Entirely Privileged	Attorney Client Communication; Attorney Work Product	5/11/2015 17:11	Vukovich, Surena <svukovich@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Craig, Andrew <acraig@aequitascapital.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01056643	Entirely Privileged	Attorney Client Communication; Attorney Work Product	6/17/2015 1:18	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain regarding ASFG litigation subject to joint defense.
LD01062758	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/13/2015 12:23	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; kimh@akingump.com;	Bowman, Blake <bbowman@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Podrybau, Cyndie <cpodrybau@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01062759	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/13/2015 12:29	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>; kimh@akingump.com;	Bowman, Blake <bbowman@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Podrybau, Cyndie <cpodrybau@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01062760	Entirely Privileged	Attorney Client Communication, Attorney Work Product	8/13/2015 12:32	Gambrell, Eric <egambrell@AKINGUMP.COM>	Holmen, Bob <bholmen@aequitascapital.com>; Kim, Hyongsoon <KimH@AkinGump.com>;	Bowman, Blake <bbowman@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Vukovich, Surena <svukovich@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Podrybau, Cyndie <cpodrybau@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01064620	Entirely Privileged	Attorney Client Communication; Attorney Work Product	8/13/2015 20:19	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Gambrell, Eric <egambrell@AKINGUMP.COM>;	Email chain including attachment regarding ASFG litigation subject to joint defense.
LD01069418	Entirely Privileged	Attorney Client Communication, Attorney Work Product	9/15/2015 18:51	Holmen, Bob <bholmen@aequitascapital.com>	Gambrell, Eric <egambrell@AKINGUMP.COM>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Podrybau, Cyndie <cpodrybau@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01069420	Entirely Privileged	Attorney Client Communication, Attorney Work Product	9/15/2015 19:04	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Gambrell, Eric <egambrell@AKINGUMP.COM>; Gillis, Scott <sgillis@aequitascapital.com>; Podrybau, Cyndie <cpodrybau@aequitascapital.com>;	Email chain regarding ASFG litigation subject to joint defense.
LD01078640	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/11/2016 23:45	Holmen, Bob <bholmen@aequitascapital.com>	Gillis, Scott <sgillis@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>;	Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01078658	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/12/2016 8:05	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.

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Robert Jesenik Privilege Log

LD01078672	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/12/2016 10:32	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain including attachment providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01078707	Entirely Privileged	Attorney Client Communication, Attorney Work Product	1/12/2016 14:14	Gillis, Scott <sgillis@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding fundraising and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01078712	Entirely Privileged	Attorney Client Communication	1/12/2016 14:25	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain providing legal advice regarding use of investor funds and legal advice regarding SEC enforcement subject to joint defense agreement.
LD01079514	Entirely Privileged	Attorney Client Communication; Attorney Work Product	1/30/2016 20:16	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
LD01079515	Entirely Privileged	Attorney Client Communication; Attorney Work Product	1/30/2016 20:58	Gillis, Scott <sgillis@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain providing legal advice regarding SEC enforcement subject to joint defense agreement.
REL0000359839	Entirely Privileged	Attorney Client Communication, Attorney Work Product	9/24/2010 17:43	Steven Wilker	Sidley, Tom; Szabo, Tom; Oliver, Brian; Whittemore, Blake; Buda, Tony; Jesenik, Bob; Craig, Andrew; Morgan, Jessica; MacRitchie, Andy	Chris Pallanch	Email chain including attachments regarding CashReady litigation containing legal advice subject to joint defense.
REL0000359840	Entirely Privileged	Attorney Client Communication, Attorney Work Product	12/2/2010 11:28	Morgan, Jessica	Jesenik, Bob; Oliver, Brian; Whittemore, Blake; Craig, Andrew; Sidley, Tom	Morgan, Jessica	Email chain regarding CashReady litigation containing legal advice subject to joint defense.
REL0000359848	Redacted for Privilege	Attorney Client Communication	1/11/2011 12:47	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359850	Entirely Privileged	Attorney Client Communication	1/11/2010 12:45	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359854	Entirely Privileged	Attorney Client Communication	1/11/2011 17:05	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359857	Redacted for Privilege	Attorney Client Communication	1/6/2011 11:40	Oliver, Brian	Steven Wilker	Oliver, Brian; Jesenik, Bob	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359860	Redacted for Privilege	Attorney Client Communication	1/11/2011 12:47	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359862	Entirely Privileged	Attorney Client Communication	1/11/2011 12:44	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359863	Redacted for Privilege	Attorney Client Communication	1/21/2011 5:24	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359870	Redacted for Privilege	Attorney Client Communication	1/11/2011 12:46	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000359873	Entirely Privileged	Attorney Client Communication	1/11/2011 12:41	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent by codefendant to attorney and Bob Jesenik in CashReady matter containing legal advice subject to joint defense.
REL0000360522	Entirely Privileged	Attorney Client Communication	8/24/2010 16:53	Mary L. Harris on behalf of Albert A. Menashe	Jesenik, Bob	Albert A. Menashe	Email chain including attachment providing personal legal advice regarding family matter.
REL0000360523	Entirely Privileged	Attorney Client Communication	8/24/2010 16:01	Mary L. Harris on behalf of Albert A. Menashe	Jesenik, Bob	Albert A. Menashe	Email chain including attachment providing personal legal advice regarding family matter.

Exhibit 3
to
Smyth Declaration

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK

Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
REL0000359842	A/C;WP	8/23/2010 0:00	Wilker, Steven	Sidley, Tom; Buda, Tony; Whittemore, Blake; Craig, Andrew; Morgan, Jessica; Oliver, Brian; Jesenik, Bob; Szabo, Tom; MacRitchie, Andy	Pallanch, Chris; Passafaro, Vanessa	Email including attachments providing legal advice in CashReady matter.
REL0000359839	A/C;WP	9/24/2010 0:00	Wilker, Steven	Sidley, Tom; Szabo, Tom; Oliver, Brian; Whittemore, Blake; Buda, Tony; Jesenik, Bob; Craig, Andrew; Morgan, Jessica; MacRitchie, Andy	Pallanch, Chris	Email including attachments providing legal advice in CashReady matter.
REL0000359840	A/C;WP	12/2/2010 0:00	Morgan, Jessica	Jesenik, Bob; Oliver, Brian; Whittemore, Blake; Craig, Andrew; Sidley, Tom	Morgan, Jessica	Email including attachment forwarding legal advice from counsel to codefendants in CashReady matter.
REL0000359871	A/C;WP	1/3/2011 0:00	Sidley, Tom	Jesenik, Bob; Oliver, Brian; Craig, Andrew; Whittemore, Blake; Buda, Tony	MacRitchie, Andy; Sidley, Tom	Email from codedefendant forwarding legal advice in CashReady matter.
REL0000359857	A/C;WP	1/6/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Wilker, Steven	Oliver, Brian <boliver@aequitascapital.com>; jesenik Bob	Email including attachment sent to codedefendant and attorney in CashReady matter.
REL0000359853	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359855	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359861	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359859	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359870	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359848	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359851	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359869	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359868	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachment sent to codedefendant and attorney in CashReady matter.
REL0000359843	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359844	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachments sent to codedefendant and attorney in CashReady matter.
REL0000359860	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachments sent to codedefendant and attorney in CashReady matter.
REL0000359854	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359862	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachment sent to codedefendant and attorney in CashReady matter.
REL0000359865	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359866	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codedefendant and attorney in CashReady matter.
REL0000359864	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachment sent to codedefendant and attorney in CashReady matter.
REL0000359845	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachment sent to codedefendant and attorney in CashReady matter.

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Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
REL0000359858	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachment sent to codefendant and attorney in CashReady matter.
REL0000359856	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codefendant and attorney in CashReady matter.
REL0000359846	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codefendant and attorney in CashReady matter.
REL0000359852	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachments sent to codefendant and attorney in CashReady matter.
REL0000359847	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codefendant and attorney in CashReady matter.
REL0000359850	A/C;WP	1/11/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codefendant and attorney in CashReady matter.
REL0000359867	A/C;WP	1/21/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email including attachment sent to codefendant and attorney in CashReady matter.
REL0000359863	A/C;WP	1/21/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob	Wilker, Steven	Email sent to codefendant and attorney in CashReady matter.
REL0000359849	A/C;WP	1/29/2011 0:00	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob		Email including attachments forwarding attorney client communication and work product to codefendant in CashReady matter.
REL0000359873	A/C;WP	9/22/2013 17:05	Oliver, Brian	Jesenik, Bob	Steven Wilker	Email sent to codefendant and attorney in CashReady matter.
LD00277877	A/C	3/9/2014 11:36	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com> (asr@bhlaw.com) <asr@bhlaw.com>;		Email chain including attachments seeking legal advice from personal attorney.
LD00278336	A/C	3/10/2014 15:00	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Hanh K. Truong <hkt@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00916364	A/C	7/29/2014 9:42	Oliver, Brian <boliver@aequitascapital.com>	Wood, Scott <swood@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Redacted email chain seeking legal advice regarding CashReady litigation.
LD00375234	A/C	11/28/2014 15:33	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain including attachments seeking legal advice from personal attorney.
LD00375240	A/C	11/28/2014 15:38	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	James M. Baumgartner <jmb@bhlaw.com>; Hanh K. Truong <hkt@bhlaw.com>;	Email chain including attachments seeking legal advice from personal attorney.
LD00400985	A/C	2/27/2015 12:03	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jodi M. Stringer <JMS@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00404705	A/C	3/12/2015 16:50	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian (boliver@aequitascapital.com) <boliver@aequitascapital.com>;	Jodi M. Stringer <JMS@bhlaw.com>;	Email from personal attorney concerning legal advice.
LD00404874	A/C	3/13/2015 11:56	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Jodi M. Stringer <JMS@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00411355	A/C	4/2/2015 9:19	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain including attachments seeking legal advice from personal attorney.
LD00411442	A/C	4/2/2015 11:39	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jodi M. Stringer <JMS@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00410863	A/C	4/2/2015 17:35	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00410869	A/C	4/2/2015 17:53	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jodi M. Stringer <JMS@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00410871	A/C	4/2/2015 17:58	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00410881	A/C	4/2/2015 18:22	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jodi M. Stringer <JMS@bhlaw.com>;	Email chain seeking legal advice from personal attorney.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK

Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00434212	A/C	6/20/2015 0:44	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com> <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00434281	A/C	6/20/2015 15:14	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00435132	A/C	6/23/2015 17:30	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian (boliver@aequitascapital.com) <boliver@aequitascapital.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00445053	A/C;WP	7/28/2015 16:58	Craig, Andrew <acraig@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain including attachments regarding CashReady litigation.
LD00445393	A/C;WP	7/29/2015 12:18	Craig, Andrew <acraig@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain including attachments regarding CashReady litigation.
LD00445422	A/C;WP	7/29/2015 13:02	Oliver, Brian <boliver@aequitascapital.com>	Winner, Misty <mwinner@aequitascapital.com>;	Craig, Andrew <acraig@aequitascapital.com>;	Email chain including attachments regarding CashReady litigation.
LD00445502	A/C;WP	7/29/2015 14:08	Christopher Pallanch <christopher.pallanch@tonkon.com>	Craig, Andrew <acraig@aequitascapital.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Email chain including attachments regarding CashReady litigation.
LD00445511	A/C;WP	7/29/2015 14:12	Craig, Andrew <acraig@aequitascapital.com>	Winner, Misty <mwinner@aequitascapital.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Email chain including attachments regarding CashReady litigation.
LD00445543	A/C;WP	7/29/2015 16:19	Craig, Andrew <acraig@aequitascapital.com>	Christopher Pallanch <christopher.pallanch@tonkon.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Email chain including attachments regarding CashReady litigation.
LD00449629	A/C	8/10/2015 17:35	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00459434	A/C	9/17/2015 9:47	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00459436	A/C	9/17/2015 9:49	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com> (asr@bhlaw.com) <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00459604	A/C	9/17/2015 13:56	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00459714	A/C	9/17/2015 16:57	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00459750	A/C	9/17/2015 17:39	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00459760	A/C	9/17/2015 17:50	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00464526	A/C	10/5/2015 16:47	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00464873	A/C	10/6/2015 16:12	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00464876	A/C	10/6/2015 16:14	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00464880	A/C	10/6/2015 16:16	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00464904	A/C	10/6/2015 17:27	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00466248	A/C	10/12/2015 11:38	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00467548	A/C	10/16/2015 13:05	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00467567	A/C	10/16/2015 13:55	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00468262	A/C	10/19/2015 16:21	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com> (asr@bhlaw.com) <asr@bhlaw.com>;		Email chain including attachments seeking legal advice from personal attorney.

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Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00468354	A/C	10/19/2015 21:14	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00469720	A/C	10/23/2015 17:41	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian (boliver@aequitascapital.com) <boliver@aequitascapital.com>;	Wendy R. Cunningham <wrc@bhlaw.com>; Brian N. Dirks <bnd@bhlaw.com>;	Email chain and attachment providing legal advice from personal attorney.
LD00470974	A/C	10/28/2015 15:20	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>; Brian N. Dirks <bnd@bhlaw.com>;	Email chain providing legal advice from personal attorney.
LD00475230	A/C	11/11/2015 13:31	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian (boliver@aequitascapital.com) <boliver@aequitascapital.com>;	Wendy R. Cunningham <wrc@bhlaw.com>;	Email chain including attachments providing legal advice from personal attorney.
LD00493558	A/C	1/3/2016 20:33	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>; jmb@bhlaw.com; btnjoliver@aol.com;	Email chain including attachments seeking legal advice from personal attorney.
LD00493564	A/C	1/3/2016 20:33	James M. Baumgartner <jmb@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain including attachments seeking legal advice from personal attorney.
LD00495266	A/C	1/8/2016 9:37	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;	Wendy R. Cunningham <wrc@bhlaw.com>; jmb@bhlaw.com; btnjoliver@aol.com;	Email chain seeking legal advice from personal attorney.
LD00495287	A/C	1/8/2016 10:28	James M. Baumgartner <jmb@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00495436	A/C	1/8/2016 16:11	James M. Baumgartner <jmb@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain seeking legal advice from personal attorney.
LD00495437	A/C	1/8/2016 16:14	Oliver, Brian <boliver@aequitascapital.com>	James M. Baumgartner <jmb@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00495508	A/C	1/8/2016 18:54	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian <boliver@aequitascapital.com>;	James M. Baumgartner <jmb@bhlaw.com>;	Email chain seeking legal advice from personal attorney.
LD00496078	A/C	1/12/2016 11:22	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email chain seeking legal advice from personal attorney.
LD00613053	A/C	1/21/2016 17:18	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email including attachment seeking legal advice regarding investor communications.
LD00498897	A/C;WP	1/21/2016 19:41	Oliver, Brian <boliver@aequitascapital.com>	jraissi@sflaw.com;		Email with personal counsel regarding litigation.
LD00499036	A/C	1/22/2016 11:10	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email including attachment seeking legal advice regarding investor communications.
LD00499128	A/C;WP	1/22/2016 13:41	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00499157	A/C;WP	1/22/2016 14:01	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00499166	A/C;WP	1/22/2016 14:10	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email including attachment with personal counsel regarding litigation.
LD00613210	A/C	1/22/2016 14:52	Oliver, Brian <boliver@aequitascapital.com>	Rice, Brian <brice@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Email including attachment seeking legal advice concerning investor communications.
LD00499191	A/C;WP	1/22/2016 14:54	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email forwarding communication with personal counsel regarding litigation.
LD00613215	A/C	1/22/2016 15:09	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00613237	A/C	1/22/2016 17:10	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Redacted email chain providing legal advice concerning investor communications.

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Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00499344	A/C;WP	1/22/2016 20:08	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00499351	A/C;WP	1/22/2016 20:35	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00499360	A/C;WP	1/22/2016 22:39	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00499384	A/C;WP	1/23/2016 8:58	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00499393	A/C;WP	1/23/2016 9:40	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00499426	A/C	1/23/2016 12:25	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00499447	A/C;WP	1/23/2016 14:03	Oliver, Brian <boliver@aequitascapital.com>	Jahan P. Raissi <jraissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00499448	A/C;WP	1/23/2016 14:12	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00613381	A/C;WP	1/24/2016 15:23	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Redacted email chain providing legal advice concerning personal liability.
LD00499553	A/C	1/24/2016 15:28	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Redacted email chain providing legal advice concerning investor communications.
LD00613382	A/C;WP	1/24/2016 15:42	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Redacted email chain providing legal advice concerning personal liability.
LD00613383	A/C;WP	1/24/2016 15:46	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Redacted email chain providing legal advice concerning personal liability.
LD00613395	A/C	1/24/2016 17:51	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>;		Email providing legal advice concerning investor communications.
LD00499726	A/C;WP	1/25/2016 11:49	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain providing advice concerning retention of personal counsel.
LD00499779	A/C;WP	1/25/2016 14:27	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
REL0000400827	A/C;WP	1/25/2016 19:21				Document created in anticipation of litigation and forwarded to personal attorney for the purpose of obtaining legal advice.
LD00499909	A/C;WP	1/25/2016 20:06	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00500193	A/C;WP	1/26/2016 15:19	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachment with personal counsel regarding litigation.

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Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00500197	A/C;WP	1/26/2016 15:19	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00500199	A/C;WP	1/26/2016 15:19	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00500203	A/C;WP	1/26/2016 15:21	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
REL0000400788	A/C;WP	1/26/2016 20:18				Document created by personal counsel constituting an attorney client privileged communication and work product.
LD00614070	A/C	1/28/2016 11:49	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain with counsel seeking legal advice regarding investor disclosures.
LD00614240	A/C	1/29/2016 0:07	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain with counsel seeking legal advice regarding investor disclosures.
LD00614255	A/C	1/29/2016 8:36	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain with counsel seeking legal advice regarding investor disclosures.
LD00501288	A/C	1/29/2016 13:49	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	Lordi, Alan <alordi@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communication.
LD00501523	A/C	1/29/2016 20:32	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Lordi, Alan <alordi@aequitascapital.com>;	Redacted email chain providing legal advice concerning investor communication.
LD00614435	A/C; WP	1/30/2016 14:17	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Viestenz, Cheryl <cviestenz@aequitascapital.com>;	Email chain providing legal advice regarding litigation.
LD00614484	A/C; WP	1/31/2016 13:52	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain and attachment with counsel providing legal advice regarding investor disclosures.
LD00501665	A/C;WP	1/31/2016 13:57	Oliver, Brian <boliver@aequitascapital.com>	Jahan P. Raissi <jraissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00501714	A/C;WP	1/31/2016 20:45	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00501715	A/C;WP	1/31/2016 20:47	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00501716	A/C;WP	1/31/2016 20:50	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00614533	A/C	2/1/2016 8:47	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain with counsel providing legal advice regarding investor disclosures.
LD00614555	A/C; WP	2/1/2016 10:55	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain and attachment with counsel providing legal advice regarding investor disclosures.
LD00501898	A/C;WP	2/1/2016 11:56	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email to counsel seeking legal advice concerning retaining emails in anticipation of litigation.
LD00501912	A/C;WP	2/1/2016 12:05	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Hancock, Rick <rhancock@aequitascapital.com>; Kuhn, Brad <bkuhn@aequitascapital.com>;	Email from counsel providing legal advice concerning communications.
LD00614624	A/C	2/1/2016 13:44	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain with counsel providing legal advice regarding investor disclosures.
LD00502004	A/C;WP	2/1/2016 14:03	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
LD00502027	A/C;WP	2/1/2016 14:45	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.

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Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00614640	A/C; WP	2/1/2016 15:06	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain and attachment with counsel seeking legal advice regarding investor disclosures.
LD00502059	A/C; WP	2/1/2016 15:47	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
LD00502065	A/C; WP	2/1/2016 15:48	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
LD00502073	A/C; WP	2/1/2016 15:54	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
LD00614812	A/C	2/2/2016 12:10	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email seeking legal advice concerning disclosures.
LD00502625	A/C; WP	2/2/2016 14:48	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00502648	A/C; WP	2/2/2016 15:41	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email seeking legal advice concerning auto lease and personal obligations relating to same.
LD00614876	A/C	2/2/2016 15:57	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email chain seeking legal advice regarding SEC enforcement.
LD00502736	A/C; WP	2/2/2016 16:54	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email providing legal advice concerning auto lease and personal obligations relating to same.
LD00502766	A/C; WP	2/2/2016 17:54	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00502769	A/C; WP	2/2/2016 17:56	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00614949	A/C	2/2/2016 22:13	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Rice, Brian <brice@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Gillis, Scott <sgillis@aequitascapital.com>;		Email chain providing legal advice concerning disclosures.
LD00502845	A/C	2/2/2016 22:18	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email chain providing legal advice concerning disclosures.
LD00502847	A/C	2/2/2016 22:21	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain providing legal advice concerning disclosures.
LD00503234	A/C	2/3/2016 17:52	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;		Email chain seeking legal advice concerning investor communications.
LD01020410	A/C; WP	2/3/2016 17:57	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00241719	A/C; WP	2/5/2016 12:58	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Email providing legal advice concerning SEC enforcement and legal representation.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK

Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00615470	A/C;WP	2/5/2016 18:25	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain providing legal advice concerning investor communications.
LD00615473	A/C;WP	2/5/2016 19:26	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain providing legal advice concerning investor communications.
LD00615479	A/C;WP	2/5/2016 20:10	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain providing legal advice concerning investor communications.
LD00504343	A/C;WP	2/6/2016 11:12	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
LD00504399	A/C;WP	2/6/2016 11:17	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email including attachments with personal counsel regarding litigation.
LD00504411	A/C;WP	2/6/2016 12:03	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Email including attachments with personal counsel regarding litigation.
LD00245831	A/C;WP	2/7/2016 13:09	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Email concerning ceasing representation and providing legal advice relating to investor communications.
LD00245832	A/C;WP	2/7/2016 13:22	Froude, Craig <cfroude@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Redacted email chain concerning ceasing representation and providing legal advice relating to investor communications.
LD00504507	A/C;WP	2/7/2016 14:22	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email with attachment forwarding privileged attorney-client communications and attorney work product.
LD00245840	A/C;WP	2/7/2016 15:23	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>;		Redacted email chain concerning ceasing representation and providing legal advice relating to investor communications.
LD01022977	A/C	2/7/2016 17:51	Holmen, Bob <bholmen@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Email chain seeking legal advice concerning investor communications.
LD00615868	A/C	2/9/2016 20:39	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Email chain with counsel seeking legal advice regarding investor disclosures.
LD00506766	A/C;WP	2/12/2016 17:40	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email chain including attachments seeking legal advice concerning investor communications.
LD00506784	A/C;WP	2/12/2016 18:33	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email chain providing legal advice concerning investor communications.
LD00506908	A/C;WP	2/13/2016 12:12	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;		Email chain including attachments seeking legal advice concerning investor communications.
LD00507014	A/C;WP	2/13/2016 18:28	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00507017	A/C;WP	2/13/2016 18:33	Raissi, Jahan <JRaissi@sflaw.com>	Holmen, Bob <bholmen@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00507018	A/C;WP	2/13/2016 18:36	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>; Holmen, Bob <bholmen@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00507218	A/C	2/15/2016 11:01	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; ron.greenspan@fticonsulting.com;		Redacted email chain seeking legal advice concerning personal loan and guarantee.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK

Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00507249	A/C	2/15/2016 11:26	Froude, Craig <cfroude@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain seeking legal advice concerning personal loan and guarantee.
LD00507261	A/C	2/15/2016 11:33	Holmen, Bob <bholmen@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain seeking legal advice concerning personal loan and guarantee.
LD00507266	A/C	2/15/2016 11:35	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Froude, Craig <cfroude@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain seeking legal advice concerning personal loan and guarantee.
LD00507273	A/C	2/15/2016 11:42	Froude, Craig <cfroude@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain seeking legal advice concerning personal loan and guarantee.
LD00507429	A/C	2/15/2016 15:14	Froude, Craig <cfroude@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain seeking legal advice concerning personal loan and guarantee.
LD00616477	A/C	2/15/2016 15:27	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email seeking legal advice concerning possible lawsuits.
LD00616479	A/C	2/15/2016 15:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain seeking legal advice concerning possible lawsuits.
LD00507504	A/C;WP	2/15/2016 19:38	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Redacted email chain seeking legal advice concerning possible lawsuits.
LD01035588	A/C	2/17/2016 10:05	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email including attachments seeking legal advice concerning investor communications.
LD00508063	A/C;WP	2/17/2016 10:30	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Email seeking legal advice concerning investor communications.
LD00508530	A/C	2/18/2016 8:29	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain including attachment seeking legal advice concerning investor communications.
LD00508576	A/C	2/18/2016 9:32	Froude, Craig <cfroude@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00508580	A/C;WP	2/18/2016 9:33	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00508585	A/C	2/18/2016 9:36	Froude, Craig <cfroude@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00242245	A/C	2/18/2016 11:47	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00242283	A/C;WP	2/18/2016 12:24	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00242287	A/C;WP	2/18/2016 12:33	Holmen, Bob <bholmen@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	MacRitchie, Andy <AMacRitchie@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK

Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00509264	A/C	2/19/2016 13:40	Oliver, Brian <boliver@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD01025286	A/C	2/19/2016 13:42	Froude, Craig <cfroude@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Bowman, Blake <bbowman@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00509296	A/C	2/19/2016 14:22	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>;	Bowman, Blake <bbowman@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD01025305	A/C	2/19/2016 14:37	Bowman, Blake <bbowman@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00509310	A/C	2/19/2016 14:39	Holmen, Bob <bholmen@aequitascapital.com>	Bowman, Blake <bbowman@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00509595	A/C;WP	2/20/2016 11:01	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD01085193	A/C;WP	2/20/2016 13:46	Oliver, Brian <boliver@aequitascapital.com>	baoliver.1964@gmail.com;		Email with attachments forwarding privileged attorney-client communications with J. Raissi and attorney work product from business email to personal email.
LD00617035	A/C;WP	2/22/2016 15:25	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Redacted email chain providing legal advice concerning compensation.
LD00617038	A/C;WP	2/22/2016 16:05	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;		Redacted email chain providing legal advice concerning compensation.
LD00617041	A/C;WP	2/22/2016 16:15	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Redacted email chain providing legal advice concerning compensation.
LD00617044	A/C;WP	2/22/2016 16:21	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Redacted email chain providing legal advice concerning compensation.

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Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00617060	A/C	2/22/2016 19:24	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00510613	A/C	2/23/2016 14:12	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00510619	A/C	2/23/2016 14:22	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00510671	A/C	2/23/2016 16:05	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00510683	A/C	2/23/2016 16:20	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00510686	A/C	2/23/2016 16:28	Jesenik, Bob <bjesenik@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00510691	A/C;WP	2/23/2016 16:40	Holmen, Bob <bholmen@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;	Bowman, Blake <bbowman@aequitascapital.com>;	Redacted email chain seeking legal advice concerning investor communications.
LD00242590	A/C;WP	2/24/2016 10:25	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Froude, Craig <cfroude@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain seeking legal advice regarding litigation obligations.
LD00242611	A/C;WP	2/24/2016 11:38	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>;	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Redacted email chain providing legal advice regarding litigation obligations.
LD00246953	A/C	2/25/2016 10:15	Oliver, Brian <boliver@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00246955	A/C	2/25/2016 10:18	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00246957	A/C;WP	2/25/2016 10:23	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Oliver, Brian <boliver@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>; Gotguelf, Larissa <Larissa.Gotguelf@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK

Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00246959	A/C;WP	2/25/2016 10:30	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00246969	A/C	2/25/2016 11:26	Jesenik, Bob <bjesenik@aequitascapital.com>	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00246971	A/C;WP	2/25/2016 11:28	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain seeking legal advice concerning investor communications.
LD00251617	A/C	2/28/2016 11:56	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Froude, Craig <cfroude@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>; Foster, Brad <Brad.Foster@FTIConsulting.com>;		Redacted email chain from counsel concerning ceasing representation and SEC investigation.
LD00513893	A/C;WP	3/2/2016 17:05	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00513895	A/C;WP	3/2/2016 17:05	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00513974	A/C;WP	3/2/2016 17:49	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00513981	A/C;WP	3/2/2016 17:52	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00515288	A/C;WP	3/7/2016 17:18	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00515324	A/C;WP	3/7/2016 18:21	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK
Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00247675	A/C;WP	3/8/2016 8:06	Knauer, Ivan B. <knaueri@pepperlaw.com>	Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Holmen, Bob (bholmen@aequitascapital.com) <bholmen@aequitascapital.com>; Bowman, Blake (bbowman@aequitascapital.com) <bbowman@aequitascapital.com>; Brasch, Warren (wbrasch@aequitascapital.com) <wbrasch@aequitascapital.com>; Jesenik, Bob (bjesenik@aequitascapital.com) <bjesenik@aequitascapital.com>; MacRitchie, Andy (AMacRitchie@aequitascapital.com) <AMacRitchie@aequitascapital.com>; boliver@aequitascapital.com; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Robert Knuts (RKnuts@shertremonte.com) <RKnuts@shertremonte.com>; Raissi, Jahan <JRaissi@sflaw.com>;	Redacted email chain with counsel and codefendants regarding litigation.
LD00247716	A/C;WP	3/8/2016 11:12	Holmen, Bob <bholmen@aequitascapital.com>	Knauer, Ivan B. <knaueri@pepperlaw.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Bowman, Blake <bbowman@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Robert Knuts (RKnuts@shertremonte.com) <RKnuts@shertremonte.com>; Raissi, Jahan <JRaissi@sflaw.com>;	Redacted email chain with counsel and codefendants regarding litigation.
LD00247719	A/C;WP	3/8/2016 11:31	Knauer, Ivan B. <knaueri@pepperlaw.com>	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron (Ron.Greenspan@FTIConsulting.com) <Ron.Greenspan@FTIConsulting.com>; Foster, Brad (Brad.Foster@FTIConsulting.com) <Brad.Foster@FTIConsulting.com>; Bowman, Blake <bbowman@aequitascapital.com>; Brasch, Warren <wbrasch@aequitascapital.com>; Jesenik, Bob <bjesenik@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Del Raso, Joseph <DELRASOJ@pepperlaw.com>; Falco, John P. <falcoj@pepperlaw.com>; Nichilo, Brian M. <nichilob@pepperlaw.com>;	Fagel, Marc J. <MFagel@gibsondunn.com>; Robert Knuts (RKnuts@shertremonte.com) <RKnuts@shertremonte.com>; Raissi, Jahan <JRaissi@sflaw.com>;	Redacted email chain with counsel and codefendants regarding litigation.
LD00515528	A/C;WP	3/8/2016 13:29	Oliver, Brian <boliver@aequitascapital.com>	Raissi, Jahan <JRaissi@sflaw.com>;		Email with personal counsel regarding litigation.
LD00515534	A/C;WP	3/8/2016 13:34	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.
LD00515631	A/C;WP	3/8/2016 17:07	Raissi, Jahan <JRaissi@sflaw.com>	Oliver, Brian <boliver@aequitascapital.com>;		Email with personal counsel regarding litigation.

SEC v. Aequitas, et al. Case No. 3:16-cv-00438-PK
Brian Oliver Privilege Log

Control Number ID	Privilege Designation (Oliver)	Sort Date	Email From	Email To	Email CC	Description
LD00520604	A/C	4/1/2016 19:00	Adam S. Rittenberg <asr@bhlaw.com>	Oliver, Brian (boliver@aequitascapital.com) <boliver@aequitascapital.com>;	James M. Baumgartner <jmb@bhlaw.com>; Britta E. Warren <bew@bhlaw.com>; Wendy R. Cunningham <wrc@bhlaw.com>;	Email and attachment reflecting legal advice from personal attorney.
LD00520614	A/C	4/1/2016 19:00	Oliver, Brian <boliver@aequitascapital.com>	Adam S. Rittenberg <asr@bhlaw.com>;		Email with personal counsel constituting attorney client communications.

Exhibit 4
to
Smyth Declaration

SEC v. Aequitas Management, LLC, Case No. 3:16-cv-00438-PK
N. Scott Gillis Privilege Log

Control Number ID	Privilege Designation (Gillis)	Sort Date	Email From	Email To	Email CC	Description
LD00615868	Attorney Client Communication	2/9/2016 20:39	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <DL_CORPAMLLCExec@aequitascapital.com>;		Email chain and attachment containing and/or reflecting legal advice regarding investor disclosures and SEC enforcement subject to common interest privilege
LD01079951	Attorney Client Communication	2/15/2016 13:45	Gillis, Scott <sgillis@aequitascapital.com>	Sprague, Doug <dsprague@cov.com>;		Email containing and/or reflecting legal advice regarding SEC enforcement
LD00616477	Attorney Client Communication	2/15/2016 15:27	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain and attachment containing and/or reflecting legal advice regarding investor disclosures and SEC enforcement subject to common interest privilege
LD00616479	Attorney Client Communication	2/15/2016 15:55	Jesenik, Bob <bjesenik@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>;	Email chain and attachment containing and/or reflecting legal advice regarding investor disclosures and SEC enforcement subject to common interest privilege
LD00507504	Attorney Client Communication; Attorney Work Product	2/15/2016 19:38	Greenspan, Ron <Ron.Greenspan@FTIConsulting.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; Holmen, Bob <bholmen@aequitascapital.com>;	[DL] CORP AMLLC Executive Team <DL_CORPAMLLCExec@aequitascapital.com>;	Email chain containing and/or reflecting legal advice regarding investor disclosures and SEC enforcement subject to common interest privilege
LD01082433	Attorney Client Communication	2/19/2016 14:03	Sprague, Doug <dsprague@cov.com>	Gillis, Scott (sgillis@aequitascapital.com) <sgillis@aequitascapital.com>;		Email chain and attachment containing and/or reflecting legal advice regarding SEC enforcement
LD01082441	Attorney Client Communication	2/19/2016 16:07	Gillis, Scott <sgillis@aequitascapital.com>	dsprague@cov.com;		Email reflecting request for legal advice regarding SEC enforcement
LD01082442	Attorney Client Communication	2/19/2016 16:09	Sprague, Doug <dsprague@cov.com>	Gillis, Scott <sgillis@aequitascapital.com>;		Email containing and/or reflecting legal advice regarding SEC enforcement
LD01082446	Attorney Client Communication	2/19/2016 16:21	Sprague, Doug <dsprague@cov.com>	Gillis, Scott <sgillis@aequitascapital.com>;		Email chain containing and/or reflecting legal advice regarding SEC enforcement
LD00617035	Attorney Client Communication; Attorney Work Product	2/22/2016 15:25	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <DL_CORPAMLLCExec@aequitascapital.com>;		Email chain and attachment containing and/or reflecting legal advice regarding SEC enforcement subject to common interest privilege
LD00617038	Attorney Client Communication; Attorney Work Product	2/22/2016 16:05	Oliver, Brian <boliver@aequitascapital.com>	Holmen, Bob <bholmen@aequitascapital.com>; MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <DL_CORPAMLLCExec@aequitascapital.com>;		Email chain and attachments containing and/or reflecting legal advice regarding SEC enforcement subject to common interest privilege

SEC v. Aequitas Management, LLC, Case No. 3:16-cv-00438-PK

N. Scott Gillis Privilege Log

Control Number ID	Privilege Designation (Gillis)	Sort Date	Email From	Email To	Email CC	Description
LD00617041	Attorney Client Communication; Attorney Work Product	2/22/2016 16:15	MacRitchie, Andy <AMacRitchie@aequitascapital.com>	Oliver, Brian <boliver@aequitascapital.com>;	Holmen, Bob <bholmen@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain and attachments containing and/or reflecting legal advice regarding SEC enforcement subject to common interest privilege
LD00617044	Attorney Client Communication; Attorney Work Product	2/22/2016 16:21	Holmen, Bob <bholmen@aequitascapital.com>	MacRitchie, Andy <AMacRitchie@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>;	Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>;	Email chain containing and/or reflecting legal advice regarding SEC enforcement subject to common interest privilege
LD00617060	Attorney Client Communication	2/22/2016 19:24	Holmen, Bob <bholmen@aequitascapital.com>	Jesenik, Bob <bjesenik@aequitascapital.com>; Oliver, Brian <boliver@aequitascapital.com>; [DL] CORP AMLLC Executive Team <_DL_CORPAMLLCExec@aequitascapital.com>; Greenspan, Ron <Ron.Greenspan@fticonsulting.com>; Foster, Brad <Brad.Foster@FTiconsulting.com>;		Email chain and attachment containing and/or reflecting legal advice regarding SEC enforcement subject to common interest privilege
LD01080091	Attorney Client Communication	2/26/2016 17:22	Gillis, Scott <sgillis@aequitascapital.com>	Doug Sprague (Cov & Burling Atty) <dsprague@cov.com>;		Email chain and attachments reflecting request for legal advice regarding SEC enforcement

Exhibit 5
to
Smyth
Declaration

1 IN THE UNITED STATES DISTRICT COURT

2 FOR THE DISTRICT OF OREGON

3 PORTLAND DIVISION

4 LAWRENCE P. CIUFFITELLI, for)
5 himself and as Trustee of)
6 CIUFFITELLI REVOCABLE TRUST,)
et al.,)

7 Plaintiff,)

Case No. 3:16-cv-00580-AC

8 v.)

February 27, 2017

9 DELOITTE & TOUCHE LLP;)
10 EISNERAMPER LLP; SIDLEY AUSTIN)
LLP; TONKON TORP LLP; TD)
11 AMERITRADE, INC.; and)
INTEGRITY BANK & TRUST)

12 Defendants.)
13 -----)

14
15
16 ORAL ARGUMENT

17 TRANSCRIPT OF PROCEEDINGS

18 BEFORE THE HONORABLE JOHN V. ACOSTA

19 UNITED STATES DISTRICT COURT MAGISTRATE JUDGE
20
21
22
23
24
25

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* * *

1 TRANSCRIPT OF PROCEEDINGS

2 THE COURT: Good morning. We're here on the
3 intervenors' motion for protective order. Docket No. 196.
4 I've read everything.

5 So who will be arguing the motion for the interveners?

6 MR. ROBERTSON: Good morning, Your Honor.
7 Jeff Robertson on behalf of Bob Jesenik, intervener.

8 THE COURT: All right.

9 MS. SIMONSEN: Ashley Simonsen for Intervener Scott
10 Gillis.

11 I'll be happy to answer any questions Your Honor has about
12 Mr. Gillis specifically.

13 THE COURT: All right. Thank you.

14 Anyone else?

15 All right. The intervenors filed the motion jointly, so I
16 don't know how you two have organized your division of
17 responsibilities, but I'll let you sort that out as I ask
18 questions.

19 MR. ROBERTSON: Your Honor, if I may, before you ask
20 your first question, there's one update that I would like to
21 advise the Court about.

22 THE COURT: Go ahead.

23 MR. ROBERTSON: That is that the interveners actually
24 have agreed to a revised and modified protective order that has
25 been agreed to by both of the law firms, Sidley and Tonkon; but

1 at least as of yesterday the plaintiffs have not agreed to it,
2 and I'm happy to -- we have not had the opportunity to file the
3 revised order, but I'm happy to hand up a copy -- a clean copy
4 of it, as well as a redline showing the changes to the order
5 proposed when we filed our initial briefs.

6 THE COURT: Does that mean that Sidley no longer
7 opposes the motion for protective order by the interveners?

8 MR. TEMPLETON: Your Honor, Trevor Templeton on
9 behalf of Sidley Austin. That's correct. We have no objection
10 to the most recent version of the proposed protective order.

11 THE COURT: All right. So I want to be clear,
12 Mr. Templeton. I understand you may not object to the form of
13 the order. What I want to know is if you oppose the motion.

14 MR. TEMPLETON: Oh, we do not oppose the motion. We
15 would like -- the -- it's a little tricky. The proposed
16 protective order, as was just mentioned, has not been filed;
17 but, as we understand the most recent version, we do not oppose
18 the motion to enter that as the protective order in the case.

19 THE COURT: All right. We might be talking past each
20 other. Here's the thing: If I grant their motion, I think I
21 understand that the order they've submitted is okay with you.
22 But the question is whether I grant the motion or not. Now,
23 you filed an opposition. Now what I want to know is does that
24 opposition still obtain or have you withdrawn it?

25 MR. TEMPLETON: We still object to the proposal as

1 has been filed with the court.

2 THE COURT: Okay. Thank you. All right.

3 Here's my question, my first one: In all my years as a
4 lawyer and then as a judge, when an attorney-client issue is
5 raised by a party, they pretty much know they have a lawyer,
6 and they pretty much know what they want to keep protected.
7 Your motion doesn't tell me any of that.

8 In fact, the best your motion says is, "We want to look at
9 documents to determine if a privilege exists." That's a
10 difficult starting point to assert an attorney-client
11 privilege.

12 Here is the other thing: You cite a five-part test for
13 determining whether the individual defendants in the SEC case,
14 the intervenors here, are entitled to assert a privilege.
15 There's no showing of any kind, none, even taking into account
16 the Jesenik declaration, that each of the five factors in that
17 five-part test have been met. There's nothing. There's no
18 showing in this case.

19 So if I'm to grant the motion for protective order, I need
20 something more than has been provided, and I don't know how you
21 propose to make that showing.

22 MR. ROBERTSON: Understood, Your Honor. And those
23 are fair and valid points. The issue here is, in essence, that
24 what Your Honor is talking about is a determination about
25 privilege where we have not -- the interveners have not had

1 access to it, the documents, to identify specifically which
2 documents are privileged as to the individuals.

3 THE COURT: I understand that. But before you can
4 determine whether any of the documents contain attorney-client
5 privilege material, it seems to me you have to demonstrate that
6 the attorney-client privilege existed between each of the three
7 interveners and the lawyers for the law firms in this case.

8 So here is what I thought I would see, but I have not
9 seen: Declarations from one or more lawyers at Sidley and
10 Tonkon saying that one or more of the interveners asked them
11 for legal advice in an individual capacity and they agreed to
12 provide it. I don't have that.

13 I don't have that from any of the interveners either.

14 I have nothing from the law firms themselves or their
15 respective clients indicating that they agreed to allow their
16 corporate counsel, the lawyers hired to represent the law firms
17 themselves -- or, I mean, the Aequitas entities, to give
18 individual advice to these corporate executives. I don't have
19 that.

20 There's -- you -- I have not even seen in your papers what
21 you believe to be the advice you received, when it was
22 received, who provided it, and for what purpose. Nothing.
23 There's nothing in there.

24 Here is what I was left with when I finished reading your
25 motion: We'd like to go through documents to see if there's

1 any stuff in there that we don't like.

2 That's not a basis for privilege.

3 So -- and it's a little, I have to tell you, frustrating
4 that you've cited this test but you did not apply it with
5 facts.

6 Now, I realize that discovery motions are generally
7 limited to ten pages. You could have asked for more pages if
8 you had facts to apply to show that the five-part test in this
9 case governed and you had a right to go through these documents
10 before they were produced. Nothing has been provided to me.

11 How do I make a determination that your client, or any of
12 them, have a privilege?

13 MR. ROBERTSON: Understood, Your Honor. And we
14 apologize for the record and the frustration that obviously
15 it's caused to you, Your Honor. It may make sense to talk
16 about -- to split up the discussion of the different law firms.

17 THE COURT: All right.

18 MR. ROBERTSON: As to Tonkon, there is objective,
19 indisputable evidence that Tonkon represented my client in two
20 separate litigation matters; entered appearances, obtained
21 waivers of conflict.

22 THE COURT: Where is that? Where is that in the
23 record?

24 MR. ROBERTSON: We -- the reason we haven't filed
25 that and the other evidence that we have is that this -- our --

1 our understanding of these relationships is happening in
2 realtime. And the reason it's happening in realtime is that in
3 connection with the SEC case the receiver has agreed to give us
4 access to its potentially privileged documents as to our
5 clients. So we have undertaken the process of reviewing those
6 documents and we have now identified those that we think are
7 the subject of privilege claims as to the individuals.

8 I'm happy to supplement the record with additional
9 documentation that establishes the attorney-client
10 relationships between the individual interveners and the law
11 firms. And, again, we regret our inability to -- and failure
12 to do that in connection with this -- with this proposed order.

13 THE COURT: Here is what -- here is what that
14 information doesn't explain: Why any of the interveners, and
15 particularly -- I know Jesenik filed a declaration, which, in
16 terms of content, was barely a page; but the other two filed
17 nothing to say who they talked to, when they talked to, that
18 they asked for legal advice and received it. Nothing regarding
19 the documents has anything to do with the interveners' ability
20 to provide that declaration, even if in realtime you're moving
21 through documents the receiver has allowed you to view.

22 It comes down to this: Your client should know if they
23 have a lawyer or not. They should know if they did or didn't
24 receive legal advice. You didn't even make that
25 representation, except for Jesenik, possibly.

1 Why has no one said that?

2 MR. ROBERTSON: Your Honor makes a valid point.

3 THE COURT: I think so. If I'm to apply the
4 privilege, there has to be, as I understand it, a client and a
5 lawyer.

6 MR. ROBERTSON: Correct.

7 THE COURT: And that doesn't exist here.

8 MR. ROBERTSON: Right. I can tell you, on behalf of
9 Mr. Jesenik, he certainly can provide a declaration or
10 affirmation exactly along the lines that Your Honor suggests;
11 that he --

12 THE COURT: It's kind of late now for that.

13 MR. ROBERTSON: Understood.

14 THE COURT: This courtroom is full of lawyers who are
15 billing their clients a lot of money to be here for a motion, I
16 have to tell you, that was poorly supported.

17 MR. ROBERTSON: Understood, Your Honor. What -- let
18 me try to explain, at least in part, why our motion in this
19 case was crafted the way it was.

20 THE COURT: All right.

21 MR. ROBERTSON: From our perspective, the issue
22 before Your Honor wasn't whether there was a privilege or the
23 extent of that privilege, but, rather, what reasonable and
24 appropriate steps made sense in order for our clients to ensure
25 that there had been no waiver of their individual privilege.

1 So our focus, as the discussions that we had with plaintiffs
2 and the law firms bear out, was about the scope of the review,
3 not whether there was a privilege, because, as the Ninth
4 Circuit has recognized in a number of cases, the issue as to
5 privilege, when it comes down to these issues, is a
6 case-specific, document-specific issue.

7 So even if you accept, as I think should be the case, that
8 our client, for instance, had an attorney-client relationship
9 with Tonkon, that doesn't answer the question as to which of
10 the individual communications between our client and his lawyer
11 were privileged as to him, given the context here, where the
12 corporation, on the one hand, has waived privilege, yet the
13 individuals who have an independent relationship with their
14 lawyer have not waived it.

15 So, in our view, the issue was which document is
16 privileged and within the scope of privilege that hadn't been
17 waived, and that, we think, is how the receiver approached it
18 when it agreed to give us access to potentially privileged
19 documents.

20 That is, based on our discussions with the SEC, how it's
21 approaching the issue. We can debate about whether there was a
22 privilege and the extent of the privilege, but the real issue
23 is which specific document is covered within the privilege.
24 And in order to have that kind of a detailed analysis, we need
25 access to the underlying documents; which, again, I understand

1 does not address Your Honor's concern about the lack of
2 evidence on which to -- that the Court could determine that
3 there actually was and is an attorney-client relationship
4 between the interveners and corporate counsel. And, if it's
5 appropriate and the Court would like, we're happy to supplement
6 the record with that.

7 THE COURT: I don't want to misunderstand what you
8 just said, so I'm going to put it in terms that -- of what it
9 sounded like.

10 Apparently, there was an assumption there was a privilege.
11 The question was which documents were within that scope. In
12 other words, the interveners, their lawyers, the lawyers for
13 the receiver, perhaps maybe one or more of the defendants in
14 this case, simply took, as a given, there was a privilege, and
15 then the discussion moved directly to "We have to figure out
16 which documents are within that scope." Is that a fair
17 summary, or did I leave something out?

18 MR. ROBERTSON: I don't want to speak for the others
19 involved, and, personally, I was only involved in some of those
20 conversations because my law firm came relatively late to
21 this -- to this undertaking, but, certainly, that was our
22 understanding.

23 And when we look at things like publicly available dockets
24 that demonstrate that Tonkon entered its appearance on behalf
25 of our client, there seemed, to us, to be no room to debate

1 whether there was an attorney-client relationship.

2 Likewise, since we've had access to the documents that the
3 receivers made available to us, we have now seen communications
4 that reflect joint defense privileged communications between
5 Sidley, Aequitas, and our client.

6 So, in our view, there is no room to debate whether there
7 is grounds for the individuals to assert privilege over a
8 subset of the communications at issue here.

9 Again, to the extent Your Honor would like it, we're happy
10 to supplement the record to identify the bases for those --
11 that belief.

12 As an alternative, what we think may make sense for
13 Your Honor to consider is the fact that the SEC litigation is
14 actually miles ahead of this case in reaching a determination
15 about the scope and extent of the privilege, and that's
16 because, unlike in this case, the debate wasn't whether the
17 individuals should have access to the documents, but, rather,
18 give them access, let them review the documents, and, to the
19 extent appropriate, assert privilege as to individual
20 documents.

21 That process is currently playing out. The review is
22 almost complete. It will be completed tomorrow. And then
23 there will be a process by which a court, not Your Honor,
24 because the SEC case is before Judge --

25 THE COURT: Papak?

1 MR. ROBERTSON: Exactly. He will make a
2 determination as to individual documents, whether those
3 individual documents are or are not within the scope of
4 privileged communications as to the individuals.

5 That process -- that review has already taken place. It's
6 nearly complete. It will be resolved in that case as to the
7 specific documents.

8 THE COURT: I looked at the docket in the SEC case
9 before coming out this morning. I saw no motion practice
10 related to the attorney-client issue similar to that which has
11 been filed in this case. Was there any hearing or
12 determination by Judge Papak whether or not a privilege existed
13 in the first instance?

14 MR. ROBERTSON: No, there has not been, Your Honor;
15 but, as I mentioned, the receiver, although it decided to waive
16 the corporation's privilege --

17 THE COURT: Yes.

18 MR. ROBERTSON: -- acknowledged that there was a
19 basis on which -- for the individuals to assert privilege as to
20 them -- themselves individually.

21 There's been no determination about the scope or the
22 subject matter related to those individual privileges; but, as
23 I mentioned, there's clear objective evidence as to both law
24 firms of the clear basis for privileged communications.

25 So the fight in the SEC case, as I mentioned, will be

1 about the extent and which documents fall within the
2 individual's privilege and which -- which don't.

3 THE COURT: All right. Thank you.

4 Mr. DeJong, will it be you or Mr. Ketterling?

5 MR. DEJONG: It will be me, Your Honor.

6 THE COURT: All right. Well, you heard my questions.
7 Any responses? Your thoughts?

8 MR. DEJONG: Well, the questions were the same ones
9 that I was going to ask, Your Honor, and the same points that I
10 was going to make.

11 I would like to start by responding to Mr. Robertson's
12 contention that this has been an issue about the scope of an
13 agreed privilege. We've disputed the existence of a privilege
14 for, I don't know, we've been talking about -- we've been
15 meeting and conferring on this issue since September or
16 October, Your Honor. And the very first time that we spoke we
17 said, "What do you mean there's a privilege?" And, "Can you
18 please tell us what it is and show us some proof?" And we've
19 never gotten that.

20 Today is the first time I've heard a reference to some
21 public docket that supposedly establishes some subset of a --
22 of a privilege. That has never been told to me before. It's
23 not in the papers. Our papers oppose the motion on the primary
24 ground that there is no privilege and there's been no showing
25 of a privilege.

1 I think Sidley's did as well, Your Honor. And like
2 Mr. Robertson, I have not been party to all of the
3 communications. For example, there's been a negotiation for
4 some time about this revised protective order that
5 Mr. Robertson wanted to present today. We received it, for the
6 first time, Saturday evening. So we haven't been party to
7 those communications. But in the communications we had, five
8 or six group -- all-counsel conference calls, and my
9 understanding, from both Tonkon's lawyer and Sidley's lawyer,
10 was that they -- that the law firms disagreed that there had
11 been any individual representation as well. So I -- I've seen
12 no basis for that.

13 I also understand that the receiver does not agree that
14 there's an individual privilege, that the -- the receiver made
15 documents available for review because of demands made by the
16 former executives, not because he was conceding that there was
17 some privilege applicable.

18 My conversations with the Schwabe lawyers representing the
19 receiver have been consistent that there is no privilege and
20 that they did this as a courtesy to allow them to support their
21 motion if they could.

22 They've apparently completed that review or nearly
23 completed it and still haven't put anything in the record,
24 Your Honor.

25 I also understand that the SEC does not agree that there's

1 any individual privilege. And in their proceeding, I don't --
2 I don't believe, based on my understanding, that the SEC views
3 this as a matter of the scope of the individual privilege. I
4 believe that the SEC's position is that there is no privilege
5 at all.

6 So I -- I think it's a very different set of issues
7 than -- than what Mr. Robertson apparently presumed, and I
8 don't believe that there's been any showing at all.

9 As you pointed out, Mr. Gillis and Mr. Oliver have not
10 even made an effort. Mr. Robertson referred to two litigation
11 matters, as I heard this morning, that Tonkon apparently
12 represented somebody on. He didn't say whether Tonkon
13 represented Mr. Jesenik, Mr. Gillis, Mr. Oliver, one of them,
14 all three of them. He didn't say which lawyer at Tonkon
15 supposedly represented one or more of the individuals. He
16 didn't say what the matters were.

17 If there -- if the interveners had established an
18 individual privilege, which is very difficult in this
19 circumstance -- I mean, we have corporate executives who were
20 representing the corporations and sending emails from their
21 corporate email accounts that there's -- there's a very
22 substantial question whether they could have had any
23 expectation of privacy, even if there was an expectation of an
24 individual privilege under those circumstances, Your Honor.

25 You know, we have the receiver who's been appointed to

1 take over the company because the SEC sued these individuals.
2 He controls these documents. He has them in his possession now
3 because the communications were made using corporate email
4 accounts, and he has unequivocally waived the privilege as to
5 those documents.

6 So all of these things just raise more questions than --
7 than answers, and they're -- in my view, there's no basis to
8 enter a protective order. If there was a basis, in my view, it
9 would have to be tailored to the specific matters of
10 representation.

11 For example, we have Tonkon, who I understand represented
12 Aequitas for maybe ten years. Ten years that are relevant to
13 the allegations of the plaintiffs' claims. But I've heard
14 about two litigation matters. When were those? Were they in
15 2015? Were they in 2007? What year were they in? Why can't
16 we craft limitations on the scope of what is being clawed out
17 here and is going to probably become the subject of satellite
18 privilege litigation maybe in two cases now?

19 So I don't really know what to do, Your Honor. I have no
20 evidence to work from. No showing has been made to me. No
21 showing has been made to Your Honor. There's nothing
22 substantively for me to respond to except to point out the
23 absence of anything for us to work with.

24 THE COURT: Thank you.

25 Mr. Templeton?

1 MR. TEMPLETON: Yes. Our position all along is that
2 Sidney is willing to work with the individuals to help them
3 identify, within reasonable limitations, whether, in fact, an
4 individual privilege ever did attach. Our papers indicate
5 that -- as you've reviewed, that we have a number of objections
6 to a proposal that's been submitted to the Court for
7 undertaking that review. We think that it is far too broad and
8 burdensome and that the request for documents is -- as well as
9 the directly produced documents, subject to a clawback, we
10 think that those things are -- they're untethered to any
11 assertion of an individual privilege claim, and we think that
12 they are far too burdensome and inappropriate.

13 And, you know, that's the position we've -- we've taken
14 throughout. But, again, we are willing to work within
15 reasonable limitations to develop some sort of protocol that
16 would help them kind of firm up whether, in fact, an individual
17 privilege did attach, and, if so, what the scope and the nature
18 of that claim is.

19 THE COURT: Mr. Templeton, in the discussions that
20 you or any lawyer representing Sidney had with the interveners'
21 counsel, were any facts presented or documents containing facts
22 supporting the claim of privilege for any of the three
23 interveners?

24 MR. TEMPLETON: Well, I can only speak to the
25 conversations I was involved in, which was some but not all of

1 the discussions between the parties, and there -- there were
2 several documents that were discussed specifically, and we have
3 not developed a position on those. They're documents that we
4 frankly had not seen before. They're documents that the
5 individuals reviewed and provided by the receiver, and we have
6 not had a chance to -- you know, to review those documents in
7 any detail. We don't have a position on whether, in fact,
8 there is a privilege claim with respect to some or all of that
9 material.

10 We can say during the calls that I was involved in with
11 the individuals, their counsel, and the SEC, the SEC was very
12 skeptical of the individuals' privilege claim, and, you know,
13 that's -- you know, I can see the reasons for -- for the
14 skepticism, but we haven't reached a final conclusion on any
15 particular document.

16 THE COURT: Before hearing again from the
17 interveners, is there anyone else who has not yet spoken who
18 wishes to add to the conversation?

19 Mr. Van Der Weele?

20 MR. VAN DER WEELE: Thank you, Your Honor.

21 Phil Van Der Weele on behalf of Tonkon Torp. The only
22 information I have on privilege is information that
23 Mr. Robertson told me about telephonically, similar to what he
24 said to Your Honor this morning, and that is there were a
25 couple of litigation matters in which Tonkon made appearances

1 on behalf of individuals.

2 I'm not denying that. That has not been part of my search
3 of documents from Tonkon, Your Honor. Frankly, I'm sure you
4 can imagine the search is focused and collection is focused on
5 securities offerings. So with respect -- if we can cabin this
6 to those matters, that would be fine.

7 I do need, on behalf of Tonkon, some sort of, you know,
8 electronic safe harbor where I can run search terms and use
9 that as the basis for withholding any potentially privileged
10 documents, as opposed to having to do a manual review, because
11 that would just be enormously time-consuming and expensive.

12 So the -- the proposed -- the revised proposed protective
13 order does have some good features, in that it does create this
14 electronic safe harbor and it puts the burden on the
15 interveners to actually do the review of potentially privileged
16 documents that are hits on search terms to see if they're
17 privileged, but perhaps they could be more narrowly tailored
18 to -- to litigation matters that Mr. Robertson was referring to
19 and that I haven't -- I haven't looked at those documents.

20 THE COURT: All right. Thank you.

21 Mr. Robertson, additional thoughts?

22 MR. ROBERTSON: Yes. Let me respond to some of the
23 comments that have been made by counsel for the law firms.

24 In terms of the showing made on behalf of the individuals
25 as to the relationship with these law firms, it's not a

1 mystery. These individuals were not strangers to these law
2 firms.

3 As to Tonkon, again, a publicly available docket
4 demonstrates that firm's relationship to my client in two
5 separate cases. Tonkon's website identifies those cases
6 publicly. Okay? We're not hiding the ball here.

7 I understand Your Honor's frustration in terms of our
8 perceived failure to give Your Honor documentation that would
9 establish these relationships, but, as to the law firms,
10 that's -- I -- I dispute any notion that we've somehow not
11 given them sufficient information for them to make a
12 determination as to a relationship they did or didn't have with
13 our clients.

14 THE COURT: Well, it sounds to me that these two
15 other lawsuits aren't this lawsuit and aren't the SEC lawsuit.
16 Am I right about that?

17 MR. ROBERTSON: That's correct, Your Honor.

18 THE COURT: And Tonkon represented Mr. Jesenik in
19 other cases, and so there might be privileged documents that
20 exist with respect to the subject matter of the other cases,
21 but that still doesn't show there's an attorney-client
22 relationship that exists in this case.

23 MR. ROBERTSON: Correct, Your Honor. All we're
24 asking for in this case is an opportunity to review the
25 documents so that we can establish which of them are privileged

1 as to Mr. Jesenik. And with respect to those -- at least one
2 of those litigations, it's not just Mr. Jesenik. It's also
3 Intervener Oliver as well.

4 And with respect to Sidley, Sidley has communications, if
5 it were to look, between its firm -- between Sidley and our
6 client, pursuant to joint defense communications.

7 Again, we're not hiding the ball here. We're -- we have
8 identified the existence of that joint defense privilege and
9 articulated the scope of that. It relates to the SEC
10 investigation.

11 So there's -- there's no mystery here about what the
12 subject matter is, and, frankly, we've done a lot to tailor the
13 order over a number of discussions to try to home in
14 specifically on those documents.

15 And that's, frankly, all we're asking this Court to do, is
16 permit us to review the documents so that we can, as the Ninth
17 Circuit requires, identify the specific ones over which the
18 individuals have claimed privilege. We're not asking for a
19 determination whether there was a privilege.

20 I don't believe that the existence of a privileged
21 relationship between the interveners and these two law firms is
22 at issue. It shouldn't be at issue. And we apologize to the
23 extent we haven't provided Your Honor with the facts underlying
24 that.

25 I'm happy to do so, to supplement the record, either with

1 declarations from our client or with the fruits of the review
2 we've had in connection with the SEC litigation.

3 Again, the issue as to the scope of the privilege and
4 which specific documents it will cover will be determined in
5 the context of the SEC litigation because that review has been
6 nearly completed, and we will be talking with the SEC about
7 which individual documents that the receiver has are, in fact,
8 privileged as to our clients, as opposed to the corporation.

9 Now, I don't know whether the receiver's universe of
10 documents that have been made available to us is the same as
11 the documents that Sidley or Tonkon have, but the SEC, in
12 connection with this litigation, has issued subpoenas to both
13 these law firms. And the issuance of those subpoenas is what
14 prompted us, after the filing of our initial request for a
15 protective order in this case, to undertake renewed discussions
16 with Sidley and Tonkon about the scope of our review of Sidley
17 and Tonkon documents in the context of the SEC litigation and
18 this case.

19 So it's -- it's clear that we've -- we've reviewed the
20 documents the receiver has. We are going to be asserting
21 individual documents that are privileged as to our clients, and
22 we'll have discussions with the SEC and Judge Papak will make a
23 determination as to whether those documents are or are not
24 privileged.

25 THE COURT: All right. Thank you.

1 Ms. Simonsen, did you have anything to add or --

2 MS. SIMONSEN: Your Honor, I would just point out
3 that Sidley has represented in its opposition to our motion
4 that the email communications that we're requesting, I believe,
5 represent -- constitute one box of documents. So, you know,
6 we're not talking about a massive volume of material here.

7 And on behalf of Mr. Gillis, I would just represent that
8 Mr. Gillis has identified communications with in-house counsel
9 and with Sidley relating to personal liability and will be
10 proceeding in the SEC matter to defend his claim of privilege
11 over those communications.

12 And we'll also be happy to supplement the record on that
13 prong.

14 THE COURT: Mr. DeJong, anything further?

15 MR. DEJONG: Your Honor, I would just say that
16 there's nothing in the record that -- the Court doesn't even
17 have enough information before it at this point to craft any
18 kind of a protective order that would be reasonably tailored to
19 good cause, so I haven't heard even a claim by Mr. Oliver today
20 that there is any kind of a privilege.

21 Again, it's very hard for me to even respond because I
22 don't have any facts to work with.

23 THE COURT: Mr. Templeton, anything more?

24 MR. TEMPLETON: Nothing further.

25 THE COURT: All right.

1 MR. MERCHANT: Your Honor, if I may, Mike Merchant
2 for Mr. Oliver. I would like to address that last comment.

3 THE COURT: Go ahead.

4 MR. MERCHANT: My client is in a similar position to
5 Mr. Gillis, and he was represented by the Tonkon firm, as
6 Mr. Robertson pointed out; but one of the things I was thinking
7 about as you were having a discussion is we all know, from our
8 practice as representing corporate entities, that when a law
9 firm represents an individual, particularly an executive, that
10 they often talk about many things. And the existence of the
11 privilege is really what they talk about and can -- even though
12 I'm representing a client with respect to a specific case, that
13 client might ask me, "Well, what about this," fully intending
14 for that communication to be -- to be confidential and seeking
15 the advice of the lawyer, and I think it's not reasonable to
16 think that a person can think of every communication that they
17 may have had.

18 THE COURT: That's not what I'm asking for.

19 Mr. Oliver filed nothing to say that I spoke with -- with
20 Bob or Rebecca at Tonkon during the course of or after we
21 received the SEC notification or during the course of the
22 litigation or the claim or -- and -- and asked questions about
23 my personal liability to which I received answers. I don't
24 have that.

25 You know, I -- I think at least some of you in the room

1 know -- those of you who are Oregon lawyers -- you know I
2 worked for large corporations almost my entire career before
3 coming onto the bench, and I'm very familiar with the
4 circumstances in which the organization, the corporation, the
5 institution is sued and one or more executive managers may or
6 may not also be or potentially be defendants, and that is an
7 area where, as the lawyer representing the institutional
8 client, you have to be very careful about giving advice on
9 personal liability to the individual manager. And there's a
10 whole system of conflict waivers and informed consent that have
11 to occur.

12 There's nothing like that in the record that that
13 occurred. There's nothing from -- from any of the law firms
14 saying, "We went through that process with our respective
15 clients," or that the individuals asked that that process be
16 initiated here.

17 The interveners have filed a motion, and I think we've now
18 established, assuming there's a privilege and wanting to go
19 through documents, to determine which of those come within it.
20 They forgot to support that a privilege exists. This is
21 opposed, including by at least one of the law firms -- Tonkon
22 takes no position -- and I don't understand why that
23 information wasn't provided, if, in fact, for example,
24 Mr. Merchant, Mr. Oliver had certain conversations.

25 There's several times now there's been reference to a

1 joint defense privilege. That has to be documented. That
2 wasn't submitted to me in camera. It wasn't even mentioned,
3 that I recall, in the papers; although, perhaps I -- I
4 overlooked it. I don't understand why that wasn't done.

5 I suppose, Mr. Merchant, I didn't -- I apologize. I
6 didn't mean to cut you off from making --

7 MR. MERCHANT: I think that -- that was an
8 appropriate spot for me to stop. It was more the response to
9 Mr. DeJong's comment.

10 THE COURT: All right. Thank you.

11 MR. MERCHANT: And I -- if permitted, we -- I would
12 certainly like the opportunity to supplement the record to
13 address the concerns that you've raised.

14 THE COURT: All right. So those of you who have
15 appeared before me previously know that I usually ask questions
16 and then allow any closing remarks that weren't made or that
17 lawyers didn't have the opportunity to make before we adjourn.
18 So I'll do that now in case there's anything anyone wants to
19 add that I have not yet heard or did not come up in the context
20 of the questions that I asked and the responses I've received.

21 So, Mr. Robertson, I'll start with you.

22 MR. ROBERTSON: Nothing, other than Your Honor's
23 comment with respect to no mention of a joint defense privilege
24 as to Mr. Jesenik. That actually was in my declaration that I
25 filed in court in the context of this -- this same case.

1 THE COURT: Thank you.

2 MR. ROBERTSON: Other than that, nothing, Your Honor.

3 Thank you.

4 THE COURT: Thank you.

5 Mr. DeJong, anything else?

6 MR. DEJONG: Nothing, Your Honor.

7 THE COURT: Mr. Templeton?

8 MR. TEMPLETON: Nothing.

9 THE COURT: Ms. Simonsen?

10 MS. SIMONSEN: No, Your Honor.

11 THE COURT: Mr. Merchant?

12 MR. MERCHANT: No, Your Honor.

13 THE COURT: All right. Anyone else?

14 All right. Thank you very much for being here this
15 morning. I appreciate it. I'll take the motion under
16 advisement.

17 Mr. Robertson, what I suggest is you file the revised
18 proposed protective order as a supplement to the original
19 motion for a protective order. That way all the parties will
20 have it. It will be in the record. There won't be any
21 question about what that is.

22 All right. Thank you.

23 (Hearing concluded.)

24

25

C E R T I F I C A T E

Lawrence P. Ciuffitelli v. Deloitte & Touche LLP

3:16-cv-00580-AC

ORAL ARGUMENT

February 27, 2017

I certify, by signing below, that the foregoing is a true and correct transcript of the record, taken by stenographic means, of the proceedings in the above-entitled cause. A transcript without an original signature, conformed signature, or digitally signed signature is not certified.

/s/Jill L. Jessup, CSR, RMR, RDR, CRR

Official Court Reporter
Oregon CSR No. 98-0346

Signature Date: 3/10/17
CSR Expiration Date: 9/30/17

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