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LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS  
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT  
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF OREGON

PORTLAND DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC;  
AEQUITAS HOLDINGS, LLC;  
AEQUITAS COMMERCIAL FINANCE,

No. 3:16-cv-00438-PK

[PROPOSED] ORDER APPROVING  
PROPOSED SETTLEMENT WITH THE  
MASSACHUSETTS ATTORNEY  
GENERAL

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SETTLEMENT WITH THE MASSACHUSETTS ATTORNEY GENERAL



LLC; AEQUITAS CAPITAL  
MANAGEMENT, INC.; AEQUITAS  
INVESTMENT MANAGEMENT, LLC;  
ROBERT J. JESNIK, BRIAN A. OLIVER;  
and N. SCOTT GILLIS,

Defendants.

This matter having come before the Honorable Paul Papak on the Receiver's Motion for Approval of Proposed Settlement with the Massachusetts Attorney General (the "Motion"), and the Court having reviewed the Motion [Dkt. 617], the Declaration of Ronald F. Greenspan [Dkt. 618], and being duly advised,

IT IS HEREBY ORDERED AND DECREED as follows:

1. The Motion is granted in its entirety.
2. The Receiver, in his capacity as receiver for the Aequitas Entities, is authorized to enter into an Assurance of Discontinuance with the Massachusetts Attorney General in substantially the form as attached to the Declaration of Ronald F. Greenspan as Exhibit 1.
3. The Receiver, in his capacity as receiver for the Aequitas Entities, is authorized to make appearances in court and consent to pleadings, orders, and other documents the Massachusetts Attorney General may require in order to effectuate the agreement set forth in Paragraph 2.

Dated this \_\_\_\_ day of \_\_\_\_\_, 2018.

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United States Magistrate Judge Paul Papak

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: /s/ Troy D. Greenfield  
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