Docket #0648 Date Filed: 8/16/2018

UNITED STATES DISTRICT COURT

DISTRICT OF OREGON

| SECURITIES AND EXCHANGE COMMISSION, | | | MISSION, | Case No.: 3:16-cv-00438-PK | | | |
|-------------------------------------|------------------------------|-------------------|----------------|----------------------------|------------------------------------|-------------|--------------------------|
| | | Plaintiff(| s), | Case | . 140 | | |
| ν. | | | | | LICATION FOI MISSION <i>PRO</i> | | |
| AEQUITAS MAN | IAGEMEN | IT, LLC, et a | al., | | | | |
| | | Defendan | t(s). | | | | |
| F | Application | on for <i>Pro</i> | Hac Vice A | dmission ar | nd CM/ECF Re | gistration | |
| Attorn | ey Robert | t S. Mahler | | | requests special | admission | ı pro hac |
| vice to the Ba | r of the U | nited State | es District Co | ourt for the D | District of Oregon | | - |
| captioned case | • | ourposes o | f representin | g the followi | ng party(s): | | |
| In sup | port of thi | is applicati | on, I certify | that: 1) I am | an active memb | er in good | standing |
| with the Wash | ington | _ State Ba | r; and 2) tha | t I have read | and am familiar | with the F | Federal |
| Rules of Evide | ence, the | Federal Rı | iles of Civil | and Crimina | l Procedure, the | Local Rule | es of this |
| Court, and this | s Court's | Statement | of Profession | nalism. | | | |
| I under | stand tha | t my admi | ssion to the l | Bar of the Ur | nited States Distr | rict Court | for the |
| District of Ore | gon is so | lely for the | e purpose of | litigating in | the above matter | and will b | oe . |
| terminated upo | on the cor | nclusion of | the matter. | | | | |
| (1) | PERSO | ONAL DA | TA: | | | | |
| (- / | Name: | Mahler | | Robert | | S. | |
| | | (Last Name | • | (First Na | ne) | (MI) | (Suffix) |
| | | | iation: Polsir | | | | <u> </u> |
| | Mailing | address: | 1000 Second | Avenue, Sui | te 3500 | | |
| | City: <u>Se</u> | attle | | | State:WA | Zip: | 98104 |
| | Phone number: (206) 393-5400 | | | | Fax number: | (206) 393 | -5401 |
| | Busines | ss e-mail a | ddress: bob.r | nahler@polsir | nelli.com | | |
| U.S. District Cou [Rev. 07/2018] | ırt – Orego | on | | Applic | ation for Special A | Admission – | Pro Hac Vice Page 1 of 4 |

1600/381808170000000000

| (2) | BAR ADMISSION INFORMATION: | | | |
|-------|---|---|--|--|
| | (a) | State bar admission(s), date(s) of admission, and bar number(s): Washington 1994 WSBA# 23913 | | |
| | (b) | Other federal court admission(s) and date(s) of admission: See Attachment A | | |
| | | | | |
| (3) | CERTIFICATION OF DISCIPLINARY ACTIONS: | | | |
| 回 | I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association. | | | |
| | I am now or have been subject to disciplinary action by a state or federal bar association. (See attached letter of explanation.) | | | |
| (4) | CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE: | | | |
| | respons require and tha | Pursuant to LR 83-3, I have professional liability insurance, or financial esponsibility equivalent to liability insurance, that meets the insurance equirements of the Oregon State Bar for attorneys practicing in this District, and that will apply and remain in force for the duration of the case, including any appeal proceedings. | | |
| (5) | CM/ECF REGISTRATION: | | | |
| | I acknowledge that I will become a registered user of the Court's case management and electronic case filing system (CM/ECF) upon approval of th application, and I consent to electronic service pursuant to Fed. R. Civ. P. 5(b)(2)(E) and the Local Rules of the District of Oregon. | | | |
| | | ey Seeking <i>Pro Hac Vice</i> Admission: I have read and understand the B, and I certify that the above information is true and correct. | | |
| DATED | 08/15/2 | . \ | | |
| | | (Signature) | | |

REQUIREMENT TO ASSOCIATE WITH LOCAL COUNSEL:

| | | uires applicants for <i>pro hac</i> a waiver of the requirement | vice admission to associate wunder LR 45-1. | ith local c | ounsel, |
|----------|-----------------------|--|---|-------------|--|
| | uest a waive | er of the requirement to asso | ociate with local counsel unde | r LR 45-1 | , check the |
| | Court did requirement | not issue. Pursuant to LR 4: | se of filing a motion related to 5-1(b), I request a waiver of the unsel and therefore do not income. | he LR 83-3 | 3(a)(1) |
| To asso | | local counsel, complete the | following section and obtain | the signatu | ire of local |
| Name: | Lis | Daniel | | | |
| | | (Last Name) | (First Name) | (MI) | (Suffix) |
| OSB n | umber: 16 | 52257 | diameters. | | |
| Agency | //firm affilia | ation: Ater Wynne LLP | | | |
| Mailing | address: | 1331 N.W. Lovejoy Street, Sui | ite 900 | | |
| City: Po | ortland | | State: OR | Zip: | 97209-3280 |
| Phone 1 | number: <u>(500</u> | 3) 226-1191 | Fax number: | (503) 226 | -0079 |
| Busines | ss e-mail ad | dress: dxl@aterwynne.com | | | Todata construction and a confidence of the conf |
| CERTI | (FICATIO | N OF ASSOCIATE LOCA | AL COUNSEL: | | |
| underst | | airements of LR 83-3, and t | of the bar of this Court, that I hat I will serve as designated | | |
| DATEI |): | 8 | | , | |
| | | \overline{cs} | Signature of Local Counsel) | | |
| | | (1. | Some of Bocar Counsel) | | |

U.S. District Court – Oregon [Rev. 07/2018]

Application for Special Admission - Pro Hac Vice Page 3 of 4

| COURT ACTION | |
|--|-----------------|
| Application for <i>pro hac vice</i> admission by Robert S. Mahler 3:16-cv-00438-PK is hereby: | in case number: |
| □ Approved subject to payment of fees.□ Denied. | |
| DATED: | |
| | |
| Judge | |

ATTACHMENT A

Applicant has been admitted to practice before the following additional courts:

| Court: | Admission date: |
|---|-----------------|
| Tenth Circuit Court of Appeals | 1998 |
| U.S.D.C Eastern District of North Carolina | 1981 |
| U.S.D.C Middle District of North Carolina | 1981 |
| U.S.D.C Western District of North Carolina | 1981 |
| U.S.D.C Western District of Washington | 1991 |
| U.S.D.C Eastern District of Michigan | 1982 |
| North Carolina Supreme Court and all lower state courts | 1981 |
| Washington Supreme Court and all lower state courts | 1994 |