

Troy D. Greenfield, OSB #892534

Email: tgreenfield@schwabe.com

Joel A. Parker, OSB #001633

Email: jparker@schwabe.com

Jeffrey S. Eden, OSB #851903

Email: jeden@schwabe.com

Alex I. Poust, OSB #925155

Email: apoust@schwabe.com

Schwabe, Williamson & Wyatt, P.C.

Pacwest Center

1211 SW 5th Ave., Suite 1900

Portland, OR 97204

Telephone: 503.222.9981

Facsimile: 503.796.2900

Ivan B. Knauer (Admitted *Pro Hac Vice*)

Email: knaueri@pepperlaw.com

Brian M. Nichilo (Admitted *Pro Hac Vice*)

Email: nichilob@pepperlaw.com

Pepper Hamilton, LLP

600 14th Street, NW, Suite 500

Washington, DC 20005

Telephone: 202. 220.1219

Facsimile: 202. 220.1665

Attorneys for the Receiver for Defendants

AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS,
LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF OREGON

PORTLAND DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC;
AEQUITAS HOLDINGS, LLC;
AEQUITAS COMMERCIAL FINANCE,

No. 3:16-cv-00438-JR

[PROPOSED] ORDER GRANTING
RECEIVER'S APPLICATION TO EMPLOY
COUNSEL



1600438190607000000000003

LLC; AEQUITAS CAPITAL
MANAGEMENT, INC.; AEQUITAS
INVESTMENT MANAGEMENT, LLC;
ROBERT J. JESENİK, BRIAN A. OLIVER;
and N. SCOTT GILLIS,

Defendants.

Based upon the Receiver's Application to Employ Counsel (the "Application") [Doc. 712] and the declaration of Michael E. Farnell filed contemporaneously therewith, and the court being advised with regard to the Application, it is hereby

ORDERED:

1. The Application is granted in its entirety.
2. The Receiver, for and on behalf of the Receivership Entity, is authorized to employ Parsons Farnell & Grein, LLP, on the terms stated in the Application, *nunc pro tunc* to May 27, 2019.

\\

\\

\\

\\

\\

\\

\\

\\

\\

\\

\\

3. Compensation for counsel shall be subject to application, notice, and approval of this Court pursuant to the April 14, 2016, Order Appointing Receiver [Doc. 156].

Dated this _____ day of _____, 2019.

United States Magistrate Judge Jolie A. Russo

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Alex I. Poust, OSB #925155

Troy D. Greenfield, OSB #892534

tgreenfield@schwabe.com

Alex I. Poust, OSB #925155

Email: apoust@schwabe.com

Lawrence R. Ream (Admitted *Pro Hac Vice*)

Email: lream@schwabe.com

Telephone: 503.222.9981

Facsimile: 503.796.2900

Ivan B. Knauer (Admitted *Pro Hac Vice*)

Email: iknauer@swlaw.com

Snell & Wilmer LLP

1101 Pennsylvania Ave., N.W., Suite 300

Washington, DC 20004

Telephone: 202.802.9770

Facsimile: 202.688.2201

Attorneys for the Receiver for Defendants

Aequitas Management, LLC, Aequitas

Holdings, LLC, Aequitas Commercial Finance,

LLC, Aequitas Capital Management, Inc., and

Aequitas Investment Management, LLC