## UNITED STATES DISTRICT COURT

## DISTRICT OF OREGON

SECURITIES AN	D EXCHANGE COMMISSION  Plaintiff(s),	Case No.: 3:16-cv-00438-JR				
v.		APPLICATION FOR SPECIAL ADMISSION – PRO HAC VICE				
AEQUITAS MAN	AGEMENT, LLC, et al.					
	Defendant(s).					
A	pplication for <i>Pro Hac Vice</i> Admi	ssion and CM/ECF Registration				
Attorne	ey Franklin D. Cordell	requests special admission pro hac				
		for the District of Oregon in the above-				
captioned case	for the purposes of representing the	e following party(s):				
Brian Rice						
In supp	port of this application, I certify that:	1) I am an active member in good standing				
with the Washington State Bar; and 2) that I have read and am familiar with the Federal						
Rules of Evide	ence, the Federal Rules of Civil and	Criminal Procedure, the Local Rules of this				
Court, and this Court's Statement of Professionalism.						
I understand that my admission to the Bar of the United States District Court for the						
District of Ore	gon is solely for the purpose of litig	ating in the above matter and will be				
terminated upo	on the conclusion of the matter.	-				
-	PERSONAL DATA:					
(1)	Oscillation of the D					
	Name: Cordell, Franklin D.  (Last Name)	(First Name) (MI) (Suffix)				
	Agency/firm affiliation: Gordon Tilden Thomas & Cordell LLP					
	Mailing address: 600 University Street, Suite 2900					
	City: Seattle	State: WA Zip: 98101				
	Phone number: (206) 467-6477	Fax number: (206) 467-6292				
Business e-mail address: fcordell@gordontilden.com						

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(2)	DADA	DMISSION INFORMATION:	
(2)	(a)	State bar admission(s), date(s) of admission, and bar number(s): Colorado, 6/8/93, #22761; District of Columbia, 7/10/92, #433633;	
		Virginia, 11/25/96, #33046; Washington, 11/25/96, #26392	
	(b)	Other federal court admission(s) and date(s) of admission: W.D. Virginia, 5/27/92; E.D. Virginia, 5/14/93; W.D. Washington, 12/13/96	
		E.D. Washingon, 3/22/99;	
(3)	CERTI	FICATION OF DISCIPLINARY ACTIONS:	
7	I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association.		
	I am now or have been subject to disciplinary action by a state or federal bar association. (See attached letter of explanation.)		
(4)	CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:		
	Pursuant to LR 83-3, I have professional liability insurance, or financial responsibility equivalent to liability insurance, that meets the insurance requirements of the Oregon State Bar for attorneys practicing in this District, and that will apply and remain in force for the duration of the case, including any appeal proceedings.		
(5)	CM/E(	CM/ECF REGISTRATION:	
	manage this app	wledge that I will become a registered user of the Court's case ement and electronic case filing system (CM/ECF) upon approval of olication, and I will receive electronic service pursuant to Fed. R. Civ. P. (E) and the Local Rules of the District of Oregon.	
		<b>Seeking</b> <i>Pro Hac Vice</i> <b>Admission:</b> I have read and understand the 3, and I certify that the above information is true and correct.	
DATED	): <u>08/07/2</u>	2019	

U.S. District Court – Oregon [Rev. 11/2018] s/ Franklin D. Cordell

(Signature)

## REQUIREMENT TO ASSOCIATE WITH LOCAL COUNSEL:

	es applicants for <i>pro h</i> vaiver of the requirement	ent under LR 45-1.	ith local counsel,
To request a waiver of following box:	of the requirement to a	associate with local counsel unde	r LR 45-1, check the
Court did not requirement to	issue. Pursuant to LR	rpose of filing a motion related to R 45-1(b), I request a waiver of the counsel and therefore do not inclution.	ne LR 83-3(a)(1)
To associate with loc counsel.	al counsel, complete t	the following section and obtain	the signature of local
Name: Clifford, Dwai	n M.		
	(Last Name)	(First Name)	(MI) $(Suffix)$
OSB number: <u>02507</u> 2	1		
Agency/firm affiliation	on: Ball Janik LLP		
Mailing address: 10°	1 SW Main Street, Suite	1100	
City: Portland			Zip: <u>97204</u>
Phone number: (503)	944-6059	Fax number:	(503) 295-1058
Business e-mail addre	ess: dclifford@balljanik.	com	
CERTIFICATION	OF ASSOCIATE LO	OCAL COUNSEL:	
=	ements of LR 83-3, ar	ng of the bar of this Court, that I nd that I will serve as designated	
DATED:			
		s/ Dwain M. Clifford (Signatur	e of Local Counsel)

U.S. District Court – Oregon [Rev. 11/2018]

COURT ACTION	
Application for <i>pro hac vice</i> admission by Franklin D. Cordell  3:16-cv-00438-JR is hereby:	in case number:
Approved subject to payment of fees. Denied.	
DATED:	
Judge	