UNITED STATES DISTRICT COURT DISTRICT OF OREGON

SECURITIES AN	ND EXCHANGE COMMISSION Plaintiff(s),	Case No.: 3:16-cv-004	138-JR
v.		APPLICATION FOR ADMISSION – PRO	
AEQUITAS MAN	IAGEMENT, LLC, et al.		
	Defendant(s).		
F	Application for <i>Pro Hac Vice</i> Adn	nission and CM/ECF Reg	istration
Attorney Franklin D. Cordell requests special admission pro had			
vice to the Ba	r of the United States District Cour	t for the District of Oregor	in the above-
captioned case Brian Rice	e for the purposes of representing the	he following party(s):	
In sup	port of this application, I certify that	nt: 1) I am an active memb	er in good standing
with the Wash	State Bar; and 2) that I	have read and am familiar	with the Federal
Rules of Evid	ence, the Federal Rules of Civil and	d Criminal Procedure, the	Local Rules of this
Court, and thi	s Court's Statement of Professional	ism.	
I unde	rstand that my admission to the Ba	r of the United States Distr	rict Court for the
District of Ore	egon is solely for the purpose of lit	igating in the above matter	and will be
terminated up	on the conclusion of the matter.		
(1)	PERSONAL DATA:		
, ,	Name: Cordell, Franklin D.		
	(Last Name)	(First Name)	(MI) (Suffix)
	Agency/firm affiliation: Gordon		
		Street, Suite 2900	00404
	City: Seattle	State: WA	Zip: <u>98101</u> (206) 467-6292
	Phone number: (206) 467-6477	Fax number:	
	Business e-mail address: fcordelle		
U.S. District Co [Rev. 11/2018]	urt – Oregon	Application for Special A	Admission – <i>Pro Hac Vice</i> Page 1 of 4

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	(2)	BAR ADMISSION INFORMATION:		
		(a)	State bar admission(s), date(s) of admission, and bar number(s): Colorado, 6/8/93, #22761; District of Columbia, 7/10/92, #433633;	
			Virginia, 11/25/96, #33046; Washington, 11/25/96, #26392	
		(b)	Other federal court admission(s) and date(s) of admission: W.D. Virginia, 5/27/92; E.D. Virginia, 5/14/93; W.D. Washington, 12/13/96	
			E.D. Washingon, 3/22/99;	
	(3)		FICATION OF DISCIPLINARY ACTIONS:	
	7	I am not now, nor have I ever been subject to any disciplinary action by any state or federal bar association.		
		I am now or have been subject to disciplinary action by a state or federal bar association. (See attached letter of explanation.)		
	(4)	CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:		
		respons requirer and that	at to LR 83-3, I have professional liability insurance, or financial ibility equivalent to liability insurance, that meets the insurance ments of the Oregon State Bar for attorneys practicing in this District, will apply and remain in force for the duration of the case, including seal proceedings.	
	(5)	CM/EC	CF REGISTRATION:	
		manage this app	wledge that I will become a registered user of the Court's case ment and electronic case filing system (CM/ECF) upon approval of dication, and I will receive electronic service pursuant to Fed. R. Civ. P. (E) and the Local Rules of the District of Oregon.	
			ey Seeking <i>Pro Hac Vice</i> Admission: I have read and understand the B, and I certify that the above information is true and correct.	
Ι	DATED	: <u>08/07/2</u>		
			s/ Franklin D. Cordell	
			(Signature)	

REQUIREMENT TO ASSOCIATE WITH LOCAL COUNSEL:

LR 83-3(a)(1) requires applicants for <i>pro hac vic</i> unless requesting a waiver of the requirement unc		ith local co	ounsel,
To request a waiver of the requirement to associate following box:	te with local counsel unde	er LR 45-1,	check the
I seek admission for the limited purpose of Court did not issue. Pursuant to LR 45-10 requirement to associate with local counse from local counsel with this application.	(b), I request a waiver of the	ie LR 83-3	(a)(1)
To associate with local counsel, complete the following.	lowing section and obtain	the signatu	re of local
Name: Clifford, Dwain M.			
(Last Name)	(First Name)	(MI)	(Suffix)
OSB number: 025074			
Agency/firm affiliation: Ball Janik LLP			
Mailing address: 101 SW Main Street, Suite 1100			
City: Portland	State: OR	Zip:	97204
Phone number: (503) 944-6059	Fax number:	(503) 295	-1058
Business e-mail address: dclifford@balljanik.com			
CERTIFICATION OF ASSOCIATE LOCAL	COUNSEL:		
I certify that I am a member in good standing of t understand the requirements of LR 83-3, and that number 3:16-cv-00438-JR			
DATED: 08/07/2019			

s/ Dwain M. Clifford (Signature of Local Counsel)

COURT ACTION				
Application for <i>pro hac vice</i> admission by Franklin D. Cordell 3:16-cv-00438-JR is hereby:	in case number:			
Approved subject to payment of fees. Denied.				
DATED: 308/2019.				