UNITED STATES DISTRICT COURT DISTRICT OF OREGON

SECURIȚIES AN	ID EXCHANGE COMMISSION, et al Plaintiff(s),	Case No.: 3:16-cv-00438-JR					
v.	·	APPLICATION FOR SPECIAL ADMISSION – <i>PRO HAC VICE</i>					
AEQUITAS MAN	IAGEMENT, LLC, et al.,						
	Defendant(s).						
	Application for <i>Pro Hac Vice</i> Admis	sion and CM/ECF Registration					
Attorn	ey Greg D. Pendleton	requests special admission <i>pro hac</i>					
vice to the Ba	r of the United States District Court f	or the District of Oregon in the above-					
captioned case	e for the purposes of representing the	following party(s):					
In sup	port of this application, I certify that:	1) I am an active member in good standing					
with the Wash	nington State Bar; and 2) that I ha	ive read and am familiar with the Federal					
Rules of Evid	ence, the Federal Rules of Civil and (Criminal Procedure, the Local Rules of this					
Court, and thi	s Court's Statement of Professionalis	n.					
I unde	rstand that my admission to the Bar o	of the United States District Court for the					
District of Ore	egon is solely for the purpose of litiga	ating in the above matter and will be					
terminated up	on the conclusion of the matter.						
(1)	PERSONAL DATA:						
(-)	Name: Pendleton, Greg D.						
	1 1011177	(First Name) (MI) (Su					
	Agency/firm affiliation: Gordon Ti	den Thomas & Cordell LLP					
	Mailing address: 600 University Street, Suite 2915						
	City: Seattle	State: WA Zip: 98101					
	Phone number: (206) 467-6477	Fax number: (206) 467-6292					
	Business e-mail address: gpendleto	n@gordontilden.com					
TIC DIA 1 4 C		Application for Special Admission Pro Hug					

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(2)	DAKA	DMISSION INFORMA	HON:	
	(a)	State bar admission(s), d Washington State Bar #38	ate(s) of admission, and bar number(s): 361 (admitted 11/16/2006)	
		Massachusetts State Bar #	f675398 (admitted 12/04/2009)	
	(b)		ssion(s) and date(s) of admission: of Washington (admitted 09/02/2008)	
		U.S.D.C., District of Massa	chusetts (admitted March 2010)	
(3)	CERTIFICATION OF DISCIPLINARY ACTIONS:			
7		t now, nor have I ever bee federal bar association.	en subject to any disciplinary action by any	
	I am now or have been subject to disciplinary action by a state or federal bar association. (See attached letter of explanation.)			
(4)	CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:			
	responsi requiren and that	ibility equivalent to liabili nents of the Oregon State	essional liability insurance, or financial ity insurance, that meets the insurance Bar for attorneys practicing in this District, force for the duration of the case, including	
(5)	CM/EC	CF REGISTRATION:		
	I acknowledge that I will become a registered user of the Court's case management and electronic case filing system (CM/ECF) upon approval of this application, and I will receive electronic service pursuant to Fed. R. Civ. P. 5(b)(2)(E) and the Local Rules of the District of Oregon.			
		•	Admission: I have read and understand the ove information is true and correct.	
DATED	: <u>08/07/2</u>	:019		
			s/ Greg D. Pendleton	
			(Signature)	

REQUIREMENT TO ASSOCIATE WITH LOCAL COUNSEL:

LR 83-3(a)(1) requires a unless requesting a waiv			associate w	ith local co	ounsel,
To request a waiver of th following box:	e requirement to associa	te with local o	counsel under	r LR 45-1,	check the
Court did not issu requirement to as	for the limited purpose one. Pursuant to LR 45-16 sociate with local counsel with this application.	b), I request a	a waiver of th	ie LR 83-3	(a)(1)
To associate with local c counsel.	ounsel, complete the foll	owing section	ı and obtain t	the signatu	re of local
Name: Clifford		Dwain		M	
	(Last Name)	(First Name)		(MI)	(Suffix)
OSB number: 025074					
Agency/firm affiliation:	Ball Janik LLP				
Mailing address: 101 SV	V Main St., Suite 1100				
City: Portland		S	tate: OR	Zip:	97204
Phone number: (503) 228-	2525	F	Fax number:	(503) 295	-1058
Business e-mail address:	dclifford@balljanik.com				
CERTIFICATION OF	ASSOCIATE LOCAL	COUNSEL:			
I certify that I am a mem understand the requirement a:16-cv-00438-JR	ents of LR 83-3, and that				
DATED:	· · · · · · · · · · · · · · · · · · ·				

s/Dwain M. Clifford (Signature of Local Counsel)

COURT ACTION					
Application for <i>pro hac vice</i> admission by Greg D. Pendleton 3:16-cv-00438-JR is hereby:	in case number:				
Approved subject to payment of fees. Denied.					
DATED: 8/08/2019					

Judge DWA Russ