Docket #0789 Date Filed: 1/14/2020

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Attorneys for the Receiver for Defendants AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF OREGON

PORTLAND DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

NOTICE OF AMENDED LR 7-1 CERTIFICATION

v.

AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC;

Page 1 - NOTICE OF AMENDED LR 7-1 CERTIFICATION

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No. 3:16-cv-00438-JR

AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT MANAGEMENT, LLC; ROBERT J. JESENIK, BRIAN A. OLIVER; and N. SCOTT GILLIS,

Defendants.

On December 31, 2019, Ronald F. Greenspan, the duly appointed Receiver, filed the Receiver's Motion for Order (1) Approving Form and Manner of Notice Regarding Approval of Proposed Distribution Plan and Ponzi Determination, (2) Approving Procedures and Deadlines, (3) Setting a Hearing, and for Related Relief (the "<u>Motion</u>") (Doc# 785). At the time of filing, the Receiver had received one objection to the relief sought in the Motion. The sole objection has now been withdrawn.

Accordingly, the Receiver hereby amends the LR 7-1 Certification in the Motion to one consent and no objections.

Dated this 14th day of January, 2020.

Respectfully submitted,

SCHWABE, WILLIAMSON & WYATT, P.C.

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Page 3 - NOTICE OF AMENDED LR 7-1 CERTIFICATION

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