

UNITED STATES DISTRICT COURT
DISTRICT OF OREGON

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff(s),

Case No. 3:16-CV-00438-JR

v.

MOTION FOR LEAVE TO APPEAR
PRO HAC VICE

AEQUITAS MANAGEMENT, LLC, *et al.*
SERVICES GROUP INC., *et al.*,¹

Defendant(s).

Attorney David W. Porteous requests special admission *pro hac vice* to the Bar of the United States District Court for the District of Oregon in the above- captioned case for the purposes of representing the following party (or parties): see attached addendum.

In support of this application, I certify that: 1) I am an active member in good standing with the Illinois State Bar; and 2) that I have read and am familiar with the Federal Rules of Evidence, the Federal Rules of Civil and Criminal Procedure, the Local Rules of this Court, and this Court's Statement of Professionalism.

I understand that my admission to the Bar of the United States District Court for the District of Oregon is solely for the purpose of litigating in the above matter and will be terminated upon the conclusion of the matter.

(1) PERSONAL DATA:

Name: Porteous David W.
(Last Name) (First Name) (MI) (Suffix)

Agency/firm affiliation: Faegre Drinker Biddle & Reath LLP

Mailing address: 311 S. Wacker Drive, Suite 4300

City: Chicago State: Illinois Zip: 60606

Phone number: 312/356-5117 Fax number: 312/212-6501

Business e-mail address: david.porteous@faegredrinker.com

¹ Due to the large number of Defendants in this case, a list is attached as an Addendum.



(2) BAR ADMISSION INFORMATION:

(a) State bar admission(s), date(s) of admission, and bar number(s):

Illinois, 1999, #6257459

New York, 1998, #2919058

(b) Other federal court admission(s) and date(s) of admission:

United States District Court, Northern District of Illinois, 1999

United States Court of Appeals 7th Circuit, 2015

(3) CERTIFICATION OF DISCIPLINARY ACTIONS:

☐ I am not now, nor have I ever been, subject to any disciplinary action by any state or federal bar association.

☒ I am now or have been subject to disciplinary action by a state or federal bar association. (See attached Affidavit of David W. Porteous.)

(4) CERTIFICATION OF PROFESSIONAL LIABILITY INSURANCE:

Pursuant to LR 83-3, I have professional liability insurance, or financial responsibility equivalent to liability insurance, that meets the insurance requirements of the Oregon State Bar for attorneys practicing in this District, and that will apply and remain in force for the duration of the case, including any appeal proceedings.

(5) CM/ECF REGISTRATION:

I acknowledge that I will become a registered user of the Court's case management and electronic case filing system (CM/ECF) upon approval of this application, and I consent to electronic service pursuant to Fed. R. Civ. P. 5(b)(2)(E) and the Local Rules of the District of Oregon.

Certification of Attorney Seeking *Pro Hac Vice* Admission: I have read and understand the requirements of LR 83-3, and I certify that the above information is true and correct.

DATED: February 20, 2020



(Signature)

ADDENDUM

CLIENT NAME

Appert Family Holdings LLC
Appert, Christopher
Bien, Stephen & Kristine - Revocable Grantor Trust
Bremer, Marie - Trust (Carpenter, Josephine)
Bremer, Marie - Trust (Plank, Louise)
Bremer, Marie -Trust (Benz, George)
Broughton, Mary Louise & Markham - Revocable Trust
Christensen, James C. - Revocable Living Trust
Douglas, Michael J. and Kristine L.
D'Urso Family - Investment Trust
Enger, Terry
Falkowski, Jon
Farrow, Peter
Gerstein, Mitchell & Julie
Haahr, Jon A.
Hallada, Antony - Revocable Trust
Hoerring III, Edward
Josephine Benz Carpenter 1996 Living Trust
Joyce Jr., James E. - Revocable Intervivos Trust
Kane, Gail - Revocable Trust
Klick, Stephen R. - Revocable Trust
Lanzl, Steven & Constance
Lynch, Shelly - Revocable Trust
McConnell, Mike
Olson, Jeff
Peters III, John
Plank, Louise - Revocable Trust
Rooney, Steven & Karen - Revocable Grantor Trust
Schenk Enterprises - David Schenk
Sill II, Michael M. - Revocable Trust
Sime, Michael – Trust
Stevens, Susan K. – Trust
Viere, Gordy – IRA

David W. Porteous FAEGRE DRINKER BIDDLE & REATH LLP 311 S. Wacker Drive, Suite 4300 Chicago, Illinois 60606 Telephone: 312-212-6500 / Facsimile: 312-212-6501 Email: David.Porteous@FaegreBD.com State of Illinois No. 6257459	
AFFIDAVIT OF DAVID W. PORTEOUS	

STATE OF ILLINOIS §
 §
COUNTY OF COOK §

1. My name is David W. Porteous. I am a partner with Faegre Drinker Biddle & Reath LLP, 311 S. Wacker Drive, Suite 4300, Chicago, IL 60606, and my telephone number 312/565-5056.

2. I am in good standing in all Bars where I am admitted with no disability, disciplinary or grievance proceedings have been filed or are pending against me.

3. I received a JD degree from Tulane University Law School in 1997. I was admitted to the State Bar of Illinois in 1999, the U.S. District Court for the Northern District of Illinois in 1999, and U.S. Court of Appeals for the Seventh Circuit in 2015.

4. I was suspended from the New York State Court on January 30, 2017 for non-payment of bar dues. My bar number with this Court is No. 2919058.

5. I have paid my past due Registration Dues, which brings my registration with the New York Court up to date and current. I was readmitted to practice in New York State Court on March 8, 2018.

Signed this 20th day of February 2020.



David W Porteous

The above and foregoing Affidavit was subscribed and sworn to or affirmed before me this 20th day of February 2020, by David W. Porteous.



Notary Public in and for the State of Illinois

My Commission Expires: October 4, 2021