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AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC;  
AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS  
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT  
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF OREGON  
PORTLAND DIVISION

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC, *ET AL.*,

Defendants.

Case No. 3:16-cv-00438-JR

**[PROPOSED] ORDER APPROVING  
COMPROMISES OF CLAIMS AND  
AUTHORIZING PERFORMANCE OF  
SETTLEMENT AGREEMENTS**

This matter having come before the Court on the motion of the Receiver Ronald F.  
Greenspan (“Receiver”) for approval of settlement agreements between the Receivership Entity  
and the following counterparties (the “Settlement Agreements”):



- Donna Miles;
- Thomas Sidley;
- Patricia Brown;
- Jeffrey C. Sica, Sica Wealth Management, LLC and Circle Squared Alternative Investments, LLC; and
- Three net winners.

The Court having considered all papers filed and proceedings held herein and otherwise being fully informed,

**NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED** that the Settlement Agreements are approved and the Receiver is authorized to perform on each of the Settlement Agreements.

**IT IS SO ORDERED.**

Dated: July \_\_\_\_\_, 2021.

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United States Magistrate Judge Jolie A. Russo

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SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Troy D. Greenfield

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