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AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC;
AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF OREGON
PORTLAND DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC, *ET AL.*,

Defendants.

Case No. 3:16-cv-00438-JR

**ORDER APPROVING COMPROMISES
OF CLAIMS AND AUTHORIZING
PERFORMANCE OF SETTLEMENT
AGREEMENTS**

This matter having come before the Court on the motion of the Receiver Ronald F. Greenspan (“Receiver”) for approval of settlement agreements between the Receivership Entity and the following counterparties (the “Settlement Agreements”):



- Donna Miles;
- Thomas Sidley;
- Patricia Brown;
- Jeffrey C. Sica, Sica Wealth Management, LLC and Circle Squared Alternative Investments, LLC; and
- Three net winners.

The Court having considered all papers filed and proceedings held herein and otherwise being fully informed,

NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that the Settlement Agreements are approved and the Receiver is authorized to perform on each of the Settlement Agreements.

IT IS SO ORDERED.

Dated: July 26th, 2021.

/s/ Jolie A. Russo
United States Magistrate Judge Jolie A. Russo

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SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Troy D. Greenfield

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