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Attorneys for Receiver for Defendants
AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC;
AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF OREGON
PORTLAND DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC, *ET AL.*,

Defendants.

Case No. 3:16-cv-00438-JR

**ORDER APPROVING COMPROMISES
OF CLAIMS AND AUTHORIZING
PERFORMANCE OF SETTLEMENT
AGREEMENTS**

This matter having come before the Court on the motion of the Receiver Ronald F. Greenspan (“Receiver”) for approval of settlement agreements between the Receivership Entity and the following counterparties (the “Settlement Agreements”):



- Bob Zukis
- Thomas Szabo
- Julia Szabo
- Atherton Capital Holdings, LLC
- Barbara Alexander Revocable Trust
- Karen Arledge
- James Barr
- Boa Sorte Limited Partnership
- Earl Ramey Stiles Sr. Living Trust
- Paul Frankel and Rose Frankel
- Timothy Gibson
- Timothy E. Gibson Living Trust
- Miriam P. Harvey Marital Trust of the Harvey Family Trust
- Sean Hayes and Joanne Hayes
- Michael Heazel
- Hotchkiss Family Trust
- Kerrigan Stiles Living Trust
- John Kessler
- Aaron Kingstrom and Heidi Kingstrom
- Paul Yuan Chiang Lee
- Kasey K. Li
- Lin Family 1987 Trust

- Nancy C. Muir Property Trust
- Samuel J. Petrillo
- Barbara Ricketts and Lois Hunter
- Ceril T. Shagrin Trust
- Bruce C. Studley Revocable Trust

The Court having considered all papers filed and proceedings held herein and otherwise being fully informed,

NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that the Settlement Agreements are approved and the Receiver is authorized to perform on each of the Settlement Agreements.

IT IS SO ORDERED.

Dated: November 23rd, 2021.

/s/ Jolie A. Russo

United States Magistrate Judge Jolie A. Russo

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Troy D. Greenfield

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Attorneys for the Receiver and Receivership Entity