Troy D. Greenfield, OSB #892534 Email: tgreenfield@schwabe.com Lawrence R. Ream, (Admitted *Pro Hac Vice*) Email: lream@schwabe.com SCHWABE, WILLIAMSON & WYATT, P.C. 1211 SW 5th Ave., Suite 1900 Portland, OR 97204 Telephone: 503.222.9981 Facsimile: 503.796.2900

Attorneys for Receiver for Defendants AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS, LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT

FOR THE DISTRICT OF OREGON

PORTLAND DIVISION

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC, ET AL.,

Defendants.

Case No. 3:16-cv-00438-JR

ORDER APPROVING REIMBURSEMENT OF RECEIVERSHIP EMPLOYEES' ATTORNEY FEES

This matter came before the Court on the Receiver's Motion for Order Approving

Reimbursement of Receivership Employees' Attorney Fees (Dkt. No. 1014).

The Court having considered all papers filed and proceedings held herein and otherwise

being fully informed,

Page 1 - ORDER APPROVING REIMBURSEMENT OF RECEIVERSHIP EMPLOYEES' ATTORNEY FEFS SCHWABE, WILLIAMSON & WYATT, P.C. Attorneys at Law Pacwest Center

1600438230104000000000000

NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED

that the Receivership Entity is authorized to reimburse up to \$10,000 of legal expenses incurred by each of the following current and former employees of the Receivership Entity:

- Michelle Papenfuss
- Pamela Comery
- Blake Bowman

provided those fees relate to testifying in the matter titled *United States of America v. Jesenik et. al.*, U.S. District Court for the District of Oregon, Case No.3:20-cr-00228-SI ("Aequitas Criminal Matter"). Further, at the Receiver's discretion, the Receivership Entity is authorized to reimburse up to \$10,000 of the legal expenses incurred by any other current or former employee of the Receivership Entity who continues to serve the Receivership Entity in a material capacity, provided those fees relate to testifying in the Aequitas Criminal Matter.

IT IS SO ORDERED.

Dated: January 4th, 2023.

/s/ Jolie A. Russo United States Magistrate Judge Jolie A. Russo

///

- ///
- ///
- ///

Page 2 - ORDER APPROVING REIMBURSEMENT OF RECEIVERSHIP EMPLOYEES' ATTORNEY FEES SCHWABE, WILLIAMSON & WYATT, P.C. Attorneys at Law Paewest Center 1211 SW 5th Ave., Suite 1900 Portland, OR 97204 Telephone: 503.222.9981 Fax: 503.796.2900

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: <u>s/Troy D. Greenfield</u>

Troy D. Greenfield, OSB #892534 tgreenfield@schwabe.com Lawrence R. Ream (Admitted *Pro Hac Vice*) lream@schwabe.com Telephone: 503.222.9981 Facsimile: 503.796.2900 Attorneys for the Receiver and Receivership Entity