

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	255	ACTIV FINANCIAL SYSTEMS, INC		120 EAST LIBERTY DRIVE	SUITE 200		WHEATON	IL	60187		\$1,308.00				General Unsecured	Penson Financial Services, Inc.	13-10063
06/19/2013	370	Adamba Imports International, Inc.		585 Meserole St			Brooklyn	NY	11237		\$175,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
09/11/2013	408	ADVICORP PLC	Marco M. Elser	Via Parigi 11			Rome		00185	Italy	EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	207	Akin Gump Strauss Hauer & Feld LLP	c/o M. Scott Barnard, Esq.	1700 Pacific Avenue, Suite 4100			Dallas	TX	75201		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	217	Akin Gump Strauss Hauer & Feld LLP	c/o M. Scott Barnard, Esq.	1700 Pacific Avenue, Suite 4100			Dallas	TX	75201		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	259	Aleksander Lesz and Grazyna Lesz	c/o W. Scott Greco	Greco & Greco P.C.		1300 Old Chain Bridge Rd	McLean	VA	22101		\$130,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	114	Allen E Russell		70-A Greenwich Ave 155			New York	NY	10011-8300		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
02/14/2013	16	American InfoSource LP as Agent for T Mobile/T-Mobile USA Inc	T Mobile/T-Mobile USA Inc	PO Box 248848			Oklahoma City	OK	73124-8848		\$347.81				General Unsecured	Penson Financial Services, Inc.	13-10063
02/14/2013	17	American InfoSource LP as Agent T Mobile/T-Mobile USA Inc	Mobile/T-Mobile USA Inc	PO Box 248848			Oklahoma City	OK	73124-8848		\$116.11				General Unsecured	Penson Financial Futures, Inc.	13-10064
02/26/2013	34	AMERICAN STOCK TRANSFER & TRUST COMPANY		6201 15TH AVENUE			BROOKLYN	NY	11219		\$150.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/05/2013	64	Amy J. Nation		217 Peblecreek Drive			Garland	TX	75040		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
08/06/2013	402	Andrzej Abraszewski		Adriatycka 3			Warsaw		02-761	Poland	\$90,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	237	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	237	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	238	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	238	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	245	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	245	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	246	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	246	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	231	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	231	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	233	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	233	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	234	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	234	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	236	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	236	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	247	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	247	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	248	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	248	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	249	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	249	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	250	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	250	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)		203 North LaSalle Street, Suite 1900	Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/14/2013	337	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/14/2013	338	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
05/14/2013	339	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
05/14/2013	340	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
05/14/2013	341	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Holdings, Inc.	13-10065
05/14/2013	342	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
05/14/2013	343	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
05/14/2013	344	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
05/14/2013	345	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	GHP2, LLC	13-10071
03/04/2013	54	Babak Hakimian Sep IRA		5128 Avenida Hacienda			Tarzana	CA	91356		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/06/2013	74	Baker & McKenzie LLP	David W. Parham	2001 Ross Avenue, Suite 2300			Dallas	TX	75201		\$15,676.49				General Unsecured	Penson Financial Services, Inc.	13-10063
02/20/2013	26	BANK OF AMERICA MERRILL LYNCH	ATTN CHRISTINA DALEY	540 W MADISON AVE IL4-540-2801			CHICAGO	IL	60661		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
07/30/2013	397	Bank of America Merrill Lynch		540 W. Madison Street 28th Floor										02/20/2013	General Unsecured	Penson Financial Services, Inc.	13-10063



Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/08/2013	112	Barbara Freeo		481 Oak Ave			Staten Island	NY	10306		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	173	Barbara Hallum		7327 E. Cortez Road			Scottsdale	AZ	85260		\$50,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	175	Barbara Hallum		7327 E. Cortez Road			Scottsdale	AZ	85260		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/11/2013	204	Barbara Payne	Barbara B. Payne	P.O. Box 577			Hermosa Beach	CA	90254		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
02/15/2013	19	BATS EXCHANGE, INC	ATTN ACCOUNTS RECEIVABLE	8050 MARSHALL STE.120			LENEXA	KS	66214-1585		\$2,250.00				General Unsecured	Penson Financial Services, Inc.	13-10063
02/15/2013	18	BATS Y EXCHANGE, INC		8050 MARSHALL DR SUITE, 120			LENEXA	KS	66214-1585		\$4,186.20				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	283	BDO USA, LLP	Attn Laurence W. Goldberg	4135 Mendenhall Oaks Pkwy Ste 140			High Point	NC	27265-8051		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	285	BDO USA, LLP	Attn Laurence W. Goldberg	4135 Mendenhall Oaks Pkwy Ste 140			High Point	NC	27265-8051		\$3,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	99	Berkeley First City, Ltd.	c/o Howard C. Rubin	Kessler Collins, P.C.	2100 Ross Ave., Ste. 750		Dallas	TX	75201		\$2,031,723.70				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	205	BERNSTEIN CHERNEY, LLP	HARTLEY T. BERNSTEIN, ESQ.	767 3RD AVE FL 30			NEW YORK	NY	10017-2092		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
08/01/2013	398	Betty Foo		15 Fillmore Pl.			Staten Island	NY	10305		\$5,040.00				Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	302	Bloomberg LP		731 Lexington Avenue			New York	NY	10022		\$10,038.52				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	303	Bloomberg LP		731 Lexington Avenue			New York	NY	10022		\$6,439.29				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	289	Board of Trade of the City of Chicago, Inc. (CBOT)	Attn Jane Moon (Legal)	c/o CME Group Inc	20 S Wacker Dr		Chicago	IL	60606		\$100,000.00				General Unsecured	Penson Futures	13-10069
03/11/2013	273	Bolsas Y Mercados Espanoles Market Data, S.A.D.	Ramon Adaraga Morales	Plaza De La Lealtad, 1			Madrid	Spain	28014		\$218.52				General Unsecured	Nexa Technologies, Inc.	13-10068
08/22/2013	405	BOX Market LLC (formerly Boston Option Exchange Group, LLC)	Attn Karen Stephanie	BOX Market LLC			Chicago	IL	60603		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	166	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	166	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	170	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	170	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				Priority	Nexa Technologies, Inc.	13-10068
03/08/2013	171	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	171	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	256	C. William Bill Yancey	c/o Mark X. Mullin and Kim Morzak	Haynes and Boone, LLP	2323 Victory Avenue, Suite 700		Dallas	TX	75219		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/28/2013	40	CABLEVISION LIGHTPATH, INC		P.O.BOX 360111			PITTSBURGH	PA	15251-6111		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
08/09/2013	403	CALIFORNIA STATE CONTROLLERS OFFICE	JOHN DICKERSON, STAFF COUNSEL	OFFICE OF THE STATE CONTROLLER	300 CAPITOL MALL, SUITE 1850		SACRAMENTO	CA	95814		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
02/08/2013	9	Canon Financial Services, Inc.	Scott H. Marcus & Assoc.	121 Johnson Rd.			Turnersville	NJ	08012		\$0.00				Secured	Penson Financial Services, Inc.	13-10063
02/08/2013	9	Canon Financial Services, Inc.	Scott H. Marcus & Assoc.	121 Johnson Rd.			Turnersville	NJ	08012		\$21,768.08				General Unsecured	Penson Financial Services, Inc.	13-10063
05/07/2013	333	Charles M Garry		7709 Countryside Rd			Lexington	MI	48450		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	239	Charles M. Garry		7709 Countryside Rd			Lexington	MI	48450		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	151	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/08/2013	151	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/08/2013	163	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	163	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/08/2013	164	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Futures	13-10069
03/08/2013	164	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	165	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	165	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/08/2013	167	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Execution Services, Inc.	13-10067
03/08/2013	167	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	291	CheckFree Solutions Limited (formerly Fiserv Accurate)	Michael Gordan	Fiserv	113 Summit Ln		Bala Cynwyd	PA	19004-2918		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
04/29/2013	329	CheckFree Solutions Limited (formerly Fiserv Accurate)	Michael Gordan	Fiserv	113 Summit Ln		Bala Cynwyd	PA	19004-2918		\$41,340.66	A		03/11/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
03/01/2013	50	Checkfree Solutions LTD	Fiserv	7 Roundwood Avenue	Stockley Park		Uxbridge Middlesex	UK	UB11 1AX		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	76	Cheryl Bivona		94 Camino Algarve			Camarnillo	CA	93012		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	93	Chicago Board Options Exchange, Incorporated	Corinne Klott	400 S. LaSalle Street			Chicago	IL	60605		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	286	Chicago Mercantile Exchange (CME Group Inc.)	Attn Jane Moon (Legal)	CME Group Inc	20 S Wacker Drive		Chicago	IL	60606		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	288	Chicago Mercantile Exchange (CME Group Inc.)	Attn Jane Moon (Legal)	CME Group Inc	20 S Wacker Drive		Chicago	IL	60606		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	144	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
03/08/2013	145	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	GHP2, LLC	13-10071
03/08/2013	146	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	147	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	152	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
06/18/2013	367	Cindy Farrell		308 Van Zandt CR 2428			Canton	TX	75103						General Unsecured	Penson Worldwide, Inc.	13-10061
01/18/2013	2	Claims Recovery Group LLC		92 Union Ave			Cresskill	NJ	07626		\$421.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	229	Clyde Snow & Sessions		201 South Main, Suite 1300			Salt Lake City	UT	84111		\$349,103.72				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	235	Clyde Snow & Sessions		201 South Main, Suite 1300			Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
04/18/2013	326	Collin County Tax Assessor/Collector	c/o Gay McCall Isaacks et al	777 East 15th Street			Plano	TX	75074		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
10/21/2013	414	Collin County Tax Assessor/Collector	c/o Gay McCall Isaacks et al	777 East 15th Street			Plano	TX	75074		\$1,865.02	A		04/18/2013	Secured	Penson Worldwide, Inc.	13-10061
02/26/2013	39	Colorado Department of Revenue		1375 Sherman St, Rm 504			Denver	CO	80261-0004		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
04/05/2013	321	Commonwealth of Virginia Department of Taxation		PO Box 2156			Richmond	VA	23218-2156		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
04/05/2013	321	Commonwealth of Virginia Department of Taxation		PO Box 2156			Richmond	VA	23218-2156		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
05/23/2013	350	Comptroller of Maryland		301 West Preston Street, Room 409			Baltimore	MD	21201-2383		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
05/23/2013	350	Comptroller of Maryland		301 West Preston Street, Room 409			Baltimore	MD	21201-2383		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/12/2013	299	Concur		1840 N.E. Union Hill Road			Redmond	WA	98052		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
06/24/2013	371	Connecticut Department of Revenue Services	C&E Division, Bankruptcy Section	25 Sigourney Street			Hartford	CT	06106-5032		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	159	CRT Special Investments as Assignee of NW Tech Network Security	Attn Joseph Sarachek	262 Harbor Drive			Stamford	CT	06902		\$42,250.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	162	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$10,333.76				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	162	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$0.00				Secured	Penson Financial Services, Inc.	13-10063
03/08/2013	182	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$299,166.86				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	182	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$0.00				Secured	Penson Financial Services, Inc.	13-10063
03/06/2013	75	CSG International		9555 Maroon Circle, 2MC3			Englewood	CO	80112		\$89,555.87				General Unsecured	Penson Financial Services, Inc.	13-10063
01/18/2013	1	Dallas County	Elizabeth Weller	Linebarger Goggan Blair & Sampson, LLP	2323 Bryan Street, Ste 1600		Dallas	TX	75201		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
05/20/2013	346	Dallas County	Elizabeth Weller	Linebarger Goggan Blair & Sampson, LLP	2323 Bryan Street, Ste 1600		Dallas	TX	75201		\$57,178.32	A		01/14/2013	Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	276	Daniel P. Son		7138 Azalea Lane			Dallas	TX	75230		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
07/02/2013	383	Daniel Tutt		3334 Fish Ave			Bronx	NY	10469		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
07/02/2013	383	Daniel Tutt		3334 Fish Ave			Bronx	NY	10469		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
02/19/2013	21	Dbadirect Inc		100 E. Rivercenter Blvd, Suite 900			Covington	KY	41011		\$789.48				General Unsecured	Nexa Technologies, Inc.	13-10068
03/04/2013	57	De Lage Landen Financial Services dba Cisco Systems Capital Corp.	De Lage Landen Financial Services	1111 Old Eagle School Rd			Wayne	PA	19087		\$19,066.48				General Unsecured	Penson Worldwide, Inc.	13-10061
01/29/2013	4	Dell Marketing, L.P.		One Dell Way, RR1, MS 52			Round Rock	TX	78682		\$21,217.05				General Unsecured	Penson Worldwide, Inc.	13-10061
02/04/2013	6	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
02/04/2013	6	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/26/2013	31	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED	A		01/29/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
02/26/2013	31	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED	A		01/29/2013	Priority	Penson Worldwide, Inc.	13-10061
02/26/2013	32	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		\$211.06				General Unsecured	Penson Futures	13-10069
02/26/2013	32	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		\$34,190.81				Secured	Penson Futures	13-10069
02/26/2013	33	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
04/05/2013	322	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED	A		01/29/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
04/05/2013	322	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED	A		01/29/2013	Priority	Penson Worldwide, Inc.	13-10061
07/29/2013	396	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		\$0.00	A		02/21/2013	Priority	Penson Financial Services, Inc.	13-10063
11/04/2013	418	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		EXPUNGED	A		01/29/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
04/15/2014	450	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		\$0.00	A		01/29/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	87	Deutsche Bank Trust Company Americas, as Successor Indenture Trustee	Robert J. Lack, Esq.	Friedman Kaplan Seiler & Adelman LLP	7 Times Square		New York	NY	10036-6516		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	168	Directa SIM v.	Penson Financial Services, Inc., FINRA Finra Arbitration - case number 12-03146	Karen L. Cook	700 Park Seventeen Tower	1717 McKinney Ave.	Dallas	TX	75202		\$101,200.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	118	Don F. Holt		P.O. Box 1118			Cooke City	MT	59020-1118		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	202	Donald Wooliscroft & Dana Wooliscroft		2971 E. Phillips Lake Loop			Shelton	WA	98584		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	232	Douglas Osburn V.	Penson Financial Services, Inc., Et Al., Finra Arbitration - case number 11-04045	Laura E. Landenwich Clay Frederick Adams, PLLC	101 Meidinger Tower	462 South Fourth Street	Louisville	KY	40202		\$75,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	140	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$7,784.63				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	140	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$25,000.00				Secured	Penson Worldwide, Inc.	13-10061
03/08/2013	149	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$0.00				Secured	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	262	Jennifer Just	c/o David E. Avraham	Katten Muchin Rosenman LLP	525 W. Monroe Street, Suite 1900		Chicago	IL	60661-3693		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
06/27/2013	381	Jennifer Stringer		4412 Dade Drive			Flower Mound	TX	75028		\$4,010.03				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	81	JM Property SP, Z.O.O. SP.K	c/o Etzbieta Kurkowski, Esq	535 Fifth Avenue, 4th Floor			New York	NY	10017		\$3,000,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	133	John Freese		481 Oak Ave			Staten Island	NY	10306		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/03/2013	334	John Holcomb, Nikki Holcomb, C.Lynn White and Mark A. Mitchell	c/o Kenneth P. Andresen, PLLC	2319 Crescent Avenue			Charlotte	NC	28207-1501		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	253	Jonathan E. Perlman, Esq., as court appointed Receiver of Creative Capital Consortium, LLC and related entities	c/o David C. Cimo, Esq.	100 SE 2nd Street, #4400			Miami	FL	33131		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/09/2014	422	Jonathan E. Perlman, Esq., as court appointed Receiver of Creative Capital Concepts, L.L.C. v. and related entities	Thinkorswim, Inc & Penson Financial Services, Inc, Finra Arbitration	c/o David C. Cimo, Esq	100 SE 2nd Street, #4400		Miami	FL	33131		W/D		A	03/11/2013	General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	210	Josephine Sill		4739 Mount Vernon Blvd			Hamburg	NY	14075		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	111	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	115	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	120	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		W/D				General Unsecured	Penson Futures	13-10069
03/08/2013	141	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	142	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	143	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	161	KAREN L. COOK, AS COUNSEL FOR SCOTT O. FERTIG		700 PARK SEVENTEEN TOWER	1717 MCKINNEY AVE.		DALLAS	TX	75202		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	423	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	424	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	425	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	426	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	427	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	428	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	429	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	430	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	431	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	432	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	433	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	434	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	435	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	436	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	437	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	438	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	439	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	440	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	441	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	442	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	443	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
10/29/2013	415	Karl Fruecht		831 S. Country Dr.			Barrington	IL	60010		EXPUNGED				Priority	Penson Futures	13-10069
03/11/2013	254	Katrina Zautous Petropoulos, Et Al. v.	Penson Financial Services, Inc., Et Al., Finra Arbitration - case number 11-03690	Hartley T. Bernstein, Esq.	Bernstein Cherney, LLP	767 3rd Ave Fl 30	New York	NY	10017-2092		\$90,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	100	Kazenercom Too	Attn Robert V. Cornish, Jr.	Phillips Lytle LLP	800 17th Street NW Suite 450		Washington	DC	20006-3962		\$75,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	71	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		\$815,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	72	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/06/2013	79	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/07/2013	82	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	88	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/11/2013	278	Kevin W. McAleer	c/o John H. Chase	Jones Day	2727 North Harwood Street		Dallas	TX	75201-1515		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	279	Kevin W. McAleer	c/o John H. Chase	Jones Day	2727 North Harwood Street		Dallas	TX	75201-1515		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	270	Knighthood Master Fund, LP	Attn Laura L. Torrado	c/o Knighthood Capital Management, LLC	1140 Avenue of the Americas, 12th Floor		New York	NY	10036		\$16,248,161.53				General Unsecured	Penson Worldwide, Inc.	13-10061
02/19/2013	22	KOPP MCKICHAN LLP		44 EAST MAIN STREET	PO BOX 253		PLATTEVILLE	WI	53818		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/19/2013	23	Kopp Mckichan LLP		44 East Main Street	PO Box 253		Platteville	WI	53818		\$646.25				General Unsecured	Penson Financial Services, Inc.	13-10063
03/01/2013	49	Lauren DiFabritis		5 Woodstone Rd.			Chester	NH	07930		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
03/07/2013	84	Law Debenture Trust Company of New York, as Successor Indenture Trustee	Robert J. Lack, Esq.,	Friedman Kaplan Seller & Adelman LLP	7 Times Square		New York	NY	10036-6516		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	260	Leonard Merriman IV		51 P St NW			Washington	DC	20001		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	102	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	103	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	104	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		\$12,607.04				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	105	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		\$37,634.53				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	106	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	107	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	108	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	109	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	110	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		\$2,762.44				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	148	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	150	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	261	LMA SPC for and on Behalf of Map84 Segregated Portfolio	Attn Laura L. Torrado, Esq	c/o Knighthead Capital Management, LLC	1140 Avenue of the Americas, 12th Floor		New York	NY	10036		\$705,654.17				General Unsecured	Penson Worldwide, Inc.	13-10061
03/25/2013	317	LOGIX COMMUNICATION (V10418)		PO BOX 3608			HOUSTON	TX	77253-3608		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	156	Longhorn Credit Funding, LLC By Highland Capital Management, LP as Collateral Manager	Highland Capital Management	300 Crescent Ct, Ste 700			Dallas	TX	75201		\$8,114,229.86				General Unsecured	Penson Worldwide, Inc.	13-10061
03/06/2013	73	Marc S. Kirschner, as Trustee for the Tribune Litigation Trust	Marc S. Kirschner, as Litigation Trustee	c/o Kirschner Consulting Company	1120 Park Ave #18A		New York	NY	10128-1242		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	121	Marie Leventis		2070 Palmer Ln			Green Oaks	IL	60048		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	206	Marina Kritskaya		1304 Laura Lane			Bellefonte	PA	16823		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	230	Marina Kritskaya, Serguei Lvov	Marina Kritskaya	1304 Laura Lane			Bellefonte	PA	16823		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/22/2013	315	Marian A. Steinbronn		6025 Massey Road			Spotsylvania	VA	22551		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/20/2013	347	Mark Presby		16 Apple Blossom Lane			Manalapan	NJ	07726		\$5,455.00				Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	116	Mark Wesley, et al. v.	TWS Financial, et al., Finra Arbitration - case number 12-04021		608 Circle Trace Dr.		Monroe	NC	28110		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/18/2013	312	Markets Media		40 Exchange Place, 17th Floor			New York	NY	10005		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	269	Matthew Hulsizer	c/o David E. Avraham	Katten Muchin Rosenman LLP	525 W. Monroe Street, Suite 1900		Chicago	IL	60661-3693		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/12/2013	296	Mayer Brown LLP	Attn Nicholas Listermann	230 S. LaSalle St., 9th Flr			Chicago	IL	60604		\$62,466.70				General Unsecured	Penson Futures	13-10069
03/12/2013	297	Mayer Brown LLP	Attn Nicholas Listermann	230 S. LaSalle St., 9th Flr			Chicago	IL	60604		\$358.75				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	208	Merrimac Corporate Securities Inc.	c/o Liquidity Solutions, Inc.	One University Plaza, Suite 312			Hackensack	NJ	07601		\$0.00				Priority	Penson Financial Services, Inc.	13-10063
03/11/2013	208	Merrimac Corporate Securities Inc.	c/o Liquidity Solutions, Inc.	One University Plaza, Suite 312			Hackensack	NJ	07601		\$20,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/15/2013	307	Michael Barden		96 Rosemont Ave			Bristol	CT	06010		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	89	Microsoft Corporation and Microsoft Licensing, GP, a subsidiary of Microsoft Corporation	Joseph E. Shickich, Jr.	c/o Riddell Williams P.S.	1001 Fourth Avenue, Suite 4500		Seattle	WA	98154		\$730,943.23				General Unsecured	Penson Worldwide, Inc.	13-10061
09/25/2013	413	Microsoft Corporation and Microsoft Licensing, GP, a subsidiary of Microsoft Corporation	Joseph E. Shickich, Jr.	c/o Riddell Williams P.S.	1001 Fourth Avenue, Suite 4500		Seattle	WA	98154		W/D				Admin Priority	Penson Worldwide, Inc.	13-10061
02/20/2013	27	Minnesota UI	DEED	332 Minnesota St. # 200			St. Paul	MN	55101-1351		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	216	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		\$418.50				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	216	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		\$1,711.19				Priority	GHP1, Inc.	13-10070
03/11/2013	218	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	218	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/11/2013	225	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	225	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				Priority	Penson Execution Services, Inc.	13-10067
03/11/2013	215	Missouri Department of Revenue		Box 475			Jefferson City	MO	65105		\$10.32				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	215	Missouri Department of Revenue		Box 475			Jefferson City	MO	65105		\$1,242.72				Priority	Penson Financial Services, Inc.	13-10063
02/28/2013	43	Moodys Investors Service, Inc.	Attn Christopher R. Belmonte, Esq.	c/o Satterlee Stephens Burke & Burke LLP	230 Park Avenue		New York	NY	10169		\$31,438.95				General Unsecured	Penson Worldwide, Inc.	13-10061
02/28/2013	41	Morgan Stanley Smith Barney LLC	Kurt Bremer	787 Seventh Avenue, 12th Floor			New York	NY	10019		\$14,805.89				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	94	Morgan, Lewis & Bockius LLP	Attn Darlene J. Renken	1701 Market Street			Philadelphia	PA	19103-2921		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	97	Morgan, Lewis & Bockius LLP	Attn Darlene J. Renken	1701 Market Street			Philadelphia	PA	19103-2921		\$20,113.85				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	98	Morgan, Lewis & Bockius LLP	Attn Darlene J. Renken	1701 Market Street			Philadelphia	PA	19103-2921		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
05/29/2013	359	Mr. Richard Ness	Spiegel Sohmer Inc.	1265, rue Peel	Bureau 1000		Montreal	QC	H3B 2T9	Canada	EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/25/2013	29	MSRB		PO BOX 79864			BALTIMORE	MD	21279-0864		\$9,301.87				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	113	Nanette D. Pearce & Edward J. Pearce		149 Wait St			Walden	NY	12586		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	257	Natalia Lesz	c/o W. Scott Greco	Greco & Greco, P.C.	1300 Old Chain Bridge Rd		McLean	VA	22101		\$82,500.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	80	NDV Investment Company	c/o Elzbieta Kurkowski, Esq.	535 Fifth Avenue, 4th Floor			New York	NY	10017		\$11,491,451.04				General Unsecured	Penson Financial Services, Inc.	13-10063
02/28/2013	61	New York State Department of Labor	State Office Campus	Building #12, Room#256			Albany	NY	12240		EXPUNGED				Priority	Penson Futures	13-10069
07/16/2013	392	New York State Department of Labor, Unemployment Insurance Division	Governor W. Averell Harriman State Office Building Campus	Building 12, Room 256			Albany	NY	12240		EXPUNGED				Priority	Nexa Technologies, Inc.	13-10068
02/19/2013	24	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
02/19/2013	24	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED				Priority	Penson Financial Futures, Inc.	13-10064
04/22/2013	327	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
04/22/2013	327	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
04/22/2013	328	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		\$35,557.00				Priority	GHP1, Inc.	13-10070
04/22/2013	328	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		\$5,126.00				General Unsecured	GHP1, Inc.	13-10070
05/16/2013	348	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED	A	02/12/2013		Priority	Penson Financial Futures, Inc.	13-10064
05/16/2013	348	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED	A	02/12/2013		General Unsecured	Penson Financial Futures, Inc.	13-10064
06/10/2013	362	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED	A	05/13/2013		General Unsecured	Penson Worldwide, Inc.	13-10061
10/24/2013	416	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		\$12,988.00	A	04/17/2013		General Unsecured	Penson Worldwide, Inc.	13-10061
10/24/2013	416	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		\$79,449.00	A	04/17/2013		Priority	Penson Worldwide, Inc.	13-10061
03/21/2013	314	Nicole Williams		1921 S. Clark, Unit B			Chicago	IL	60616		W/D				Priority	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/21/2013	314	Nicole Williams		1921 S. Clark, Unit B			Chicago	IL	60616		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
05/29/2013	351	Nicole Williams		1921 S. Clark, Unit B			Chicago	IL	60616		\$3,658.20				General Unsecured	Nexa Technologies, Inc.	13-10068
05/29/2013	351	Nicole Williams		1921 S. Clark, Unit B			Chicago	IL	60616		\$11,725.00				Priority	Nexa Technologies, Inc.	13-10068
03/07/2013	78	Nivia Smith-Kirknis		2063 83rd Avenue			Oakland	CA	94621		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/08/2013	181	NYSE Market, Inc.	Attn Controllers Dept Irina Kogan	NYSE Euronext, Inc.	20 Broad Street, 8th Floor		New York	NY	10005		\$24,485.06				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	157	NYSE Technologies, Inc.	Attn Controllers Dept	NYSE Euronext, Inc.	20 Broad Street, 8th Floor		New York	NY	10005		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	160	ONE PENN PLAZA, LLC	ATTN MEI CHENG	C/O VORNADO REALTY TRUST	210 ROUTE 4 EAST		PARAMUS	NJ	07652		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	86	OptionsHouse, LLC	c/o David E. Avraham and James D. Van De Graaff	Katten Muchin Rosenman LLP	525 W. Monroe Street, Suite 1900		Chicago	IL	60661-3693		\$25,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/15/2013	308	Orange County Treasurer-Tax Collector	Attn Bankruptcy Unit	P.O. Box 4515			Santa Ana	CA	92702-4515		EXPUNGED				Priority	Nexa Technologies, Inc.	13-10068
08/09/2013	404	Orange County Treasurer-Tax Collector	Attn Bankruptcy Unit	P.O. Box 4515			Santa Ana	CA	92702-4515		\$4,830.92	A		03/15/2013	Priority	Nexa Technologies, Inc.	13-10068
05/28/2013	352	Oregon Department of Revenue		Revenue Building	955 Center Street NE		Salem	OR	97301-2555		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
03/18/2013	311	OUTSOURCING SOLUTIONS GROUP LLC		PO BOX 3003			CONROE	TX	77305		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	169	OYSTER CONSULTING, LLC		4405 COX ROAD SUITE 150			GLEN ALLEN	VA	23060		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	131	Pamela J. Cumnock		525 Southbend Trail			Southlake	TX	76092-6303		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/13/2013	11	PDQ ATS, Inc.	Ed O'Malley	2624 Patriot Boulevard			Glenview	IL	60026		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
07/23/2013	393	PDQ ATS, INC.	MARK G. LEDWIN, ESQ.	WILSON ELSER, LLP	1133 WESTCHESTER AVENUE		WHITE PLAINS	NY	10604		\$43,453.99	A		02/13/2013	General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	240	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	240	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	241	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	241	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	242	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	242	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	243	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	243	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/13/2013	306	Pennock Alan H.		8408 Greenbush			Houston	TX	77025		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	214	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Holdings, Inc.	13-10065
03/11/2013	219	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	220	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Futures	13-10069
03/11/2013	221	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	223	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	224	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		UNLIQUIDATED				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	227	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/11/2013	228	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	251	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	252	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	GHP2, LLC	13-10071
03/07/2013	101	Phillips Lytle LLC Attorney Trust Account f/b/o Robert Peyser	Attn Robert V. Cornish, Jr.	Phillips Lytle LLP	800 17th Street NW, Suite 450		Washington	DC	20006-3962		\$50,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	203	Pine River Convertibles Master Fund Ltd.	Attn Legal	c/o Pine River Capital Management L.P.	601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$1,222,673.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	203	Pine River Convertibles Master Fund Ltd.	Attn Legal	c/o Pine River Capital Management L.P.	601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$657,305.00				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	274	Pine River Fixed Income Master Fund Ltd.	Attn Legal	c/o Pine River Capital Management L.P.	601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$404,250.00				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	277	Pine River Master Fund Ltd.	Attn Legal	c/o Pine River Capital Management L.P.	601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$0.00				General Unsecured	Penson Worldwide, Inc.	13-10061
02/27/2013	36	QUOTEMEDIA	R. KEITH GUELPA	17100 SHEA BLVD, SUITE 230			FOUNTAIN HILLS	AZ	85268		\$79,773.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	292	Qwest Communications Corporation, LLC	CenturyLink Bankruptcy	700 W. Mineral Ave., AZ Room			Littleton	CO	80120		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	155	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	155	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	172	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	172	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				Priority	Nexa Technologies, Inc.	13-10068
03/08/2013	174	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	174	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
11/04/2013	419	R. Bart McCain		6405 Bandera Ave., Unit 2C			Dallas	TX	75225		\$11,725.00	A		03/08/2013	Priority	Nexa Technologies, Inc.	13-10068
11/04/2013	419	R. Bart McCain		6405 Bandera Ave., Unit 2C			Dallas	TX	75225		\$125,775.00	A		03/08/2013	General Unsecured	Nexa Technologies, Inc.	13-10068
03/07/2013	95	R. Wayne Klein, as Court-Appointed Receiver for FFCF Investors, LLC, Ascendus Capital Mgmt. LLC, and Smith Holdings	David Castleberry	Manning Curtis Bradshaw & Bednar LLC	136 E. South Temple St., Suite 1300		Salt Lake City	UT	84111		\$160,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	96	R. Wayne Klein, as Court-Appointed Receiver for FFCF Investors, LLC, Ascendus Capital Mgmt. LLC, and Smith Holdings, LLC	David Castleberry	Manning Curtis Bradshaw & Bednar LLC	136 E. South Temple St. Suite 1300		Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/01/2013	46	Rachel Bega IRA ETrade Custodian	Rachel Bega	44 Willow Street			Floral Park	NY	11001		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
05/17/2013	349	Rackspace		5000 Walzem			San Antonio	TX	78218		\$2,642.10				General Unsecured	Penson Financial Services, Inc.	13-10063
09/24/2013	411	Radianz Americas Inc.	Attn Neil Hobbs, Esq	c/o BT Americas, Inc.	620 Eighth Avenue		New York	NY	10018		W/D				Admin Priority	Nexa Technologies, Inc.	13-10068
09/24/2013	412	Radianz Americas Inc.	Attn Neil Hobbs, Esq	c/o BT Americas, Inc.	620 Eighth Avenue		New York	NY	10018		W/D				Admin Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	158	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	158	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	177	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				Priority	Nexa Technologies, Inc.	13-10068
03/08/2013	177	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	201	Redwood Master Fund, Ltd.		910 Sylvan Ave			Englewood Cliffs	NJ	07632		UNLIQUIDATED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/13/2013	305	Regine A Julien		PO Box 223712			Carmel	CA	93922		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	213	Regions Equipment Finance Corporation, Regions Equipment Finance Ltd.	Robert P. Franke & Andrew G. Edson	Strasburger & Price, LLP	901 Main Street, Suite 4400		Dallas	TX	75202		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/22/2013	316	Regions Equipment Finance Corporation, Regions Equipment Finance Ltd.	Robert P. Franke, Andrew G. Edson	Strasburger & Price, LLP	901 Main Street, Suite 4400		Dallas	TX	75202		EXPUNGED	A		03/11/2013	Secured	Penson Worldwide, Inc.	13-10061
12/05/2013	421	Regions Equipment Finance Corporation, Regions Equipment Finance Ltd.	Robert P. Franke, Andrew G. Edson	Strasburger & Price, LLP	901 Main Street, Suite 4400		Dallas	TX	75202		\$1,271,155.73	A		03/22/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	266	Reid Friedman, Lead Plaintiff, in Friedman v. Penson Worldwide, Inc., et al.	Michael S. Etkin, Esq. and Ira M. Levee, Esq.	Lowenstein Sandler LLP	65 Livingston Avenue		Roseland	NJ	07068		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	287	Reid Friedman, Lead Plaintiff, in Friedman v. Penson Worldwide, Inc., et al.	Michael S. Etkin, Esq. and Ira M. Levee, Esq.	Lowenstein Sandler LLP	65 Livingston Avenue		Roseland	NJ	07068		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	275	Reliant Energy Retail Services, LLC		PO Box 1046			Houston	TX	77251-9995		\$3,520.27				General Unsecured	Nexa Technologies, Inc.	13-10068
03/04/2013	59	Remitpro, LLC		13220 Birch Dr, Suite 120			Omaha	NE	68164		\$5,626.34				General Unsecured	Penson Financial Services, Inc.	13-10063
03/05/2013	63	Richard A. LaSalle		192 Wheeler			Hollis	NH	03049-5930		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/04/2013	52	Richard McCommon	Richard A McCommon	13431 Windsong Drive			Gulfport	MS	39503		EXPUNGED			01/11/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
02/28/2013	44	Richard OConnell, as Ch. 7 Trustee of the Estate of Arco Capital Management, LLP	Kevin J. Nash, Esq.	Goldberg Weprin Finkel Goldstein LLP	1501 Broadway, 22nd Fl		New York	NY	10036		\$225,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
02/27/2013	37	Robert Clay Mullin, Jr.	c/o Alan S. Broderson, Esq.	36 West 44th Street, Suite1412			New York	NY	10036		\$5,326.70				General Unsecured	Penson Financial Services, Inc.	13-10063
03/01/2013	48	Robert L. Morrison, II		970 Earley Lake Pl			Burnsville	MN	55306-6203		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	294	Rockwell Automation, Inc.	Attn Jan Robertson	1201 South Second Street			Milwaukee	WI	53204		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	193	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	194	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	195	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		\$0.00				General Unsecured	Nexa Holdings, Inc.	13-10065
03/11/2013	196	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	197	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		UNLIQUIDATED				General Unsecured	GHPT1, Inc.	13-10070
03/11/2013	198	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	271	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		\$0.00				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	290	Rogers Wealth Group, Inc.		1330 Summit Ave			Fort Worth	TX	76102		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/12/2013	301	RONALD H FILLER & ASSOC. LLC		11 ROCKHALL COURT			HAMBURG	NJ	07419		\$21,600.00				General Unsecured	Penson Worldwide, Inc.	13-10061
02/20/2013	25	RR DONNELLEY FINANCIAL INC		4101 WINFIELD RD.			WARRENVILLE	IL	60555		\$34,843.02				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	272	Rudy De La Sierra	Attn Adam A. Lewis, Esq.	Morrison & Foerster LLP	425 Market Street		San Francisco	CA	94105		\$24,417.98				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	117	Sachin Rebello		4040 Kingsgate Pl. Apt C.			Charlotte	NC	28211		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	295	SALESFORCE	PAUL GUERNSEY	ONE MARKET	SUITE 300		SAN FRANCISCO	CA	94105		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/05/2013	68	Samar Ghadyr	c/o Stephen Nichols, Esq	Cooter, Mangold, et al	7315 Wisconsin Ave #800		Bethesda	MD	20814-3202		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	200	SAMCO Capital Markets, Inc.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	267	Sarah J. Yarmak v. Choicetrade, Et Al.	Including Penson Financial Services, Inc., Finra Arbitration - case number 11-02883	Jeffrey Yarmak	8 Hadmor Mibelz 10		Bnei Brak	IL	51464	Israel	\$610,462.66				General Unsecured	Penson Financial Services, Inc.	13-10063
02/13/2013	14	Schoenfeld Group Holdings LLC	Attn Mark H. Peckman, Esq.	460 Park Avenue, 19th Floor			New York	NY	10022		\$3,783,932.00				General Unsecured	Penson Worldwide, Inc.	13-10061
02/13/2013	15	Schoenfeld Group Holdings LLC	Attn Mark H. Peckman, Esq.	460 Park Avenue, 19th Floor			New York	NY	10022		\$3,783,932.00				General Unsecured	SAI Holdings, Inc.	13-10062
03/01/2013	45	Schoenfeld Group Holdings LLC	Attn Mark H. Peckman, Esq.	460 Park Avenue, 19th Floor			New York	NY	10022		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
09/13/2013	409	SEAN MALLOY	SEAN I. MALLOY	193 BARLOW RD			FAIRFIELD	CT	06824		\$20,893.45	A		03/11/2013	General Unsecured	Penson Financial Services, Inc.	13-10063
09/13/2013	409	SEAN MALLOY	SEAN I. MALLOY	193 BARLOW RD			FAIRFIELD	CT	06824		\$0.00	A		03/11/2013	Priority	Penson Financial Services, Inc.	13-10063
03/11/2013	212	SEAN MALLOY		193 BARLOW RD			FAIRFIELD	CT	06824		\$20,893.45				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	212	SEAN MALLOY		193 BARLOW RD			FAIRFIELD	CT	06824		\$0.00				Priority	Penson Worldwide, Inc.	13-10061
02/07/2013	7	Sentinel Liquidation Trust	Attn Vincent E. Lazar	Jenner & Block LLP	353 N. Clark St.		Chicago	IL	60654-3456		W/D				General Unsecured	Penson Financial Futures, Inc.	13-10064
02/07/2013	8	Sentinel Liquidation Trust	Jenner & Block LLP		353 N. Clark St.		Chicago	IL	60654-3456		W/D				General Unsecured	Penson Futures	13-10069
11/07/2014	452	Sentinel Liquidation Trust	Jenner & Block LLP		353 N. Clark St.		Chicago	IL	60654-3456		W/D	A		02/07/2013	General Unsecured	Penson Futures	13-10069
11/07/2014	453	Sentinel Liquidation Trust	Jenner & Block LLP		353 N. Clark St.		Chicago	IL	60654-3456		W/D	A		02/07/2013	General Unsecured	Penson Financial Futures, Inc.	13-10064
07/18/2013	391	Shabang Exhibits, Inc.		4002 W. Miller Road, Suite 100			Garland	TX	75041-6160		W/D				Admin Priority	Penson Worldwide, Inc.	13-10061
02/28/2014	446	Sinkwan Cheng	Center for the Humanities, Wesleyan University		95 Pearl Street		Middletown	CT	06459		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/28/2014	447	Sinkwan Cheng	Center for the Humanities, Wesleyan University		95 Pearl Street		Middletown	CT	06459		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/04/2013	51	SMART Financial Technologies		851 SW 6th Ave Ste 800			Portland	OR	97204-1322		\$7,861.00				General Unsecured	Penson Financial Services, Inc.	13-10063
01/23/2013	3	Southern California Edison Company	Attn Credit and Payment Services		1551 W. San Bernardino Rd.		Covina	CA	91722		\$2,199.09				General Unsecured	Nexa Technologies, Inc.	13-10068
02/25/2013	30	STANDARD REGISTRAR & TRNSFR		673 BLUEBIRD N.E.			ALBUQUERQUE	NM	87122		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/13/2013	335	State of Iowa	Attn Bankruptcy Unit		PO Box 10471		Des Moines	IA	50306		W/D				Priority	Penson Financial Services, Inc.	13-10063
08/06/2013	399	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		W/D				Priority	Penson Financial Services, Inc.	13-10063
08/06/2013	400	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
08/06/2013	401	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		EXPUNGED				Priority	Penson Futures	13-10069

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
10/29/2013	417	State of New Jersey Division of Taxation	Bankruptcy Unit	PO Box 245			Trenton	NJ	08695-0245		EXPUNGED	A		08/06/2013	Priority	Penson Futures	13-10069
04/08/2014	449	State of New Jersey Division of Taxation	Bankruptcy Unit	PO Box 245			Trenton	NJ	08695-0245		EXPUNGED	A		08/06/2013	Priority	Penson Worldwide, Inc.	13-10061
06/17/2014	451	State of New Jersey Division of Taxation		PO Box 245			Trenton	NJ	08695-0245		\$3,178.06	A		04/08/2014	Priority	Penson Worldwide, Inc.	13-10061
04/08/2013	323	Siefan Zadzorny		292 Rue Oakridge			Boie Durfe	Quebec	H9X 2N6	Canada	EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/18/2013	309	Stephen Vito Manzo		3190 Fox Drive			Chalfont	PA	18914		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
06/18/2013	369	Steve Farrell		308 Van Zandt CR 2428			Canton	TX	75103		\$180.00				General Unsecured	Penson Worldwide, Inc.	13-10061
06/18/2013	368	Steven Farrell		308 Van Zandt CR 2428			Canton	TX	75103		\$809.75				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	183	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	184	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	185	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		\$95,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	186	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/11/2013	187	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Holdings, Inc.	13-10065
03/11/2013	188	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	189	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	190	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/11/2013	191	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	192	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	GHP2, LLC	13-10071
03/05/2013	65	SunGard Availability Services LP	Maureen A. McGreevey, Esquire	680 E. Swedesford Road			Wayne	PA	19087		\$72,250.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	226	SunGard Securities Finance LLC	Michael P. Walsh, Esquire	680 E. Swedesford Rd			Wayne	PA	19087		\$65,250.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	129	T. Michael & Pamela J. Cumcock		525 Southbend Trail			Southlake	TX	76092-6303		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/05/2013	62	TD INDUSTRIES CORPORATION		PO BOX 819060			DALLAS	TX	75381		\$2,973.96				General Unsecured	Penson Financial Services, Inc.	13-10063
06/03/2013	355	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Financial Futures, Inc.	13-10064
06/03/2013	356	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	GHP1, Inc.	13-10070
06/03/2013	357	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Nexa Technologies, Inc.	13-10068
06/03/2013	358	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Holdings, Inc.	13-10065
06/17/2013	363	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Financial Services, Inc.	13-10063
06/17/2013	364	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	SAI Holdings, Inc.	13-10062
06/17/2013	366	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Worldwide, Inc.	13-10061
06/24/2013	372	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	GHP1, Inc.	13-10070
06/24/2013	373	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	Penson Financial Futures, Inc.	13-10064
06/24/2013	375	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	Penson Holdings, Inc.	13-10065
06/24/2013	376	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	Nexa Technologies, Inc.	13-10068
06/17/2013	365	Texas Comptroller of Public Accounts on behalf of the State of Texas, Texas Municipalities, Texas Counties, et al.	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		\$224,982.84				Priority	Penson Financial Services, Inc.	13-10063
04/16/2013	324	The Chicago Stock Exchange, Inc.	Attn Emily Kasparov, Esq.	One Financial Place			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
06/24/2013	374	The Commissioner of Revenue of the State of Tennessee	c/o Attorney General	Wilbur E. Hooks, Director		Tax Enforcement Division Tennessee Dept of Revenue	P.O. BOx 20207	Nashville	TN	37202-0207	EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	211	The Depository Trust & Clearing Corporation, on behalf of itself and its Affiliates	Isaac Montal	Managing Director & Deputy General Counsel	55 Water Street, 22 Floor		New York	NY	10041		\$44,818.93				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	85	The Irvine Company LLC, a Delaware limited liability company	c/o Dean P. Sperling, Esq	201 East Sandpointe, Suite 220			Santa Ana	CA	92707		\$131,407.74				General Unsecured	Nexa Technologies, Inc.	13-10068
03/07/2013	85	The Irvine Company LLC, a Delaware limited liability company	c/o Dean P. Sperling, Esq	201 East Sandpointe, Suite 220			Santa Ana	CA	92707		\$27,184.52				Admin Priority	Nexa Technologies, Inc.	13-10068
08/26/2013	406	The Missouri Department of Revenue		PO Box 475			Jefferson City	MO	65105		EXPUNGED				Admin Priority	Penson Financial Services, Inc.	13-10063
05/15/2013	336	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		\$55.72				General Unsecured	Penson Financial Services, Inc.	13-10063
05/15/2013	336	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		\$1.47				Priority	Penson Financial Services, Inc.	13-10063
06/26/2013	379	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
06/26/2013	379	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				Priority	Nexa Technologies, Inc.	13-10068
06/26/2013	380	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				Priority	Penson Financial Services, Inc.	13-10063
06/26/2013	380	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
02/19/2013	20	The Plant Place Inc.		10704 Goodnight Lane			Dallas	TX	75220		\$562.29				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	209	Theo J. Gomes	Theo Gomes	22 Dale Ave			Jersey City	NJ	07306		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/07/2013	90	Theodore Ende		5 Ety Court			Manchester	NJ	08759		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/25/2013	28	Thomson Reuters (Markets) LLC	c/o Issa K. Moe, Esq.	Moss & Barnett	90 South Seventh Street, Suite 4800		Minneapolis	MN	55402		\$17,334.97				General Unsecured	Penson Worldwide, Inc.	13-10061
01/28/2013	5	TIME WARNER TELECOM	TW Telecom Inc	10475 Park Meadows Drive			Littleton	CO	80124		\$21,744.39				General Unsecured	Penson Financial Services, Inc.	13-10063
07/15/2013	390	TMC Bonds, LLC	Attn Stuart Henderson	825 Third Avenue, 14th Floor			New York	NY	10022		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
07/15/2013	390	TMC Bonds, LLC	Attn Stuart Henderson	825 Third Avenue, 14th Floor			New York	NY	10022		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	244	Tom Delaney	Attn Brent Baker	Clyde, Snow & Sessions	201 South Main, Suite 1300		Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	222	Tom Delaney		201 South Main, Suite 1300			Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/04/2013	53	TradeKing, LLC (formerly known as Zecco Trading, Inc.)	Attn Richard J. Hagen, Jr.	13024 Ballantyne Corporate Place, Suite 500			Charlotte	NC	28277		\$500,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
07/01/2013	382	Travis County	c/o Kay D. Brock	P.O. Box 1748			Austin	TX	78767		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
11/26/2013	420	Travis County	c/o Kay D. Brock	P.O. Box 1748			Austin	TX	78767		\$824.80	A		07/01/2013	Secured	Penson Financial Services, Inc.	13-10063
07/08/2013	384	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478	MONROE BLDG 5TH FL		RICHMOND	VA	23218-2478		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
07/08/2013	385	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478	MONROE BLDG 5TH FL		RICHMOND	VA	23218-2478		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
07/08/2013	386	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478	MONROE BLDG 5TH FL		RICHMOND	VA	23218-2478		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
07/08/2013	387	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478	MONROE BLDG 5TH FL		RICHMOND	VA	23218-2478		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
06/25/2013	377	TREASURER STATE OF CONNECTICUT	ATTORNEY LIZ AUSTIN	PULLMAN & COMLEY, LLC	850 MAIN STREET, 8TH FLOOR		BRIDGEPORT	CT	06601-7006		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
06/25/2013	378	TREASURER STATE OF CONNECTICUT	ATTORNEY LIZ AUSTIN	PULLMAN & COMLEY, LLC	850 MAIN STREET, 8TH FLOOR		BRIDGEPORT	CT	06601-7006		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	154	Tunstall Opportunities Master Fund, L.P.	Highland Capital Management	300 Crescent Ct. Ste 700			Dallas	TX	75201		\$1,902,361.11				General Unsecured	Penson Worldwide, Inc.	13-10061
03/27/2013	318	ULLINK		11 W 42ND ST FL 31			NEW YORK	NY	10036-8002		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	293	Urszula Mika		137-11 95th Street			Ozone Park	NY	11417		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	300	Urszula Mika		137-11 95th Street			Ozone Park	NY	11417		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	66	Walter Kusumau		9 Caguna Pt Road			Chico	CA	95928		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/06/2013	67	Walter Kusumau		9 Caguna Pt Road			Chico	CA	95928		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
04/18/2013	325	WebFilings, LLC		2625 N Loop Drive, Suite 2105			Ames	IA	50010		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/13/2013	12	Wells Fargo Bank, N.A., as indenture trustee	Attn James R. Lewis	150 East 42nd Street 40th Floor			New York	NY	10017		\$63,017,400.00				General Unsecured	Penson Worldwide, Inc.	13-10061
02/13/2013	13	Wells Fargo Bank, N.A., as indenture trustee	Attn James R. Lewis	150 East 42nd Street 40th Floor			New York	NY	10017		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/13/2013	304	William C. and Deborah J. Nester		7567 Fitzpatrick Drive			Liverpool	NY	13088		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/19/2014	448	William Carroll		220 Tracy Circle			Daphne	AL	36526		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/07/2013	83	Wilmington Trust Company, as Successor Indenture Trustee	Robert J. Lack, Esq.	Friedman Kaplan Seiler & Adelman LLP	7 Times Square		New York	NY	10036-6516		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
05/06/2013	330	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		\$9,034.04				General Unsecured	GHP1, Inc.	13-10070
05/06/2013	330	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		\$137,170.71				Priority	GHP1, Inc.	13-10070
05/06/2013	331	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
05/06/2013	331	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		W/D				Priority	Penson Financial Services, Inc.	13-10063
05/06/2013	332	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
05/06/2013	332	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
02/28/2013	42	World Trade Financial, Corporation		2010 Hancock St. 2nd Floor			San Diego	CA	92110		EXPUNGED				Admin Priority	Penson Financial Services, Inc.	13-10063
07/23/2013	394	Xin Zhan		1-11-1 No 73 Qishanpinge	Yangheer Cun Jiangbei Ou		Chongqing		400020	China	EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/18/2013	310	Xpedite Systems, LLC		100 Tornee Drive			Tinton Falls	NJ	07712		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/04/2013	60	INTELINET SYSTEMS		1110 EAST COLLINS ROAD			RICHARDSON	TX	75081		\$287.08				General Unsecured	Penson Financial Services, Inc.	13-10063
02/28/2013	61	New York State Department of Labor	State Office Campus	Building #12, Room#256			Albany	NY	12240		EXPUNGED				Priority	Penson Futures	13-10069
03/05/2013	62	TD INDUSTRIES CORPORATION		PO BOX 819060			DALLAS	TX	75381		\$2,973.96				General Unsecured	Penson Financial Services, Inc.	13-10063
03/05/2013	63	Richard A. LaSalle		192 Wheeler			Hollis	NH	03049-5930		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/05/2013	64	Amy J. Nation		217 Pebblecreek Drive			Garland	TX	75040		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/05/2013	65	StarGard Availability Services LP	Maureen A. McGreevey, Esquire	680 E. Swedesford Road			Wayne	PA	19087		\$72,250.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/06/2013	66	Walter Kusumau		9 Caguna Pt Road			Chico	CA	95928		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/06/2013	67	Walter Kusumau		9 Caguna Pt Road			Chico	CA	95928		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/05/2013	68	Samar Ghadry	c/o Stephen Nichols, Esq	Cooler, Mangold, et al	7315 Wisconsin Ave #800		Bethesda	MD	20814-3202		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	69	Halcyon Loan Trading Fund, LLC	Attention Matt Seltzer	c/o Halcyon Asset Management LP	477 Madison Avenue- 9th Floor		New York	NY	10022		\$16,000,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	70	General Datatech, LP.	John E. Ansbach	999 Metromedia Place			Dallas	TX	75247		\$32,763.80				General Unsecured	Penson Futures	13-10069
03/06/2013	71	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		\$815,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	72	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/06/2013	73	Marc S. Kirschner, as Trustee for the Tribune Litigation Trust	Marc S. Kirschner, as Litigation Trustee	c/o Kirschner Consulting Company	1120 Park Ave #18A		New York	NY	10128-1242		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	74	Baker & McKenzie LLP	David W. Parham	2001 Ross Avenue, Suite 2300			Dallas	TX	75201		\$15,676.49				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	75	CSG International		9555 Maroon Circle, 2MC3			Englewood	CO	80112		\$89,555.87				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	76	Cheryl Bivona		94 Camino Algarve			Camarillo	CA	93012		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	77	EXPERIS FINANCE US, LLC		PO BOX 905378			CHARLOTTE	NC	28290-6378		\$36,825.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	78	Nivia Smith-Kirknis		2063 83rd Avenue			Oakland	CA	94621		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/06/2013	79	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/06/2013	80	NDV Investment Company	c/o Elzbieta Kurkowski, Esq.	535 Fifth Avenue, 4th Floor			New York	NY	10017		\$11,491,451.04				General Unsecured	Penson Financial Services, Inc.	13-10063
03/06/2013	81	JM Property SP, Z.O.O. SP.K	c/o Elzbieta Kurkowski, Esq.	535 Fifth Avenue, 4th Floor			New York	NY	10017		\$3,000,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	82	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	83	Wilmington Trust Company, as Successor Indenture Trustee	Robert J. Lack, Esq.	Friedman Kaplan Seiler & Adelman LLP	7 Times Square		New York	NY	10036-6516		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	84	Law Debenture Trust Company of New York, as Successor Indenture Trustee	Robert J. Lack, Esq.,	Friedman Kaplan Seiler & Adelman LLP	7 Times Square		New York	NY	10036-6516		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	85	The Irvine Company LLC, a Delaware limited liability company	c/o Dean P. Sperling, Esq	201 East Sandpointe, Suite 220			Santa Ana	CA	92707		\$131,407.74				General Unsecured	Nexa Technologies, Inc.	13-10068
03/07/2013	85	The Irvine Company LLC, a Delaware limited liability company	c/o Dean P. Sperling, Esq	201 East Sandpointe, Suite 220			Santa Ana	CA	92707		\$27,184.52				Admin Priority	Nexa Technologies, Inc.	13-10068
03/07/2013	86	OptionsHouse, LLC	c/o David E. Avraham and James D. Van De Graaff	Katten Muchin Rosenman LLP	525 W. Monroe Street, Suite 1900		Chicago	IL	60661-3693		\$25,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	87	Deutsche Bank Trust Company Americas, as Successor Indenture Trustee	Robert J. Lack, Esq.	Friedman Kaplan Seiler & Adelman LLP	7 Times Square		New York	NY	10036-6516		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	88	Kenneth P. Silverman, Esq., the chapter 7 trustee of the substantively consolidated estate of Agape World, Inc., et al.	Kenneth P. Silverman, Esq.	SilvermanAcampora LLP	100 Jericho Quadrangle, Suite 300		Jericho	NY	11753		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/07/2013	89	Microsoft Corporation and Microsoft Licensing, GP, a subsidiary of Microsoft Corporation	Joseph E. Shickich, Jr.	c/o Riddell Williams P.S.	1001 Fourth Avenue, Suite 4500		Seattle	WA	98154		\$730,943.23				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	90	Theodore Ende		5 Ety Court			Manchester	NJ	08759		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	91	George E. Morris Revocable Trust	c/o Eric N. Assouline, Esq. Florida Bar No. 106143	Assouline & Berlowe, P.A.	213 E. Sheridan St., Suite 3		Dania Beach	FL	33004		\$70,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	92	Fernando Pinhal		343 Lyton Circle			Orlando	FL	32824		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	93	Chicago Board Options Exchange, Incorporated	Corinne Klott	400 S. LaSalle Street			Chicago	IL	60605		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/07/2013	94	Morgan, Lewis & Bockius LLP	Attn Darlene J. Renken	1701 Market Street			Philadelphia	PA	19103-2921		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	95	R. Wayne Klein, as Court-Appointed Receiver for FFCF Investors, LLC, Ascendus Capital Mgmt. LLC, and Smith Holdings	David Castleberry	Manning Curtis Bradshaw & Bednar LLC	136 E. South Temple St., Suite 1300		Salt Lake City	UT	84111		\$160,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	96	R. Wayne Klein, as Court-Appointed Receiver for FFCF Investors, LLC, Ascendus Capital Mgmt. LLC, and Smith Holdings, LLC	David Castleberry	Manning Curtis Bradshaw & Bednar LLC	136 E. South Temple St. Suite 1300		Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	97	Morgan, Lewis & Bockius LLP	Attn Darlene J. Renken	1701 Market Street			Philadelphia	PA	19103-2921		\$20,113.85				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	98	Morgan, Lewis & Bockius LLP	Attn Darlene J. Renken	1701 Market Street			Philadelphia	PA	19103-2921		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/07/2013	99	Berkeley First City, Ltd.	c/o Howard C. Rubin	Kessler Collins, P.C.	2100 Ross Ave., Ste. 750		Dallas	TX	75201		\$2,031,723.70				General Unsecured	Penson Worldwide, Inc.	13-10061
03/07/2013	100	Kazenercom Too	Attn Robert V. Cornish, Jr.	Phillips Lytle LLP	800 17th Street NW Suite 450		Washington	DC	20006-3962		\$75,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/07/2013	101	Phillips Lytle LLC Attorney Trust Account f/b/o Robert Peyser	Attn Robert V. Cornish, Jr.	Phillips Lytle LLP	800 17th Street NW, Suite 450		Washington	DC	20006-3962		\$50,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	102	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	103	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	104	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		\$12,607.04				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	105	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		\$37,634.53				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	106	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	107	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	108	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	109	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/08/2013	110	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		\$2,762.44				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	111	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	112	Barbara Freeo		481 Oak Ave			Staten Island	NY	10306		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	113	Nanette D. Pearce & Edward J. Pearce		149 Wait St			Walden	NY	12586		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	114	Allen E Russell		70-A Greenwich Ave 155			New York	NY	10011-8300		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/08/2013	115	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	116	Mark Wesley, et al. v.	TWS Financial, et al, Finra Arbitration - case number 12-04021	Mark Wesley	608 Circle Trace Dr.		Monroe	NC	28110		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	117	Sachin Rebello		4040 Kingsgate Pl, Apt C.			Charlotte	NC	28211		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	118	Don F. Holt		P.O. Box 1118			Cooke City	MT	59020-1118		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	119	Hung Tam Nguyen		4798 Dagenais			Montreal	QC	H4C 1L7	Canada	EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	120	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		W/D				General Unsecured	Penson Futures	13-10069
03/08/2013	121	Marie Leventis		2070 Palmer Ln			Green Oaks	IL	60048		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	122	J.P. Morgan Securities LLC	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		W/D				General Unsecured	Penson Futures	13-10069
03/08/2013	123	J.P. Morgan Securities LLC	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	124	J.P. Morgan Securities LLC	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	125	J.P. Morgan Clearing Corp.	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		W/D				General Unsecured	Penson Futures	13-10069
03/08/2013	126	James Avola		2415 Diplomat Ln			Charlotte	NC	28210-3511		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	127	Everett Casazza/Jean A. Casazza JT Ten		221 Currie Ave			Staten Island	NY	10306		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	128	J.P. Morgan Clearing Corp.	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	129	T. Michael & Pamela J. Cumnock		525 Southbend Trail			Southlake	TX	76092-6303		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	130	J.P. Morgan Clearing Corp.	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	131	Pamela J. Cumnock		525 Southbend Trail			Southlake	TX	76092-6303		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	132	J.P. Morgan Clearing Corp.	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	133	John Freeo		481 Oak Ave			Staten Island	NY	10306		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	134	J.P. Morgan Clearing Corp.	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	135	Jean M. Wells		8902 SE Marina Bay Drive			Hobe Sound	FL	33455		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	136	J.P. Morgan Clearing Corp.	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	137	J.P. Morgan Securities LLC	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	138	J.P. Morgan Securities LLC	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	139	J.P. Morgan Securities LLC	Attn Neil R. Boylan	JPMorgan Chase Bank, N.A.	383 Madison Avenue		New York	NY	10179		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	140	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$7,784.63				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	140	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$25,000.00				Secured	Penson Worldwide, Inc.	13-10061
03/08/2013	141	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	142	JPMorgan Chase Bank, National Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	143	Association	Attn Neil R. Boylan	383 Madison Avenue			New York	NY	10179		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	144	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
03/08/2013	145	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	GHP2, LLC	13-10071
03/08/2013	146	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	147	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	148	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	149	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$0.00				Secured	Penson Financial Services, Inc.	13-10063
03/08/2013	149	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		\$1,053,410.93				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	150	Level 3 Communications, LLC	Attn Legal Dept. (BKY)	1025 Eldorado Blvd.			Broomfield	CO	80021		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	151	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/08/2013	151	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/08/2013	152	Christopher K. Hehmeyer	HTG Capital Partners, LLC	601 S. LaSalle Street, Suite 200			Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	153	Highland Credit Opportunities CDO, Ltd. By Highland Capital Management, LP, as Collateral Manager	Highland Capital Management	300 Crescent Ct, Ste 700			Dallas	TX	75201		\$3,971,178.82				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	154	Tunstall Opportunities Master Fund, L.P.	Highland Capital Management	300 Crescent Ct, Ste 700			Dallas	TX	75201		\$1,902,361.11				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	155	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	155	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	156	Longhorn Credit Funding, LLC By Highland Capital Management, LP as Collateral Manager	Highland Capital Management	300 Crescent Ct, Ste 700			Dallas	TX	75201		\$8,114,229.86				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	157	NYSE Technologies, Inc.	Attn Controllers Dept	NYSE Euronext, Inc.	20 Broad Street, 8th Floor		New York	NY	10005		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	158	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				Priority	Penson Financial Services, Inc.	13-10063
03/08/2013	158	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	159	CRT Special Investments as Assignee of NW Tech Network Security	Attn Joseph Sarachek	262 Harbor Drive			Stamford	CT	06902		\$42,250.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	160	ONE PENN PLAZA, LLC KAREN L. COOK, AS COUNSEL FOR SCOTT O. FERTIG	ATTN MEI CHENG	C/O VORNADO REALTY TRUST	210 ROUTE 4 EAST		PARAMUS	NJ	07652		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	161	CRT Special Investments LLC	Joseph E. Sarachek	700 PARK SEVENTEEN TOWER	1717 MCKINNEY AVE.		DALLAS	TX	75202		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	162	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$10,333.76				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	162	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$0.00				Secured	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/08/2013	163	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	163	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/08/2013	164	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Futures	13-10069
03/08/2013	164	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	165	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	165	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/08/2013	166	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D			General Unsecured	Penson Financial Services, Inc.	13-10063	
03/08/2013	166	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D			Priority	Penson Financial Services, Inc.	13-10063	
03/08/2013	167	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				Secured	Penson Execution Services, Inc.	13-10067
03/08/2013	167	Chartis Specialty Insurance Company, Granite State Insurance Company, Illinois National Insurance Company, National et al.	American International Group, Inc.	Ryan G. Foley, Authorized Representative	180 Maiden Lane, 37th Floor		New York	NY	10038		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
03/08/2013	168	Directa SIM v.	Penson Financial Services, Inc., FINRA Finra Arbitration - case number 12-03146	Karen L. Cook	700 Park Seventeen Tower	1717 McKinney Ave.	Dallas	TX	75202		\$101,200.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	169	OYSTER CONSULTING, LLC		4405 COX ROAD SUITE 150			GLEN ALLEN	VA	23060		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	170	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	170	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				Priority	Nexa Technologies, Inc.	13-10068
03/08/2013	171	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	171	Bryce B. Engel		6705 Alpine Lane			Colleyville	TX	76034		W/D				Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	172	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	172	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				Priority	Nexa Technologies, Inc.	13-10068
03/08/2013	173	Barbara Hallum		7327 E. Cortez Road			Scottsdale	AZ	85260		\$50,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	174	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	174	R. Bart McCain		6981 Kenwood Ave			Dallas	TX	75214-3243		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	175	Barbara Hallum		7327 E. Cortez Road			Scottsdale	AZ	85260		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	176	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				Secured	Penson Futures	13-10069
03/08/2013	176	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/08/2013	177	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				Priority	Nexa Technologies, Inc.	13-10068
03/08/2013	177	Ralph Bruce Ferguson, II		7890 County Road 110			Round Rock	TX	78665		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/08/2013	178	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				General Unsecured	GHP2, LLC	13-10071
03/08/2013	178	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				Secured	GHP2, LLC	13-10071
03/08/2013	179	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/08/2013	180	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				Secured	GHP1, Inc.	13-10070
03/08/2013	180	Drinker Biddle & Reath LLP	Attn Michael E. Barry	191 North Wacker Drive, Suite 3700			Chicago	IL	60606-1698		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
03/08/2013	181	NYSE Market, Inc.	Attn Controllers Dept Irina Kogan	NYSE Euronext, Inc.	20 Broad Street, 8th Floor		New York	NY	10005		\$24,485.06				General Unsecured	Penson Worldwide, Inc.	13-10061
03/08/2013	182	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$299,166.86				General Unsecured	Penson Financial Services, Inc.	13-10063
03/08/2013	182	CRT Special Investments LLC	Joseph E. Sarachek	262 Harbor Drive			Stamford	CT	06902		\$0.00				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	183	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	184	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	185	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		\$95,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	186	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/11/2013	187	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Holdings, Inc.	13-10065
03/11/2013	188	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	189	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	190	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	Penson Futures	13-10069
03/11/2013	191	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	192	STEVEN J. ROSENBERG, ESQ.		53 WEST JACKSON BOULEVARD SUITE 728	MONADNOCK BUILDING		CHICAGO	IL	60604		EXPUNGED				General Unsecured	GHP2, LLC	13-10071

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	193	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	194	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	195	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		\$0.00				General Unsecured	Penson Holdings, Inc.	13-10065
03/11/2013	196	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	197	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		UNLIQUIDATED				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	198	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	199	Earl D. Engelbrecht		1605 NW Weatherstone Ct			Blue Springs	MO	64015		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/08/2013	200	SAMCO Capital Markets, Inc.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	201	Redwood Master Fund, Ltd.		910 Sylvan Ave			Englewood Cliffs	NJ	07632		UNLIQUIDATED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	202	Donald Wooliscroft & Dana Wooliscroft		2971 E. Phillips Lake Loop			Shelton	WA	98584		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	203	Pine River Convertibles Master Fund Ltd.	Attn Legal	c/o Pine River Capital Management L.P.	601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$1,222,673.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	203	Pine River Convertibles Master Fund Ltd.	Attn Legal	c/o Pine River Capital Management L.P.	601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$657,305.00				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	204	Barbara Payne	Barbara B. Payne	P O Box 577			Hermosa Beach	CA	90254		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	205	BERNSTEIN CHERNEY, LLP	HARTLEY T. BERNSTEIN, ESQ.	767 3RD AVE FL 30			NEW YORK	NY	10017-2092		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	206	Marina Kritskaya		1304 Laura Lane			Bellefonte	PA	16823		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	207	Akin Gump Strauss Hauer & Feld LLP	c/o M. Scott Barnard, Esq.	1700 Pacific Avenue, Suite 4100			Dallas	TX	75201		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	208	Merrimac Corporate Securities Inc.	c/o Liquidity Solutions, Inc.	One University Plaza, Suite 312			Hackensack	NJ	07601		\$0.00				Priority	Penson Financial Services, Inc.	13-10063
03/11/2013	208	Merrimac Corporate Securities Inc.	c/o Liquidity Solutions, Inc.	One University Plaza, Suite 312			Hackensack	NJ	07601		\$20,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	209	Theo J. Gomes		22 Dale Ave			Jersey City	NJ	07306		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	210	Josephine Sill		4739 Mount Vernon Blvd			Hamburg	NY	14075		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	211	The Depository Trust & Clearing Corporation, on Behalf of Itself and Its Affiliates	Isaac Montal	Managing Director & Deputy General Counsel	55 Water Street, 22 Floor		New York	NY	10041		\$44,818.93				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	212	SEAN MALLOY		193 BARLOW RD			FAIRFIELD	CT	06824		\$20,893.45				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	212	SEAN MALLOY		193 BARLOW RD			FAIRFIELD	CT	06824		\$0.00				Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	213	Regions Equipment Finance Corporation, Regions Equipment Finance Ltd.	Robert P. Franke & Andrew G. Edson	Strasburger & Price, LLP	901 Main Street, Suite 4400		Dallas	TX	75202		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	214	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Holdings, Inc.	13-10065
03/11/2013	215	Missouri Department of Revenue		Box 475			Jefferson City	MO	65105		\$10.32				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	215	Missouri Department of Revenue		Box 475			Jefferson City	MO	65105		\$1,242.72				Priority	Penson Financial Services, Inc.	13-10063
03/11/2013	216	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		\$418.50				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	216	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		\$1,711.19				Priority	GHP1, Inc.	13-10070
03/11/2013	217	Akin Gump Strauss Hauer & Feld LLP	c/o M. Scott Barnard, Esq.	1700 Pacific Avenue, Suite 4100			Dallas	TX	75201		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	218	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	218	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
03/11/2013	219	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	220	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Futures	13-10069
03/11/2013	221	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	222	Tom Delaney		201 South Main, Suite 1300			Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	223	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	224	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		UNLIQUIDATED				General Unsecured	GHP1, Inc.	13-10070
03/11/2013	225	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	225	Missouri Department of Revenue	Deb Buschman	Box 475			Jefferson City	MO	65105		EXPUNGED				Priority	Penson Execution Services, Inc.	13-10067
03/11/2013	226	SunGard Securities Finance LLC	Michael P. Walsh, Esquire	680 E. Swedesford Rd			Wayne	PA	19087		\$65,250.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	227	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/11/2013	228	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	229	Clyde Snow & Sessions		201 South Main, Suite 1300			Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	230	Marina Kritskaya, Serguei Lvov	Marina Kritskaya	1304 Laura Lane			Bellefonte	PA	16823		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	231	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	231	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	232	Douglas Osburn V.	Penson Financial Services, Inc., Et Al., Firra Arbitration - case number 11-04045	Laura E. Landenwich Clay Frederick Adams, PLLC	101 Meidinger Tower	462 South Fourth Street	Louisville	KY	40202		\$75,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	233	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	233	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	234	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	234	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	235	Clyde Snow & Sessions		201 South Main, Suite 1300			Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	236	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	236	Apex Clearing Holdings, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	237	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	237	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	238	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	238	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	239	Charles M. Garry		7709 Countryside Rd			Lexington	MI	48450		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	240	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	240	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	241	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	241	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	242	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	242	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	243	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	243	PEAK6 Investments, LP	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	244	Tom Delaney	Attn Brent Baker	Clyde, Snow & Sessions	201 South Main, Suite 1300		Salt Lake City	UT	84111		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	245	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	245	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	246	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	246	Apex Clearing Corporation	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	247	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
03/11/2013	247	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	SAI Holdings, Inc.	13-10062
03/11/2013	248	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	248	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		W/D				Secured	Nexa Technologies, Inc.	13-10068
03/11/2013	249	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	249	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
03/11/2013	250	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	250	Apex Clearing Solutions, LLC	c/o Jonathan Pflieger	DLA Piper LLP (US)	203 North LaSalle Street, Suite 1900		Chicago	IL	60601-1293		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	251	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	252	Philip A. Pendergraft	David J. Ball Esq.	Bracewell & Giuliani LLP	1251 Avenue of Americas, 49th Floor		New York	NY	10020		\$0.00				General Unsecured	GHP2, LLC	13-10071
03/11/2013	253	Jonathan E. Perlman, Esq. as court appointed Receiver of Creative Capital Consortium, LLC and related entities	c/o David C. Cimo, Esq.		100 SE 2nd Street, #4400		Miami	FL	33131		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	254	Katrina Zaoutis Petropoulos, Et Al. v. ACTIV FINANCIAL SYSTEMS, INC	Penson Financial Services, Inc., Et Al., Finra Arbitration - case number 11-03690	Hartley T. Bernstein, Esq.	Bernstein Cherney, LLP	767 3rd Ave Fl 30	New York	NY	10017-2092		\$90,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	255				120 EAST LIBERTY DRIVE		WHEATON	IL	60187		\$1,308.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	256	C. William Bill Yancey	c/o Mark X. Mullin and Kim Morzak	Haynes and Boone, LLP	2323 Victory Avenue, Suite 700		Dallas	TX	75219		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	257	Natalia Lesz	c/o W. Scott Greco	Greco & Greco, P.C.	1300 Old Chain Bridge Rd		McLean	VA	22101		\$82,500.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	258	Grace Financial Group, LLC	c/o Brian Villante	83 Jobs Lane			Southampton	NY	01968		\$900,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	259	Aleksander Lesz and Grazyna Lesz	c/o W. Scott Greco	Greco & Greco P.C.	1300 Old Chain Bridge Rd		McLean	VA	22101		\$130,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	260	Leonard Merriman IV			51 P St NW		Washington	DC	20001		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
03/11/2013	261	LMA SPC for and on Behalf of Map84 Segregated Portfolio	Attn Laura L. Torrado, Esq	c/o Knighthead Capital Management, LLC	1140 Avenue of the Americas, 12th Floor		New York	NY	10036		\$705,654.17				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	262	Jennifer Just	c/o David E. Avraham	Katten Muchin Rosenman LLP	525 W. Monroe Street, Suite 1900		Chicago	IL	60661-3693		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	263	Jennifer Bertematti			11003 West 7th St N		Wichita	KS	67212		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	264	GNA Societe Anonyme SPF	c/o W. Scott Greco	Greco & Greco, P.C.	1300 Old Chain Bridge Rd		McLean	VA	22101		\$37,500.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	265	George P. Simon			2299 NW 4th Ave		Boca Raton	FL	33431		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	266	Reid Friedman, Lead Plaintiff, in Friedman v. Penson Worldwide, Inc., et al.	Michael S. Etkin, Esq. and Ira M. Levee, Esq.				Lowenstein Sandler LLP		65 Livingston Avenue						General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	267	Sarah J. Yarmak v. Choicetrade, Et Al.	Including Penson Financial Services, Inc., Finra Arbitration - case number 11-02883	Jeffrey Yarmak	8 Haadmor Mibelz 10		Bnei Brak		51464	Israel	\$610,462.66				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	268	HOLLAND CONSULTING LLC			7138 Azalea Lane		Dallas	TX	75230		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	269	Matthew Hulsizer	c/o David E. Avraham	Katten Muchin Rosenman LLP	525 W. Monroe Street, Suite 1900		Chicago	IL	60661-3693		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	270	Knighthead Master Fund, LP	Attn Laura L. Torrado		c/o Knighthead Capital Management, LLC		New York	NY	10036		\$16,248,161.53				General Unsecured	Penson Worldwide, Inc.	13-10061

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
03/11/2013	271	Roger J. Engemoen, Jr.	Jason M. Hopkins	Locke Lord LLP	2200 Ross Avenue, Suite 2200		Dallas	TX	75201-6776		\$0.00				General Unsecured	Penson Execution Services, Inc.	13-10067
03/11/2013	272	Rudy De La Sierra	Attn Adam A. Lewis, Esq.	Morrison & Foerster LLP	425 Market Street		San Francisco	CA	94105		\$24,417.98				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	273	Boislas Y Mercados Espanoles Market Data, S.A.D.	Ramon Adarraga Morales	Plaza De La Lealtad, 1	c/o Pine River Capital Management L.P.					Madrid	28014			Spain	General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	274	Pine River Fixed Income Master Fund Ltd.	Attn Legal		601 Carlson Parkway, Suite 330		Minnetonka	MN	55305		\$404,250.00				Secured	Penson Worldwide, Inc.	13-10061
03/11/2013	275	Reliant Energy Retail Services, LLC			PO Box 1046		Houston	TX	77251-9995		\$3,520.27				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	276	Daniel P. Son			7135 Azalea Lane		Dallas	TX	75230		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	277	Pine River Master Fund Ltd.	Attn Legal		c/o Pine River Capital Management L.P.		601 Carlson Parkway, Suite 330			Minnetonka	MN	55305			General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	278	Kevin W. McAleer	c/o John H. Chase	Jones Day	2727 North Harwood Street		Dallas	TX	75201-1515		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	279	Kevin W. McAleer	c/o John H. Chase	Jones Day	2727 North Harwood Street		Dallas	TX	75201-1515		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	280	FAEGRE BAKER DANIELS	C/O ELIZABETH FLAAGAN	3200 WELLS FARGO CENTER	1700 LINCOLN STREET		DENVER	CO	80203-4532		\$23,842.05				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	281	Interactive Data Real Time Services, Inc. Interactive Data Pricing and Reference Data LLC	c/o Douglas B. Rosner	Goulston & Storrs, P.C.	400 Atlantic Avenue		Boston	MA	02110-3333		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	282		c/o Douglas B. Rosner	Goulston & Storrs, P.C.	400 Atlantic Ave		Boston	MA	02110-3333		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	283	BDO USA, LLP	Attn Laurence W. Goldberg		4135 Mendenhall Oaks Pkwy Ste 140		High Point	NC	27285-8051		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	284	Interactive Data Real Time Services, Inc.	c/o Douglas B. Rosner	Goulston & Storrs, P.C.	400 Atlantic Avenue		Boston	MA	02110-3333		\$137,660.96				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	285	BDO USA, LLP	Attn Laurence W. Goldberg		4135 Mendenhall Oaks Pkwy Ste 140		High Point	NC	27285-8051		\$3,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	286	Chicago Mercantile Exchange (CME Group Inc.)	Attn Jane Moon (Legal)	CME Group Inc	20 S Wacker Drive		Chicago	IL	60606		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/11/2013	287	Reid Friedman, Lead Plaintiff, in Friedman v. Penson Worldwide, Inc., et al.	Michael S. Etkin, Esq. and Ira M. Levee, Esq.	Lowenstein Sandler LLP	65 Livingston Avenue		Roseland	NJ	07068		W/D				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	288	Chicago Mercantile Exchange (CME Group Inc.)	Attn Jane Moon (Legal)	CME Group Inc	20 S Wacker Drive		Chicago	IL	60606		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
03/11/2013	289	Board of Trade of the City of Chicago, Inc. (CBOT)	Attn Jane Moon (Legal)	c/o CME Group Inc	20 S Wacker Dr		Chicago	IL	60606		\$100,000.00				General Unsecured	Penson Futures	13-10069
03/11/2013	290	Rogers Wealth Group, Inc.			1330 Summit Ave		Fort Worth	TX	76102		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
03/11/2013	291	CheckFree Solutions Limited (formerly Fiserv Accurate)	Michael Gordan	Fiserv	113 Summit Ln		Bala Cynwyd	PA	19004-2918		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	292	Owest Communications Corporation, LLC	CenturyLink Bankruptcy		700 W. Mineral Ave., AZ Room 137-11 95th Street		Littleton	CO	80120		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	293	Urszula Mika			137-11 95th Street		Ozone Park	NY	11417		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	294	Rockwell Automation, Inc.	Attn Jan Robertson		1201 South Second Street		Milwaukee	WI	53204		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/12/2013	295	SALESFORCE	PAUL GUERNEY		ONE MARKET SUITE 300		SAN FRANCISCO	CA	94105		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/12/2013	296	Mayer Brown LLP	Attn Nicholas Listermann		230 S. LaSalle St., 9th Flr		Chicago	IL	60604		\$62,466.70				General Unsecured	Penson Futures	13-10069
03/12/2013	297	Mayer Brown LLP	Attn Nicholas Listermann		230 S. LaSalle St., 9th Flr		Chicago	IL	60604		\$38,75				General Unsecured	Penson Financial Services, Inc.	13-10063
03/12/2013	298	EQUINIX, INC -#774252			4252 SOLUTIONS CENTER		CHICAGO	IL	60677-4002		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/12/2013	299	Concur			1840 N.E. Union Hill Road		Redmond	WA	98052		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/12/2013	300	Urszula Mika			137-11 95th Street		Ozone Park	NY	11417		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/12/2013	301	RONALD H FILLER & ASSOC, LLC			11 ROCKHALL COURT		HAMBURG	NJ	07419		\$21,600.00				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	302	Bloomberg LP			731 Lexington Avenue		New York	NY	10022		\$10,038.52				General Unsecured	Penson Worldwide, Inc.	13-10061
03/11/2013	303	Bloomberg LP			731 Lexington Avenue		New York	NY	10022		\$6,439.29				General Unsecured	Penson Financial Services, Inc.	13-10063
03/13/2013	304	William C. and Deborah J. Nester			7567 Fitzpatrick Drive		Liverpool	NY	13088		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/13/2013	305	Regine A. Julien			PO Box 223712		Carmel	CA	93922		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
03/13/2013	306	Pennock Alan H.			8408 Greenbush		Houston	TX	77025		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/15/2013	307	Michael Barden			96 Rosemont Ave		Bristol	CT	06010		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/15/2013	308	Orange County Treasurer-Tax Collector	Attn Bankruptcy Unit		P.O. Box 4515		Santa Ana	CA	92702-4515		EXPUNGED				Priority	Nexa Technologies, Inc.	13-10068
03/18/2013	309	Stephen Vito Manzo			3190 Fox Drive		Chalfont	PA	18914		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/18/2013	310	Xpedite Systems, LLC			100 Tornee Drive		Tinton Falls	NJ	07712		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/18/2013	311	OUTSOURCING SOLUTIONS GROUP LLC			PO BOX 3003		CONROE	TX	77305		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/18/2013	312	Markets Media			40 Exchange Place, 17th Floor		New York	NY	10005		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/15/2013	313	Hartford Fire Insurance Company			Bankruptcy Unit, T-1-55	Hartford Plaza	Hartford	CT	06115		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/21/2013	314	Nicole Williams			1921 S. Clark, Unit B		Chicago	IL	60616		W/D				Priority	Penson Financial Services, Inc.	13-10063
03/21/2013	314	Nicole Williams			1921 S. Clark, Unit B		Chicago	IL	60616		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
03/22/2013	315	Marion A. Steinbronn			6025 Massey Road		Spotsylvania	VA	22551		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/22/2013	316	Regions Equipment Finance Corporation, Regions Equipment Finance Ltd.	Robert P. Franke, Andrew G. Edson		Strasburger & Price, LLP 4400		Dallas	TX	75202		EXPUNGED	A	03/11/2013		Secured	Penson Worldwide, Inc.	13-10061
03/25/2013	317	LOGIX COMMUNICATION (V10418)			PO BOX 3608		HOUSTON	TX	77283-3608		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
03/27/2013	318	ULLINK			11 W 42ND ST FL 31		NEW YORK	NY	10036-8002		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/29/2013	319	EDM Records Management Limited			EDM House Village Way		Bliston	WV	26030	United Kingdom	EXPUNGED				General Unsecured	Penson Holdings, Inc.	13-10065
04/04/2013	320	Interactive Data Pricing and Reference Data LLC	c/o Douglas B. Rosner	Goulston & Storrs, P.C.	400 Atlantic Ave		Boston	MA	02110-3333		\$116,776.11	A	03/11/2013		General Unsecured	Penson Worldwide, Inc.	13-10061
04/05/2013	321	Commonwealth of Virginia Department of Taxation			PO Box 2156		Richmond	VA	23218-2156		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
04/05/2013	321	Commonwealth of Virginia Department of Taxation			PO Box 2156		Richmond	VA	23218-2156		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
04/05/2013	322	Department of the Treasury - Internal Revenue Service	Internal Revenue Service		PO Box 7346		Philadelphia	PA	19101-7346		EXPUNGED	A	01/29/2013		General Unsecured	Penson Worldwide, Inc.	13-10061
04/05/2013	322	Department of the Treasury - Internal Revenue Service	Internal Revenue Service		PO Box 7346		Philadelphia	PA	19101-7346		EXPUNGED	A	01/29/2013		Priority	Penson Worldwide, Inc.	13-10061
04/08/2013	323	Stefan Zadrozny			292 Rue Oakridge		Baie Dufre	Quebec	H9X 2N6	Canada	EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
04/16/2013	324	The Chicago Stock Exchange, Inc.	Attn Emily Kasparov, Esq.		One Financial Place Suite 800		Chicago	IL	60605		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
04/18/2013	325	WebFillings, LLC			2625 N. Loop Drive, Suite 2105		Ames	IA	50010		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
04/18/2013	326	Collin County Tax Assessor/Collector	c/o Gay McCall Isaacks et al		777 East 15th Street		Plano	TX	75074		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
04/22/2013	327	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
04/22/2013	327	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
04/22/2013	328	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		\$35,557.00				Priority	GHP1, Inc.	13-10070
04/22/2013	328	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		\$5,126.00				General Unsecured	GHP1, Inc.	13-10070
04/29/2013	329	CheckFree Solutions Limited (formerly Fiserv Accurate)	Michael Gordan	Fiserv	113 Summit Ln		Bala Cynwyd	PA	19004-2918		\$41,340.66	A		03/11/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
05/06/2013	330	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		\$9,034.04				General Unsecured	GHP1, Inc.	13-10070
05/06/2013	330	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		\$137,170.71				Priority	GHP1, Inc.	13-10070
05/06/2013	331	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
05/06/2013	331	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		W/D				Priority	Penson Financial Services, Inc.	13-10063
05/06/2013	332	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		EXPUNGED				Priority	Penson Financial Futures, Inc.	13-10064
05/06/2013	332	Wisconsin Department of Revenue	Special Procedures Unit	PO Box 8901			Madison	WI	53708-8901		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
05/07/2013	333	Charles M Garry		7709 Countryside Rd			Lexington	MI	48450		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/03/2013	334	John Holcomb, Nikki Holcomb, C Lynn White and Mark A. Mitchell	c/o Kenneth P. Andresen, PLLC	2319 Crescent Avenue			Charlotte	NC	28207-1501		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
05/13/2013	335	State of Iowa	Attn Bankruptcy Unit	PO Box 10471			Des Moines	IA	50306		W/D				Priority	Penson Financial Services, Inc.	13-10063
05/15/2013	336	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		\$55.72				General Unsecured	Penson Financial Services, Inc.	13-10063
05/15/2013	336	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		\$1.47				Priority	Penson Financial Services, Inc.	13-10063
05/14/2013	337	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
05/14/2013	338	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	SAI Holdings, Inc.	13-10062
05/14/2013	339	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
05/14/2013	340	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
05/14/2013	341	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Holdings, Inc.	13-10065
05/14/2013	342	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Penson Execution Services, Inc.	13-10067
05/14/2013	343	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	Nexa Technologies, Inc.	13-10068
05/14/2013	344	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	GHP1, Inc.	13-10070
05/14/2013	345	Arkansas Auditor of State Unclaimed Property Division		1401 West Capitol Avenue, Suite 325			Little Rock	AR	72201		EXPUNGED				General Unsecured	GHP2, LLC	13-10071
05/20/2013	346	Dallas County	Elizabeth Weller	Linebarger Goggan Blair & Sampson, LLP	2323 Bryan Street, Ste 1600		Dallas	TX	75201		\$57,178.32	A		01/14/2013	Secured	Penson Financial Services, Inc.	13-10063
05/20/2013	347	Mark Presby		16 Apple Blossom Lane			Manalapan	NJ	07726		\$5,455.00				Priority	Penson Worldwide, Inc.	13-10061
05/16/2013	348	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED	A		02/12/2013	Priority	Penson Financial Futures, Inc.	13-10064
05/16/2013	348	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED	A		02/12/2013	General Unsecured	Penson Financial Futures, Inc.	13-10064
05/17/2013	349	Rackspace		5000 Walzem			San Antonio	TX	78218		\$2,642.10				General Unsecured	Penson Financial Services, Inc.	13-10063
05/23/2013	350	Comptroller of Maryland		301 West Preston Street, Room 409			Baltimore	MD	21201-2383		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
05/23/2013	350	Comptroller of Maryland		301 West Preston Street, Room 409			Baltimore	MD	21201-2383		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
05/29/2013	351	Nicole Williams		1921 S. Clark, Unit B			Chicago	IL	60616		\$3,658.20				General Unsecured	Nexa Technologies, Inc.	13-10068
05/29/2013	351	Nicole Williams		1921 S. Clark, Unit B			Chicago	IL	60616		\$11,725.00				Priority	Nexa Technologies, Inc.	13-10068
05/28/2013	352	Oregon Department of Revenue		Revenue Building	955 Center Street NE		Salem	OR	97301-2555		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
05/31/2013	353	Interactive Data Pricing and Reference Data LLC	c/o Douglas B. Rosner	Goulston & Storrs, P.C.	400 Atlantic Ave		Boston	MA	02110-3333		\$20,362.50				General Unsecured	Penson Financial Services, Inc.	13-10063
05/31/2013	354	Interactive Data Pricing and Reference Data LLC	c/o Douglas B. Rosner	Goulston & Storrs, P.C.	400 Atlantic Ave		Boston	MA	02110-3333		\$20,365.50				General Unsecured	Penson Worldwide, Inc.	13-10061
06/03/2013	355	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Financial Futures, Inc.	13-10064
06/03/2013	356	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	GHP1, Inc.	13-10070
06/03/2013	357	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Nexa Technologies, Inc.	13-10068
06/03/2013	358	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Holdings, Inc.	13-10065
05/29/2013	359	Mr. Richard Ness	Spiegel Sohmer Inc.	1255, rue Peel	Bureau 1000		Montreal	QC	H3B 2T9	Canada	EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
06/06/2013	360	Gables Corporate Accommodations	Attn Natalie Diaz	3399 Peachtree Rd. Ste 600			Atlanta	GA	30326		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
06/07/2013	361	Employment Development Department	Bankruptcy Group MIC 92E	PO Box 826880			Sacramento	CA	94280-0001		\$407.41				General Unsecured	Penson Financial Services, Inc.	13-10063
06/07/2013	361	Employment Development Department	Bankruptcy Group MIC 92E	PO Box 826880			Sacramento	CA	94280-0001		\$1,062.42				Priority	Penson Financial Services, Inc.	13-10063
06/10/2013	362	New York State Department of Taxation and Finance	Bankruptcy Section	PO Box 5300			Albany	NY	12205-0300		EXPUNGED	A		05/13/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
06/17/2013	363	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Financial Services, Inc.	13-10063
06/17/2013	364	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	SAI Holdings, Inc.	13-10062
06/17/2013	365	Texas Comptroller of Public Accounts on behalf of the State of Texas, Texas Municipalities, Texas Counties, et al.	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		\$224,982.84				Priority	Penson Financial Services, Inc.	13-10063
06/17/2013	366	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D				Priority	Penson Worldwide, Inc.	13-10061
06/18/2013	367	Cindy Farrell		308 Van Zandt CR 2428			Canton	TX	75103		\$421.00				General Unsecured	Penson Worldwide, Inc.	13-10061
06/18/2013	368	Steven Farrell		308 Van Zandt CR 2428			Canton	TX	75103		\$809.75				General Unsecured	Penson Worldwide, Inc.	13-10061
06/18/2013	369	Steve Farrell		308 Van Zandt CR 2428			Canton	TX	75103		\$180.00				General Unsecured	Penson Worldwide, Inc.	13-10061
06/19/2013	370	Adamba Imports International, Inc.		585 Meserole St			Brooklyn	NY	11237		\$175,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
06/24/2013	371	Connecticut Department of Revenue Services	C&E Division, Bankruptcy Section	25 Sigourney Street			Hartford	CT	06106-5032		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
06/24/2013	372	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	GHP1, Inc.	13-10070
06/24/2013	373	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	Penson Financial Futures, Inc.	13-10064
06/24/2013	374	The Commissioner of Revenue of the State of Tennessee	c/o Attorney General	Wilbur E. Hooks, Director	Tax Enforcement Division Tennessee Dept of Revenue	P.O. BOX 20207	Nashville	TN	37202-0207		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
06/24/2013	375	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	Penson Holdings, Inc.	13-10065
06/24/2013	376	Texas Comptroller of Public Accounts	Office of the Attorney General	Bankruptcy - Collections Division	PO Box 12548		Austin	TX	78711-2548		W/D	A			Priority	Nexa Technologies, Inc.	13-10068
06/25/2013	377	TREASURER STATE OF CONNECTICUT	ATTORNEY LIZ AUSTIN	PULLMAN & COMLEY, LLC	850 MAIN STREET, 8TH FLOOR		BRIDGEPORT	CT	06601-7006		EXPUNGED				General Unsecured	Penson Financial Futures, Inc.	13-10064
06/25/2013	378	TREASURER STATE OF CONNECTICUT	ATTORNEY LIZ AUSTIN	PULLMAN & COMLEY, LLC	850 MAIN STREET, 8TH FLOOR		BRIDGEPORT	CT	06601-7006		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
06/26/2013	379	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				General Unsecured	Nexa Technologies, Inc.	13-10068
06/26/2013	379	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				Priority	Nexa Technologies, Inc.	13-10068
06/26/2013	380	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				Priority	Nexa Technologies, Inc.	13-10068
06/26/2013	380	The Ohio Department of Taxation	Bankruptcy Division	PO Box 530			Columbus	OH	43216		W/D				General Unsecured	Penson Financial Services, Inc.	13-10063
06/27/2013	381	Jennifer Stringer		4412 Dade Drive			Flower Mound	TX	75028		\$4,010.03				General Unsecured	Penson Financial Services, Inc.	13-10063
07/01/2013	382	Travis County	c/o Kay D. Brock	P O Box 1748			Austin	TX	78787		EXPUNGED				Secured	Penson Financial Services, Inc.	13-10063
07/02/2013	383	Daniel Tutt		3334 Fish Ave			Bronx	NY	10469		EXPUNGED				Priority	Penson Financial Services, Inc.	13-10063
07/02/2013	383	Daniel Tutt		3334 Fish Ave			Bronx	NY	10469		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
07/08/2013	384	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478			MONROE BLDG 5TH FL	RICHMOND	VA	23218-2478		EXPUNGED			General Unsecured	Penson Worldwide, Inc.	13-10061
07/08/2013	385	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478			MONROE BLDG 5TH FL	RICHMOND	VA	23218-2478		EXPUNGED			General Unsecured	SAI Holdings, Inc.	13-10062
07/08/2013	386	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478			MONROE BLDG 5TH FL	RICHMOND	VA	23218-2478		EXPUNGED			General Unsecured	Penson Financial Services, Inc.	13-10063
07/08/2013	387	TREASURER OF VIRGINIA	DIVISION OF UNCLAIMED PROPERTY	PO BOX 2478			MONROE BLDG 5TH FL	RICHMOND	VA	23218-2478		EXPUNGED			General Unsecured	Nexa Technologies, Inc.	13-10068
07/10/2013	388	Illinois Department of Revenue		PO Box 64338			Chicago	IL	60664-0338		\$20,863.40				General Unsecured	Penson Worldwide, Inc.	13-10061
07/10/2013	388	Illinois Department of Revenue		PO Box 64338			Chicago	IL	60664-0338		\$111,393.60				Priority	Penson Worldwide, Inc.	13-10061
07/10/2013	389	Illinois Department of Revenue		PO Box 64338			Chicago	IL	60664-0338		W/D				Priority	Penson Futures	13-10069
07/10/2013	389	Illinois Department of Revenue		PO Box 64338			Chicago	IL	60664-0338		W/D				General Unsecured	Penson Futures	13-10069
07/15/2013	390	TMC Bonds, LLC	Attn Stuart Henderson	825 Third Avenue, 14th Floor			New York	NY	10022		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
07/15/2013	390	TMC Bonds, LLC	Attn Stuart Henderson	825 Third Avenue, 14th Floor			New York	NY	10022		EXPUNGED				Admin Priority	Penson Worldwide, Inc.	13-10061
07/18/2013	391	Shansong Exhibits, Inc.		4002 W. Miller Road, Suite 100			Garland	TX	75041-6160		W/D				Admin Priority	Penson Worldwide, Inc.	13-10061
07/16/2013	392	New York State Department of Labor, Unemployment Insurance Division	Governor W. Averell Harriman State Office Building Campus	Building 12, Room 256			Albany	NY	12240		EXPUNGED				Priority	Nexa Technologies, Inc.	13-10068
07/23/2013	393	POD ATS, INC.	MARK G. LEDWIN, ESQ.	WILSON ELSER, LLP		1133 WESTCHESTER AVENUE	WHITE PLAINS	NY	10604		\$43,453.99	A	02/13/2013		General Unsecured	Penson Financial Services, Inc.	13-10063
07/23/2013	394	Xin Zhan		1-11-1 No 73 Qishanpinge			Yangheer Cun Jiangbei Qu	Chongqing		400020	China	EXPUNGED			General Unsecured	Penson Worldwide, Inc.	13-10061
07/24/2013	395	Golenbock Eiseman Assor Bell & Peskoe LLP		Ibo Slawomir Wisniewski		437 Madison Avenue, 35th Floor	New York	NY	10022		\$725,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
07/29/2013	396	Department of the Treasury - Internal Revenue Service	Internal Revenue Service		PO Box 7346		Philadelphia	PA	19101-7346		\$0.00	A	02/21/2013		Priority	Penson Financial Services, Inc.	13-10063
07/30/2013	397	Bank of America Merrill Lynch			540 W. Madison Street 28th Floor		Chicago	IL	60661		\$45,000.00	A	02/20/2013		General Unsecured	Penson Financial Services, Inc.	13-10063
08/01/2013	398	Betty Foo			15 Fillmore Pl.		Staten Island	NY	10305		\$5,040.00				Priority	Penson Worldwide, Inc.	13-10061
08/06/2013	399	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		W/D				Priority	Penson Financial Services, Inc.	13-10063
08/06/2013	400	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		EXPUNGED				Priority	Penson Worldwide, Inc.	13-10061
08/06/2013	401	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		EXPUNGED				Priority	Penson Futures	13-10069
08/06/2013	402	Andrzej Abraszewski			Adriatycka 3		Warsaw	PL	02-761	Poland	\$90,000.00				General Unsecured	Penson Financial Services, Inc.	13-10063
08/09/2013	403	CALIFORNIA STATE CONTROLLERS OFFICE	JOHN DICKERSON, STAFF COUNSEL		OFFICE OF THE STATE CONTROLLER	300 CAPITOL MALL, SUITE 1850	SACRAMENTO	CA	95814		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
08/09/2013	404	Orange County Treasurer-Tax Collector	Attn Bankruptcy Unit		P.O. Box 4515		Santa Ana	CA	92702-4515		\$4,830.92	A	03/15/2013		Priority	Nexa Technologies, Inc.	13-10068
08/22/2013	405	BOX Market LLC (formerly Boston Option Exchange Group, LLC)	Attn Karen Stephanie		BOX Market LLC	135 S. LaSalle Street, Suite 4250	Chicago	IL	60603		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
08/26/2013	406	The Missouri Department of Revenue			PO Box 475		Jefferson City	MO	65105		EXPUNGED				Admin Priority	Penson Financial Services, Inc.	13-10063
09/04/2013	407	Janet L. Olson Trust 1/1/07			651 Sunrise Drive		Hendersonville	NC	28791		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
09/11/2013	408	ADVICORP PLC	Marco M. Elser		Via Parigi 11		Rome	IT	00185	Italy	EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
09/13/2013	409	SEAN MALLOY	SEAN I. MALLOY		193 BARLOW RD		FAIRFIELD	CT	06824		\$20,893.45	A	03/11/2013		General Unsecured	Penson Financial Services, Inc.	13-10063
09/13/2013	409	SEAN MALLOY	SEAN I. MALLOY		193 BARLOW RD		FAIRFIELD	CT	06824		\$0.00	A	03/11/2013		Priority	Penson Financial Services, Inc.	13-10063
09/16/2013	410	Janet L. Olson Trust 1/1/07			651 Sunrise Drive		Hendersonville	NC	28791		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
09/24/2013	411	Radianz Americas Inc.	Attn Neil Hobbs, Esq		c/o BT Americas, Inc.	620 Eighth Avenue	New York	NY	10018		W/D				Admin Priority	Nexa Technologies, Inc.	13-10068
09/24/2013	412	Radianz Americas Inc.	Attn Neil Hobbs, Esq		c/o BT Americas, Inc.	620 Eighth Avenue	New York	NY	10018		W/D				Admin Priority	Penson Worldwide, Inc.	13-10061
09/25/2013	413	Microsoft Corporation and Microsoft Licensing, GP, a subsidiary of Microsoft Corporation	Joseph E. Shickich, Jr.		c/o Riddell Williams P.S.	1001 Fourth Avenue, Suite 4500	Seattle	WA	98154		W/D				Admin Priority	Penson Worldwide, Inc.	13-10061
10/21/2013	414	Collin County Tax Assessor/Collector	c/o Gay McCala Isaacs et al		777 East 15th Street		Plano	TX	75074		\$1,865.02	A	04/18/2013		Secured	Penson Worldwide, Inc.	13-10061
10/29/2013	415	Karl Fruecht			831 S. County Dr.		Barrington	IL	60010		EXPUNGED				Priority	Penson Futures	13-10069
10/24/2013	416	New York State Department of Taxation and Finance	Bankruptcy Section		PO Box 5300		Albany	NY	12205-0300		\$12,988.00	A	04/17/2013		General Unsecured	Penson Worldwide, Inc.	13-10061
10/24/2013	416	New York State Department of Taxation and Finance	Bankruptcy Section		PO Box 5300		Albany	NY	12205-0300		\$79,449.00	A	04/17/2013		Priority	Penson Worldwide, Inc.	13-10061
10/29/2013	417	State of New Jersey Division of Taxation	Bankruptcy Unit		PO Box 245		Trenton	NJ	08695-0245		EXPUNGED	A	08/06/2013		Priority	Penson Futures	13-10069
11/04/2013	418	Department of the Treasury - Internal Revenue Service	Internal Revenue Service		PO Box 7346		Philadelphia	PA	19101-7346		EXPUNGED	A	01/29/2013		General Unsecured	Penson Worldwide, Inc.	13-10061
11/04/2013	419	R. Bart McCain			6405 Bandera Ave., Unit 2C		Dallas	TX	75225		\$11,725.00	A	03/08/2013		Priority	Nexa Technologies, Inc.	13-10068

Date Filed	Claim No.	Name	Creditor Notice Name	Address 1	Address 2	Address 3	City	State	Zip	Country	Claim Amount	A	R	A/R Date	Nature	Debtor Name	Debtor Number
11/04/2013	419	R. Bart McCain		6405 Bandera Ave., Unit 2C			Dallas	TX	75225		\$125,775.00	A		03/08/2013	General Unsecured	Nexa Technologies, Inc.	13-10068
11/26/2013	420	Travis County	c/o Kay D. Brock	P.O. Box 1748			Austin	TX	78767		\$824.80	A		07/01/2013	Secured	Penson Financial Services, Inc.	13-10063
12/05/2013	421	Regions Equipment Finance Corporation, Regions Equipment Finance Ltd.	Robert P. Franke, Andrew G. Edson	Strasburger & Price, LLP	901 Main Street, Suite 4400		Dallas	TX	75202		\$1,271,155.73	A		03/22/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
01/09/2014	422	Jonathan E. Perlman, Esq., as court appointed Receiver of Creative Capital Concepts, L.L.C. v. and related entities	Thinkorswim, Inc & Penson Financial Services, Inc. Finra Arbitration	c/o David C. Cimo, Esq	100 SE 2nd Street, #4400		Miami	FL	33131		W/D		A	03/11/2013	General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	423	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	424	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	425	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	426	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	427	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	428	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	429	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	430	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	431	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	432	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	433	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	434	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	435	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	436	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	437	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	438	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	439	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	440	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	441	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	442	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
01/30/2014	443	Karl and Laura Braasch		7003 Lakewood Blvd			Dallas	TX	75214		EXPUNGED				General Unsecured	Penson Financial Services, Inc.	13-10063
02/14/2014	444	Hartford Fire Insurance Company	Hank D. Hoffman, Vice President	Bankruptcy Unit, T-1-55	Hartford Plaza		Hartford	CT	06115		EXPUNGED	A			Admin Priority	Penson Worldwide, Inc.	13-10061
02/14/2014	445	Hartford Fire Insurance Company	Hank D. Hoffman, Vice President	Bankruptcy Unit, T-1-55	Hartford Plaza		Hartford	CT	06115		EXPUNGED	A		03/15/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
02/28/2014	446	Sinkwan Cheng	Center for the Humanities, Wesleyan University	95 Pearl Street			Middletown	CT	06459		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
02/28/2014	447	Sinkwan Cheng	Center for the Humanities, Wesleyan University	95 Pearl Street			Middletown	CT	06459		EXPUNGED				General Unsecured	Penson Worldwide, Inc.	13-10061
03/19/2014	448	William Carroll		220 Tracy Circle			Daphne	AL	36526		EXPUNGED				Secured	Penson Worldwide, Inc.	13-10061
04/08/2014	449	State of New Jersey Division of Taxation	Bankruptcy Unit	PO Box 245			Trenton	NJ	08695-0245		EXPUNGED	A		08/06/2013	Priority	Penson Worldwide, Inc.	13-10061
04/15/2014	450	Department of the Treasury - Internal Revenue Service	Internal Revenue Service	PO Box 7346			Philadelphia	PA	19101-7346		\$0.00	A		01/29/2013	General Unsecured	Penson Worldwide, Inc.	13-10061
06/17/2014	451	State of New Jersey Division of Taxation		PO Box 245			Trenton	NJ	08695-0245		\$3,178.06	A		04/08/2014	Priority	Penson Worldwide, Inc.	13-10061
11/07/2014	452	Sentinel Liquidation Trust	Jenner & Block LLP		353 N. Clark St.		Chicago	IL	60654-3456		W/D		A	02/07/2013	General Unsecured	Penson Futures	13-10069
11/07/2014	453	Sentinel Liquidation Trust	Jenner & Block LLP		353 N. Clark St.		Chicago	IL	60654-3456		W/D		A	02/07/2013	General Unsecured	Penson Financial Futures, Inc.	13-10064