

UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE

In re:	X : : : : : : : X	Chapter 11
PENSON WORLDWIDE, INC., <u>et al.</u> ,		Case No. 13-10061 (PJW)
Debtors. <sup>1</sup>		Jointly Administered

**NOTICE OF AMENDMENT TO SCHEDULES OF ASSETS  
AND LIABILITIES OF NEXA TECHNOLOGIES, INC.**

TO: (I) THE U.S. TRUSTEE; (II) COUNSEL TO THE COMMITTEE; (III) COUNSEL FOR THE SECOND LIEN NOTEHOLDERS COMMITTEE; (IV) COUNSEL FOR THE CONVERTIBLE NOTEHOLDERS COMMITTEE; (V) PARTIES THAT HAVE FILED A NOTICE OF APPEARANCE IN THESE CHAPTER 11 CASES PURSUANT TO BANKRUPTCY RULE 2002; AND (VI) THE PARTIES LISTED ON EXHIBIT 1 ANNEXED HERETO

PLEASE TAKE NOTICE that pursuant to Rule 1009(a) of the Federal Rules of Bankruptcy Procedure (the “Bankruptcy Rules”) and Rule 1009-2 of the Local Rules of Bankruptcy Practice and Procedure of the United States Bankruptcy Court for the District of Delaware (the “Local Rules”), Nexa Technologies, Inc. (“Nexa”) hereby amends its Schedules of Assets and Liability (the “Nexa Schedules”) as set forth herein.

PLEASE TAKE FURTHER NOTICE that annexed hereto as Exhibit 1 is an amendment (the “Schedule F Amendment”) to Schedule F of the Nexa Schedules.

PLEASE TAKE FURTHER NOTICE that annexed hereto as Exhibit 2 is the *Declaration Concerning Amendment To The Schedules Of Assets And Liabilities Of Nexa Technologies, Inc.*

<sup>1</sup> The Debtors in these chapter 11 cases, along with the last four digits of each Debtor’s federal tax identification number, are: Penson Worldwide, Inc. (6356); SAI Holdings, Inc. (3657); Penson Financial Services, Inc. (3990); Penson Financial Futures, Inc. (6207); Penson Holdings, Inc. (4821); Penson Execution Services, Inc. (9338); Nexa Technologies, Inc. (7424); GHP1, Inc. (1377); GHP2, LLC (1374), Penson Futures (6207). The Debtors’ Mailing Address is 800 Klein Road, Suite 200, Plano, Texas 75074.



**RESERVATION OF RIGHTS**

The Debtors reserve the right to dispute, or to assert offsets or defenses against, any filed claim or any claim listed or reflected in the Schedule F Amendment as to nature, amount, liability, classification or otherwise. Nothing contained in this Notice shall preclude the Debtors from objecting to any claim, whether scheduled or filed, on any and all grounds.

Dated: June 12, 2013  
Wilmington, Delaware

YOUNG CONAWAY STARGATT & TAYLOR, LLP

/s/ Ryan M. Bartley

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- and -

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*Counsel to the Debtors and Debtors in Possession*

**EXHIBIT 1**

**Schedule F Amendment**

Nexa Technologies, Inc.

Case No. 13-10068 (PJW)

**AMENDED**  
**SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS**  
**EXHIBIT F 2**

Unsecured Trade Payables

<u>Vendor Name</u>	<u>Address</u>	<u>City/State/Zip</u>	<u>C</u>	<u>U</u>	<u>D</u>	<u>Claim Amount as Originally Scheduled</u>	<u>Amended Scheduled F Amount</u>
A-1 Locksmith	2001 Midway Rd, #110	Carrollton, Tx, 75006	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$380.00	\$0.00
Advanced Personnel Systems I	4167 Avenidal De La Plata, Suite 126	Oceanside, Ca, 92056	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$100.00	\$0.00
American Registry	3635 Concorde Pkwy Ste 200	Chantilly, Va, 20151	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$100.00	\$0.00
AnswerConnect	PO Box 80040	Portland, Or, 97280-1040	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$230.00	\$0.00
AT&T Mobility	PO Box 6463	Carol Stream, Il, 60197-6463	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$1,299.00	\$0.00
Bats Exchange, Inc.	8050 Marshall Ste.120, Attn: Accounts Receivable	Lenexa, Ks, 66214-1585	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$400.00	\$0.00
Beneficial Maintenance Service	Pmb413, 26741 Portola Parkway, Ste. 1E	Foothill Ranch, Ca, 92610-1743	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$1,230.00	\$0.00
Bluetie	1050 Pittsford Victor Road	Pittsford, Ny, 14534	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$418.00	\$0.00
Bourse De Montreal Inc	Tour Del La Bourse, Cp 61, 800	Square Victoria, Montreal, Quebec	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$500.00	\$0.00
Canvas Systems, LLC	PO Box 116922	H4Z 1A9	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$1,021.00	\$0.00
Comtex News Network	Lockbox 510259, P O Box 7777	Atlanta, Ga, 30368	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$16,134.00	\$0.00
Cocom, Inc.	PO Box 182819	Philadelphia, Pa, 19175	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$374.00	\$241.00
Culligan Of Santa Ana	PO Box 2903	Columbus, Oh, 43218-2819	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$107.00	\$0.00
David Kirkpatrick	8455 Hunnicut Road	Wichita, Ks, 67201-2903	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$316.00	\$0.00
Edgar Online	11200 Rockville Pike, Suite 310	Dallas, Texas, 75228	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$14,729.00	\$0.00
Fidessa Corporation	17 State Street, 42nd Floor	Rockville, Md, 20852	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$2,706.00	\$0.00
Global Crossing	PO Box 741276	New York, Ny 10004	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$1,987.00	\$0.00
ISE Stock Exchange LLC	60 Broad St #26	Cincinnati, Oh, 45274-1276	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$50.00	\$0.00
Microsoft Licensing, GP	C/O Bank Of America LB #842467, 1950 N.	New York, Ny, 10004	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$186,576.00	\$0.00
NASDAQ Stock Market Inc.	Stemmons Fwy, Ste 5010	Dallas, Tx, 75207	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$41,689.00	\$0.00
Newsware, Inc.	Lockbox 10200, PO Box 8500	Philadelphia, Pa, 19178-0200	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$5,955.00	\$0.00
NYSE EuroNext	1122 Coney Island Avenue, Suite 203	Brooklyn, Ny, 11230	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$18,820.00	\$0.00
NYSE Market Inc.	Beursplein 5 1012 JW Amsterdam, The Netherlands	Chamber of Commerce	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$300.00	\$0.00
Options Price Reporting	PO Box 223695	Amsterdam 34137761	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$2,500.00	\$0.00
Southwest Office Systems	Authority, PO Box 95718	Pittsburgh, Pa, 15251-3695	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$8,921.00	\$0.00
Starpoint Solutions	Accounting Department, PO Box 612248	Chicago, Il, 60694 0001	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$6,840.00	\$0.00
Time Warner Cable	185 Express Street Suite 400	Dallas, Tx, 75261-2248	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$406.00	\$0.00
TSX Inc	PO Box 60074	Plainview, Ny, 11803	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$2,415.00	\$0.00
Union Square Ballroom	C/O T56297C, PO Box 56297 Stn A	City Of Industry, Ca, 91716-0074	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	\$7,185.00	\$0.00
	Tracie Sime, 27 Union Square West	Toronto, Ontario, M5W4L1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
		New York, Ny, 10003	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>		
<b>Total:</b>						<b>\$323,688.00</b>	<b>\$241.00</b>

**EXHIBIT 2**

**Declaration**

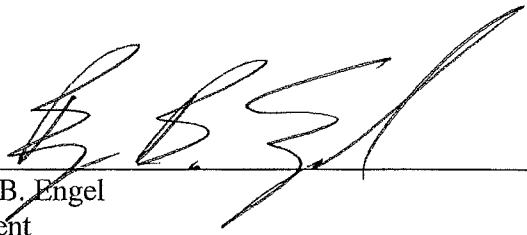
UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE

In re:	x	Chapter 11
PENSON WORLDWIDE, INC., <u>et al.</u> ,	:	Case No. 13-10061 (PJW)
Debtors. <sup>1</sup>	:	Jointly Administered
	x	

**DECLARATION CONCERNING AMENDMENT TO THE SCHEDULES  
OF ASSETS AND LIABILITIES OF NEXA TECHNOLOGIES, INC.**

I, Bryce B. Engel, President of Nexa Technologies, Inc., declare under penalty of perjury that I have read the foregoing amendment to Schedule F to the Schedules of Assets and Liabilities of Nexa Technologies, Inc. consisting of 1 sheet, and that it is true and correct to the best of my knowledge, information and belief.

This 12th day of June, 2013




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Bryce B. Engel  
President  
800 Klein Road, Suite 200  
Plano, Texas 75074

<sup>1</sup> The Debtors in these chapter 11 cases, along with the last four digits of each Debtor's federal tax identification number, are: Penson Worldwide, Inc. (6356); SAI Holdings, Inc. (3657); Penson Financial Services, Inc. (3990); Penson Financial Futures, Inc. (6207); Penson Holdings, Inc. (4821); Penson Execution Services, Inc. (9338); Nexa Technologies, Inc. (7424); GHP1, Inc. (1377); GHP2, LLC (1374), Penson Futures (6207). The Debtors' Mailing Address is 800 Klein Road, Suite 200, Plano, Texas 75074.