

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

In re: PENSON WORLDWIDE, <i>et al.</i> , ¹ Debtors.	Chapter 11 Case No. 13-10061 (LSS) (Jointly Administered)
PENSON TECHNOLOGIES LLC, (successor in interest to SAI HOLDINGS, INC. and PENSION FINANCIAL SERVICES, INC.), Plaintiff, -against- SCHONFELD GROUP HOLDINGS LLC, Defendant.	Adv. Proc. No. 16-51522 (LSS) Related to Adv. Docket Nos. 1, 49, 50, 51, 54, 55, 56, 58 and 59

NOTICE OF COMPLETION OF BRIEFING

PLEASE TAKE NOTICE that briefing on Defendant Schonfeld Group Holdings LLC Motion for Summary Judgment [Adv. Docket No. 49] (the "Motion") is complete. The following are all pleadings relevant to the Motion:

1. Complaint and Objection to Claim (Filed November 16, 2016) [Adv. Docket No. 1];
2. Defendant Schonfeld Group Holdings LLC Motion for Summary Judgment (Filed July 31, 2018) [Adv. Docket No. 49];
3. Memorandum of Law in Support of Defendant Schonfeld Group Holdings LLC's Motion for Summary Judgment (Filed July 31, 2018) [Adv. Docket No. 50];
4. Declaration of Andrew Fishman in Support of Defendant Schonfeld Group Holdings LLC's Motion for Summary Judgment (Filed July 31, 2018) [Adv. Docket No. 51];

¹ The Debtors in these cases, along with the last four digits of each Debtor's federal tax identification number, are: Penson Worldwide, Inc. (6356); SAI Holdings, Inc. (3657); Penson Financial Services, Inc. (3990); Penson Financial Futures, Inc. (6207); and Penson Futures (6207). The Debtors' mailing address is 5960 W. Parker Rd. #278-198, Plano, Texas 75093.



5. Order Approving Stipulation to Extend Time for Briefing Schonfeld's Motion for Summary Judgment (Entered August 8, 2018) [Adv. Docket No. 54];
6. Plaintiff's Memorandum of Law in Opposition to Schonfeld Group Holdings LLC's Motion for Summary Judgment (Filed October 15, 2018) [Adv. Docket No. 55];
7. Declaration of Philip Pendergraft (Filed October 15, 2018) [Adv. Docket No. 56];
8. Reply Memorandum of Law in Further Support of Defendant Schonfeld Group Holdings LLC's Motion for Summary Judgment (Filed November 14, 2018) [Adv. Docket No. 58]; and
9. Request for Oral Argument (Filed November 21, 2018) [Adv. Docket No. 59].

Dated: November 26, 2018

COLE SCHOTZ P.C.

By: /s/ Nicholas J. Brannick

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CERTIFICATE OF SERVICE

I, Nicholas J. Brannick, hereby certify that on November 26, 2018, I caused a copy of the Notice of Completion of Briefing to be served via electronic mail, by consent, upon the following parties:

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Dated: November 26, 2018

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