

UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE

In re:

PENSON WORLDWIDE, *et al.*,<sup>1</sup>

Debtors.

PENSON TECHNOLOGIES LLC, (successor in  
interest to SAI HOLDINGS, INC. and PENSON  
FINANCIAL SERVICES, INC.),

Plaintiff,

-against-

SCHONFELD GROUP HOLDINGS LLC,

Defendant.

Chapter 11

Case No. 13-10061 (LSS)

(Jointly Administered)

Adv. Proc. No 16-51522 (LSS)

**THIS HEARING WILL BE HELD TELEPHONICALLY AND BY VIDEO. ALL PARTIES WISHING TO APPEAR MUST DO SO TELEPHONICALLY BY CONTACTING COURTCALL, LLC AT 866-582-6878 NO LATER THAN OCTOBER 6, 2020 AT 8:30 A.M. (ET) TO SIGN UP. ADDITIONALLY, ANYONE WISHING TO APPEAR BY ZOOM IS INVITED TO USE THE LINK BELOW. ALL PARTIES THAT WILL BE ARGUING OR TESTIFYING MUST APPEAR BY ZOOM AND COURTCALL. PARTICIPANTS ON COURTCALL SHOULD DIAL INTO THE CALL NOT LATER THAN 10 MINUTES PRIOR TO THE START OF THE SCHEDULED HEARING TO INSURE A PROPER CONNECTION.**

**PLEASE NOTE THAT THE MICROPHONES ON THE ZOOM MEETING WILL BE MUTED AND THE ONLY AUDIO WILL BE THROUGH COURTCALL.**

**Topic: Penson Technologies LLC vs. Schonfeld Group Holdings LLC, Adv. Proc. 16-51522 (LSS)  
Time: October 6, 2020 at 10:00 AM Eastern Time (US and Canada)**

**Join ZoomGov Meeting**

<https://debuscourts.zoomgov.com/j/1617941096>

**Meeting ID: 161 794 1096**

**Password: 899649**

**Join by SIP**

[1617941096@sip.zoomgov.com](mailto:1617941096@sip.zoomgov.com)

<sup>1</sup> The Debtors in these cases, along with the last four digits of each Debtor's federal tax identification number, are: Penson Worldwide, Inc. (6356); SAI Holdings, Inc. (3657); and Penson Financial Services, Inc. (3990). The Debtors' mailing address is 5960 W. Parker Rd. #278-198, Plano, Texas 75093.



**NOTICE OF AGENDA OF MATTERS SCHEDULED  
FOR HEARING ON OCTOBER 6, 2020 AT 10:00 A.M. (ET)**

**CONTESTED MATTER GOING FORWARD**

1. Defendant Schonfeld Group Holdings LLC Motion for Summary Judgment [Adv. D.I. [49](#); 7/31/18]

Related Documents:

- a) Complaint and Objection to Claim [Adv. D.I. [1](#); 11/16/16]
- b) Memorandum of Law in Support of Defendant Schonfeld Group Holdings LLC's Motion for Summary Judgment [Adv. D.I. [50](#); 7/31/18]
- c) Declaration of Andrew Fishman in Support of Defendant Schonfeld Group Holdings LLC's Motion for Summary Judgment [Adv. D.I. [51](#); 7/31/18]
- d) Order Approving Stipulation to Extend Time for Briefing Schonfeld's Motion for Summary Judgment [Adv. D.I. [54](#); 8/8/18]
- e) Reply Memorandum of Law in Further Support of Defendant Schonfeld Group Holdings LLC's Motion for Summary Judgment [Adv. D.I. [58](#); 11/14/18]
- f) Request for Oral Argument [Adv. D.I. [59](#); 11/21/18]
- g) Notice of Completion of Briefing [Adv. D.I. [60](#); 11/26/18]
- h) Notice of Oral Argument on Defendant Schonfeld Group Holdings LLC Motion for Summary Judgment [Adv. D.I. [62](#); 2/13/20]

Objection/Response Deadline: October 15, 2018 at 4:00 p.m. (ET)

Objections/Responses Received:

- i) Plaintiff's Memorandum of Law in Opposition to Schonfeld Group Holdings LLC's Motion for Summary Judgment [Adv. D.I. [55](#); 10/15/18]
- j) Declaration of Philip Pendergraft [Adv. D.I. [56](#); 10/15/18]

Status: This matter is going forward with oral argument.

Dated: October 2, 2020  
Wilmington, Delaware

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