

Troy D. Greenfield, OSB #892534
Email: tgreenfield@schwabe.com
Lawrence R. Ream (Admitted *Pro Hac Vice*)
Email: lream@schwabe.com
SCHWABE, WILLIAMSON & WYATT, P.C.
1211 SW 5th Ave., Suite 1900
Portland, OR 97204
Telephone: 503.222.9981
Facsimile: 503.796.2900

Attorneys for the Receiver for Defendants
AEQUITAS MANAGEMENT, LLC; AEQUITAS HOLDINGS,
LLC; AEQUITAS COMMERCIAL FINANCE, LLC; AEQUITAS
CAPITAL MANAGEMENT, INC.; AEQUITAS INVESTMENT
MANAGEMENT, LLC

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF OREGON
PORTLAND DIVISION

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

AEQUITAS MANAGEMENT, LLC;
AEQUITAS HOLDINGS, LLC;
AEQUITAS COMMERCIAL FINANCE,
LLC; AEQUITAS CAPITAL
MANAGEMENT, INC.; AEQUITAS
INVESTMENT MANAGEMENT, LLC;
ROBERT J. JESENİK, BRIAN A. OLIVER;
and N. SCOTT GILLIS,

Defendants.

No. 3:16-cv-00438-JR

**[PROPOSED] ORDER APPROVING
COMPROMISES OF CLAIMS AND
AUTHORIZING PERFORMANCE OF
SETTLEMENT AGREEMENTS**



This matter came before the Court on the Receiver’s Motion for Order Approving Compromises of Claims and Authorizing Performance of Settlement Agreements (Dkt. No. 1031). On behalf of the Receivership Entity, the Receiver executed settlement agreements with the following counterparties (“Settlement Agreements”):

- Sue T. Noack Family Partnership, L.P., Sue T. Noack, Kenneth B. Noack, Jr., Karen N. Chickering, and Charles T. Noack
- Bluestone Capital Management, Inc.
- Aalii Fund, LP

The Court having considered all papers filed and proceedings held herein and otherwise being fully informed,

NOW, THEREFORE, IT IS HEREBY ORDERED, ADJUDGED, AND DECREED
that:

- (1) The Settlement Agreements are approved; and
- (2) The Receiver is authorized to perform on each of the Settlement Agreements.

IT IS SO ORDERED.

Dated: September _____, 2023.

United States Magistrate Judge Jolie A. Russo

///

///

SUBMITTED BY:

SCHWABE, WILLIAMSON & WYATT, P.C.

By: s/ Troy D. Greenfield

Troy D. Greenfield, OSB #892534

tgreenfield@schwabe.com

Lawrence R. Ream (Admitted *Pro Hac Vice*)

lream@schwabe.com

Telephone: 503.222.9981

Facsimile: 503.796.2900

Attorneys for the Receiver and Receivership Entity