

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

In re:

TRICIDA, INC.,¹

Debtor.

Chapter 11

Case No. 23-10024 (JTD)

**STATEMENT OF FINANCIAL AFFAIRS FOR
TRICIDA, INC. (CASE NO. 23-10024)**

¹ The Debtor in this chapter 11 case, together with the last four digits of the Debtor's federal tax identification number, is Tricida, Inc. (2526). The Debtor's service address is 7000 Shoreline Court, Suite 201, South San Francisco, CA 94080.



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**GLOBAL NOTES AND STATEMENT OF LIMITATIONS, METHODOLOGY, AND
DISCLAIMERS REGARDING THE DEBTOR'S SCHEDULES OF ASSETS AND
LIABILITIES AND STATEMENTS OF FINANCIAL AFFAIRS**

Tricida, Inc. (the "Debtor"), as debtor in possession in the above-captioned chapter 11 case, with the assistance of its advisors and attorneys, is filing its Schedules of Assets and Liabilities (the "Schedules") and Statement of Financial Affairs (the "Statement" or "SOFA") in the Bankruptcy Court for the District of Delaware (the "Bankruptcy Court") pursuant to section 521 of title 11 of the United States Code (the "Bankruptcy Code") and Rule 1007 of the Federal Rules of Bankruptcy Procedure (the "Bankruptcy Rules").

These Global Notes and Statements of Limitations, Methodology, and Disclaimers regarding the Debtor's Schedules and Statement (collectively, the "Global Notes") pertain to, are incorporated by reference in, and comprise an integral part of all of the Debtor's Schedules and Statement. The Global Notes should be referred to, considered, and reviewed in connection with any review of the Schedules and Statement.

The Schedules and Statement do not purport to represent financial statements prepared in accordance with Generally Accepted Accounting Principles in the United States ("GAAP"), nor are they intended to be fully reconciled with the financial statements of the Debtor. Additionally, the Schedules and Statement contain unaudited information that is subject to further review, potential adjustment, and reflect the Debtor's commercially reasonable efforts to report the assets and liabilities of the Debtor.

The Schedules and Statements were prepared based on the best information available and to the Debtor and its agents' knowledge and belief. The Debtor and its agents, attorneys, and financial advisors do not guarantee or warrant the accuracy or completeness of the data that is provided herein and shall not be liable for any loss or injury arising out of or caused in whole or in part by the acts, errors, or omissions, whether negligent or otherwise, in procuring, compiling, collecting, interpreting, reporting, communicating, or delivering the information contained herein. While commercially reasonable efforts have been made to provide accurate and complete information

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herein, inadvertent errors or omissions may exist. The Debtor and its agents, attorneys, and financial advisors expressly do not undertake any obligation to update modify, revise, or re-categorize the information provided herein, or to notify any third party should the information be updated, modified, revised, or re-categorized. In no event shall the Debtor or its agents, attorneys, and financial advisors be liable to any third party for any direct, indirect, incidental, consequential, or special damages (including, but not limited to, damages arising from the disallowance of a potential claim against the Debtor or damages to business reputation, lost business, or lost profits), whether foreseeable or not and however caused, even if the Debtor or its agents, attorneys, and financial advisors are advised of the possibility of such damages.

The Finance and Chief Accounting Officer, Annie Yoshiyama has signed each of the Schedules and Statement. Ms. Yoshiyama is an authorized signatory for the Debtor. In reviewing and signing the Schedules and Statement, Ms. Yoshiyama has relied upon the efforts, statements, and representations of various personnel employed by the Debtor and its advisors. Ms. Yoshiyama has not (and could not have) personally verified the accuracy of each statement and representation contained in the Schedules and Statement, including statements and representations concerning amounts owed to creditors, classification of such amounts, and creditor addresses.

GLOBAL NOTES AND OVERVIEW OF METHODOLOGY

1. Reservation of Rights. Reasonable efforts have been made to prepare and file complete and accurate Schedules and Statement; however, inadvertent errors or omissions may exist. The Debtor reserves all rights to (i) amend or supplement the Schedules and Statement from time to time, in all respects, as may be necessary or appropriate, including, without limitation, the right to amend the Schedules and Statement with respect to any claim (“Claim”) description or designation; (ii) dispute or otherwise assert offsets or defenses to any Claim reflected in the Schedules and Statement as to amount, liability, priority, status, or classification; (iii) subsequently designate any Claim as “disputed,” “contingent,” or “unliquidated;” or object to the extent, validity, enforceability, priority, or avoidability of any Claim. Listing a Claim does not constitute an admission of liability by the Debtor against which the Claim is listed. Furthermore, nothing contained in the Schedules and Statement shall constitute a waiver of rights with respect to the Debtor’s chapter 11 case, including, without limitation, issues involving Claims, defenses, equitable subordination, and/or causes of action arising under the provisions of chapter 5 of the Bankruptcy Code and any other relevant non-bankruptcy laws to recover assets or avoid transfers. Any specific reservation of rights contained elsewhere in the Global Notes does not limit in any respect the general reservation of rights contained in this paragraph. Notwithstanding the foregoing, the Debtor shall not be required to update the Schedules and Statement except as may be required by applicable law.

2. No Admission. Nothing contained in the Schedules and Statement is intended as, or should be construed as, an admission or stipulation of the validity of any Claim against the Debtor, any assertion made therein or herein, or a waiver of the Debtor’s rights to dispute any Claim or assert any cause of action or defense against any party.

3. Description of the Case and “As Of” Information Date. On January 11, 2023 (the “Petition Date”), the Debtor filed its voluntary petition for relief under chapter 11 of the Bankruptcy Code. The Debtor is operating its business as debtor in possession pursuant to sections

1107(a) and 1108 of the Bankruptcy Code. Unless otherwise indicated, the asset information provided herein represents the asset data as of the close of business on January 11, 2023. Unless otherwise indicated, the liability information represents the liability data of the Debtor as of the close of business on January 11, 2023.

4. Valuation. It would be prohibitively expensive, unduly burdensome, and an inefficient use of estate assets for the Debtor to obtain current market valuations of all of its assets. For these reasons, the Debtor has indicated in the Schedules and Statement that the values of certain assets and liabilities are undetermined or unknown. Unless otherwise indicated, the asset data contained in the Schedules and Statement reflect estimates of value as of January 11, 2023. Book values of assets prepared in accordance with GAAP generally do not reflect the current market value of the assets and may differ materially from the actual value of the underlying assets.

5. Estimates. To prepare and file the Schedules and Statement in accordance with the deadline established in the case, management was required to make certain estimates and assumptions that affected the reported amounts of these assets and liabilities as of January 11, 2023. The Debtor reserves the right to amend the reported amounts of assets and liabilities to reflect changes in those estimates or assumptions.

6. Fiscal Year. The Debtor's fiscal year ends on December 31, 2023.

7. Recharacterization. Notwithstanding the Debtor's commercially reasonable efforts to properly characterize, classify, categorize, or designate certain Claims, assets, executory contracts, unexpired lease, and other items reported in the Schedules and Statement, the Debtor may nevertheless have improperly characterized, classified, categorized, designated, or omitted certain items due to the complexity of the Debtor's financial statements and recent turnover in employees. Accordingly, the Debtor reserves all its rights to re-characterize, reclassify, recategorize, re-designate, add, or delete items reported in the Schedules and Statement at a later time as is necessary or appropriate as additional information becomes available, including, without limitation, whether contracts or leases listed herein were deemed executory or unexpired as of the Petition Date and remain executory and unexpired post-petition. Disclosure of information in one or more Schedules, one or more Statement questions, or one or more exhibits or attachments to the Schedules and Statement, even if incorrectly placed, shall be deemed to be disclosed in the correct Schedules, Statement, exhibits, or attachments.

8. Liabilities. The Debtor allocated liabilities between the pre-petition and post-petition periods based on the information and research conducted in connection with the preparation of the Schedules and Statement. As additional information becomes available and further research is conducted, the allocation of liabilities between the pre-petition and post-petition periods may change. Accordingly, the Debtor reserves all its rights to amend, supplement, or otherwise modify its Schedules and Statement as is necessary or appropriate.

9. Excluded Assets and Liabilities. The Debtor may have inadvertently excluded certain categories of assets, tax accruals, and liabilities from the Schedules and Statement, including, without limitation, net operating losses ("NOLs"), accrued salaries, employee benefit accruals, and accrued accounts payable. The Debtor has also excluded rejection damage Claims of counterparties to executory contracts and unexpired leases that may or may not be rejected, to the

extent such damage Claims exist. In addition, certain immaterial assets and liabilities may have been excluded.

10. Insiders. For purposes of the Schedules and Statement, the Debtor defined “insiders” pursuant to section 101(31) of the Bankruptcy Code as: (a) directors; (b) officers; (c) persons in control of the Debtor; (d) relatives of the Debtor’s directors, officers or persons in control of the Debtor; and (e) debtor/non-debtor affiliates of the foregoing. Persons listed as “insiders” have been included for informational purposes only and the inclusion of them in the Schedules and Statement, shall not constitute an admission that those persons are insiders for purposes of section 101(31) of the Bankruptcy Code. Moreover, the Debtor does not take any position with respect to: (a) any insider’s influence over the control of the Debtor; (b) the management responsibilities or functions of any such insider; (c) the decision making or corporate authority of any such insider; or (d) whether the Debtor or any such insider could successfully argue that he or she is not an “insider” under applicable law or with respect to any theories of liability or for any other purpose.

11. Intellectual Property Rights. Exclusion of certain intellectual property shall not be construed to be an admission that such intellectual property rights have been abandoned, have been terminated, or otherwise have expired by their terms, or have been assigned or otherwise transferred pursuant to a sale, acquisition, or other transaction. Conversely, inclusion of certain intellectual property shall not be construed to be an admission that such intellectual property rights have not been abandoned, have not been terminated, or otherwise have not expired by their terms, or have not been assigned or otherwise transferred pursuant to a sale, acquisition, or other transaction. The Debtor reserves all of its rights with respect to the legal status of any and all intellectual property rights.

12. Classifications. Listing (a) a Claim on Schedule D as “secured,” (b) a Claim on Schedule E/F as “priority,” (c) a Claim on Schedule E/F as “unsecured,” or (d) a contract on Schedule G as “executory” or “unexpired,” does not constitute an admission by the Debtor of the legal rights of the claimant or a waiver of the Debtor’s rights to recharacterize or reclassify such Claims or contracts or to setoff of such Claims.

13. Claims Description. Schedules D and E/F permit the Debtor to designate a Claim as “disputed,” “contingent,” and/or “unliquidated.” The Debtor reserves all its rights to dispute, or assert offsets or defenses to, any Claim reflected on its Statement and Schedules on any grounds, including liability or classification. Additionally, the Debtor expressly reserves all rights to subsequently designate such Claims as “disputed,” “contingent” or “unliquidated.” Moreover, listing a Claim does not constitute an admission of liability by the Debtor.

14. Court Orders. Pursuant to certain orders of the Bankruptcy Court, the Debtor was authorized (but not directed) to pay, among other things, certain prepetition claims of employees, lien claimants, and taxes, among others. Accordingly, some of these liabilities may have been, or will be, satisfied in accordance with such orders and therefore may have not been listed in the Schedules. Otherwise, where the Schedules list creditors and set forth the Debtor’s scheduled amount of such Claims, such scheduled amounts reflect amounts owed as of the Petition Date. The estimates of claims set forth in the Schedules may not reflect assertions by the Debtor’s creditors of a right to have such Claims paid or reclassified under the Bankruptcy Code or orders of the Bankruptcy Court.

15. Causes of Action. Despite making commercially reasonable efforts to identify all known assets, the Debtor may not have listed all its causes of action or potential causes of action against third parties as assets in the Schedules and Statement, including, without limitation, causes of actions arising under the provisions of chapter 5 of the Bankruptcy Code and any other relevant non-bankruptcy laws to recover assets or avoid transfers. The Debtor reserves all rights with respect to any cause of action (including avoidance actions), controversy, right of setoff, crossclaim, counterclaim, or recoupment and any claim on contracts or for breaches of duties imposed by law or in equity, demand, right, action, lien, indemnity, guaranty, suit, obligation, liability, damage, judgment, account, defense, power, privilege, license, and franchise of any kind or character whatsoever, known, unknown, fixed or contingent, matured or unmatured, suspected or unsuspected, liquidated or unliquidated, disputed or undisputed, secured or unsecured, assertible directly or derivatively, whether arising before, on, or after the Petition Date, in contract or in tort, in law or in equity, or pursuant to any other theory of law (collectively, “Causes of Action”) it may have, and neither these Global Notes nor the Schedules and Statement shall be deemed a waiver of any claims or Causes of Action or in any way prejudice or impair the assertion of such claims or Causes of Action.

16. Summary of Significant Reporting Policies. The following is a summary of significant reporting policies

- **Undetermined Amounts.** The description of an amount as “unknown,” “unknown, investigating,” “cannot allocate,” “cannot value,” “N/A,” “TBD,” or “undetermined” is not intended to reflect upon the materiality of such amount.
- **Totals.** All totals that are included in the Schedules and Statement represent totals of all known and estimated amounts. To the extent there are unknown or undetermined amounts, the actual total may be different than the listed total.
- **Liens.** Property and equipment listed in the Schedules and Statement are presented with the Debtor’s best knowledge of any liens that may attach (or have attached) to such property and equipment.

17. Currency. Unless otherwise indicated, all amounts are reflected in U.S. dollars.

18. Employee Addresses. Current employee and certain director addresses have generally been reported as the Debtor’s business address throughout the Schedules and Statement, where applicable.

19. Global Notes Control. In the event that the Schedules or Statements differ from any of the foregoing Global Notes, the Global Notes shall control.

Specific Notes with Respect to the Debtor’s Schedules. The Schedules neither purport to represent financial statements prepared in accordance with GAAP, nor are they intended to be fully reconciled with the financial statements of the Debtor. Additionally, the Schedules contain unaudited information that is subject to further review and potential adjustment and reflect the Debtor’s reasonable best efforts to report its assets and liabilities. Moreover, given, among other things, questions about the characterization of certain assets and the valuation and nature of certain

liabilities, to the extent that the Debtor shows more assets than liabilities, this is not an admission that such Debtor was solvent as of the Petition Date or at any time before the Petition Date.

1. Schedule A/B, Parts 1 and 2 – Cash and Cash Equivalents; Deposits and Prepayments

Details with respect to the Debtor’s cash management system and bank accounts are provided in the *Motion for Entry of Interim and Final Orders (I) Authorizing the Debtor (A) to Continue Use of its Existing Cash Management System, Bank Accounts, Checks, Credit Card Program, and Business Forms, and (B) to Pay Related Prepetition Obligations; (II) Waiving Certain Investment and Deposit Guidelines; and (III) Granting Related Relief* [Docket No. 9] (the “Cash Management Motion”) and the orders of the Bankruptcy Court granting the Cash Management Motion.

The Debtor’s bank account balances, and the balances of deposits are reported as of the January 11, 2023. In addition, prepayments include software license & subscriptions, annual fees, insurance premium prepayments for services not yet rendered, and other advance payments or deposits. Prepayments does not include retainers or prepayments made to the Debtor’s bankruptcy professionals; such amounts are listed in the Statement, Part 6, Question 11.

2. Schedule A/B, Part 4 – Investments

For the purpose of preparing the Schedules, cash equivalents held in a money market type brokerage account are included in cash and cash equivalents listed in part 1.

3. Schedule A/B, Part 5 – Inventory, excluding agriculture assets

The Debtor has raw material, work in process inventories, and other inventory at various locations. No physical counts have occurred to verify quantity on hand. The net book value of these materials is zero and the current value is unknown. The Debtor will amend the Schedules and Statement should new information become available.

4. Schedule A/B, Part 7 – Office furniture, fixtures, and equipment; and collectibles

The valuation is based on US GAAP historical cost method accounting principles. Such value does not relate to the fair market value or reasonably equivalent value of such property. These assets include equipment located in the Debtor’s leased facility in San Francisco, CA.

5. Schedule A/B, Part 10 – Intangibles and intellectual property

The Debtor owns various intellectual property that is not reported on its financial statements but has been itemized in Schedule A/B, Part 10 attachments.

6. Schedule A/B, Part 11, Question 72 – Tax refunds and unused NOLs

The Debtor applies a full valuation allowance against its calculated deferred tax assets that incorporates the NOLs, effectively valuing such NOLs at zero. The German VAT is not recorded as a receivable because the Debtor has not received confirmation from the German tax authorities that there are no outstanding issues and that the VAT will be repaid. Accordingly, it too is recorded

as having no value. The Debtor currently expects material tax refunds attributable to the Employee Retention Tax Credit program as set forth in the Schedules.

7. Schedule A/B, Part 11, Question 74 – Causes of action against third parties (whether or not a lawsuit has been filed)

The Debtor may have other causes of action and is not waiving any rights to pursue such causes of action in the future.

8. Schedule D, Part 1, Question 2 – Creditors with Secured Claims

McKesson Medical Surgical, Inc. filed a UCC-1 with the Delaware Secretary of State on May 16, 2019 securing its interest in certain property purchased by the Debtor. The Debtor has record that the amounts covered by in McKesson's UCC-1 have been paid off and there is no balance owed to this creditor. Notwithstanding this, no termination of the UCC-1 was ever filed and so McKesson Medical Surgical, Inc. is listed in the Debtor's Schedule D out of an abundance of caution.

9. Schedule E/F: Creditors Who Have Secured Claims, Part 1 – Creditors with PRIORITY Unsecured Claims

Priority unsecured claims include certain disputed tax claims and employee accrued PTO as of the Petition Date.

10. Schedule E/F: Creditors Who Have Unsecured Claims, Part 2 – Creditors with NONPRIORITY Unsecured Claims

Nonpriority unsecured claims primarily include regular accounts payable, trade debt, and funded convertible notes.

11. Schedule G: Executory Contracts and Unexpired Lease

The Executory Contracts and Unexpired Lease disclosed are based on the best information available and to the Debtor's knowledge and belief.

Specific Notes with Respect to the Debtor's Statement. The Statement neither purports to represent financial statements prepared in accordance with GAAP, nor is it intended to be fully reconciled with the financial statements of the Debtor. Additionally, the Statement contains unaudited information that is subject to further review and potential adjustment and reflects the Debtor's reasonable best efforts to report its financial affairs.

12. Part 2: Number 3: Certain payments or transfers to creditors within 90 days before filing this case

The dates set forth in the "Date" column in Attachment 3 relate to when the relevant disbursement was posted to the bank account. Statement Item 3 includes any disbursement or other transfer made by the Debtor within 90 days before the Petition Date except for (x) ordinary course compensation of individuals through salaries, wages, accrued vacation for terminated employees, or related

allowances; and (y) those made to insiders (which payments appear in response to Statement Item 4).

13. Part 2: Number 4: Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Except as may be otherwise indicated in the Schedules and Statement, the Debtor has included payments made during the one-year period preceding the Petition Date to each person likely to be deemed an “insider,” as that term is defined in section 101(31) of the Bankruptcy Code. Persons listed as “insiders” have been included for informational purposes only. The Debtor does not take any position with respect to (a) such person’s influence over the control of the Debtor, (b) the management responsibilities or functions of such persons, (c) the decision-making or corporate authority of such person, or (d) whether such person could successfully argue that he or she is not an “insider” under applicable law.

14. Part 6: Number 11: Payments related to bankruptcy

Out of an abundance of caution, the Debtor has included payments to all professionals who have rendered any advice related the Debtor’s bankruptcy proceedings in Statement Item 11. However, it is possible that the disclosed fees also relate to other, non-bankruptcy related services. For the avoidance of doubt, payments listed here are not duplicated in the Debtor’s responses to Part 2, Numbers 3 and 4, respectively.

15. Part 10: Number 20: Off-Premises Storage

The Debtor has raw material, work in process inventories, and other inventory at various locations at detailed in Attachment 20. No physical counts have occurred to verify quantity on hand.

16. Part 13: Number 26d: Third Parties to Whom Debtor Issued Financial Statements

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Debtor has filed with the U.S. Securities and Exchange Commission (the “SEC”) reports on Form 8-K, Form 10-Q, and Form 10-K. These SEC filings contain financial information relating to the Debtor.

Additionally, financial information for the Debtor is posted on the Debtor’s website at <https://ir.tricida.com/investor-relations>. Because the SEC filings and the website are of public record, the Debtor does not maintain records of the parties that requested or obtained copies of any of the SEC filings from the SEC or the Debtor.

The Debtor provides certain parties, such as banks, auditors, potential investors, vendors, and financial advisors with financial statements. The Debtor has used reasonable efforts to identify and list all these parties, but there could be omissions.

[Remainder of page intentionally left blank.]

Fill in this information to identify the case:

Debtor Name: In re : Tricida, Inc.
 United States Bankruptcy Court for the: District Of Delaware
 Case number (if known): 23-10024 ()

Check if this is an amended filing

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy 04/22

The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known).

Part 1: Income

1. Gross revenue from business

None

Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year	Sources of revenue Check all that apply	Gross revenue (before deductions and exclusions)
From the beginning of the fiscal year to filing date: From _____ to Filing date MM / DD / YYYY	<input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	\$ _____
For prior year: From _____ to _____ MM / DD / YYYY MM / DD / YYYY	<input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	\$ _____
For the year before that: From _____ to _____ MM / DD / YYYY MM / DD / YYYY	<input type="checkbox"/> Operating a business <input type="checkbox"/> Other _____	\$ _____

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

2. Non-business revenue

Include revenue regardless of whether that revenue is taxable. Non-business income may include interest, dividends, money collected from lawsuits, and royalties. List each source and the gross revenue for each separately. Do not include revenue listed in line 1.

None

				Description of sources of revenue	Gross revenue from each source (before deductions and exclusions)	
From the beginning of the fiscal year to filing date:	From	<u>1/1/2023</u>	to	Filing date	Interest Income	\$ <u>52,134.79</u>
		MM / DD / YYYY				
For prior year:	From	<u>1/1/2022</u>	to	<u>12/31/2022</u>	Interest Income	\$ <u>1,033,199.36</u>
		MM / DD / YYYY		MM / DD / YYYY		
For the year before that:	From	<u>1/1/2021</u>	to	<u>12/31/2021</u>	Interest Income	\$ <u>476,365.11</u>
		MM / DD / YYYY		MM / DD / YYYY	Sale of office workstations	\$ <u>29,000.00</u>
					SVB credit card share rebate received for 2020	\$ <u>3,885.97</u>

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 2: List Certain Transfers Made Before Filing for Bankruptcy

3. Certain payments or transfers to creditors within 90 days before filing this case

List payments or transfers-including expense reimbursements-to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$7,575 . (This amount may be adjusted on 4/01/25 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

None

Creditor's name and address	Dates	Total amount or value	Reasons for payment or transfer Check all that apply
3.1 See SOFA 3 Attachment Creditor's Name Street City State ZIP Code Country		\$	<input type="checkbox"/> Secured debt <input type="checkbox"/> Unsecured loan repayments <input type="checkbox"/> Suppliers or vendors <input type="checkbox"/> Services <input type="checkbox"/> Other

4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$7,575. (This amount may be adjusted on 4/01/25 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. Insiders include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

None

Insider's Name and Address	Dates	Total amount or value	Reason for payment or transfer
4.1 See SOFA 4 Attachment Insider's Name Street City State ZIP Code Country Relationship to Debtor		\$	

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

5. Repossessions, foreclosures, and returns

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

None

Creditor's Name and Address	Description of the Property	Date	Value of property
5.1 Creditor's Name			\$
Street			
City State ZIP Code			
Country			

6. Setoffs

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

None

Creditor's Name and Address	Description of the action creditor took	Date action was taken	Amount
6.1 Creditor's Name			\$
Street			
	Last 4 digits of account number: XXXX-		
City State ZIP Code			
Country			

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 3: Legal Actions or Assignments

7. Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits

List the legal actions, proceedings, investigations, arbitrations, mediations, and audits by federal or state agencies in which the debtor was involved in any capacity—within 1 year before filing this case.

None

Case title	Nature of case	Court or agency's name and address	Status of case
7.1 See SOFA 7 Attachment		Name	<input type="checkbox"/> Pending
		Street	<input type="checkbox"/> On appeal
			<input type="checkbox"/> Concluded
Case number		City State ZIP Code	
		Country	

8. Assignments and receivership

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

None

Custodian's name and address	Description of the Property	Value
8.1 Custodian's name		\$
Street	Case title	Court name and address
		Name
		Street
City State ZIP Code	Case number	
		City State ZIP Code
Country	Date of order or assignment	Country

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 4: Certain Gifts and Charitable Contributions

9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000

None

Recipient's name and address	Description of the gifts or contributions	Dates given	Value
9.1 See SOFA 9 Attachment Creditor's Name <hr/> Street <hr/> City State ZIP Code <hr/> Country <hr/> Recipient's relationship to debtor <hr/>			\$

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 5: Certain Losses

10. All losses from fire, theft, or other casualty within 1 year before filing this case.

None

Description of the property lost and how the loss occurred	Amount of payments received for the loss If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received. List unpaid claims on Official Form 106A/B (Schedule A/B: Assets – Real and Personal Property).	Date of loss	Value of property lost
10.1			\$

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 6: Certain Payments or Transfers

11. Payments related to bankruptcy

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

None

Who was paid or who received the transfer?	If not money, describe any property transferred	Dates	Total amount or value
11.1 See SOFA 11 Attachment			\$

Address

Street

City

State

ZIP Code

Country

Email or website address

Who made the payment, if not debtor?

12. Self-settled trusts of which the debtor is a beneficiary

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

None

Name of trust or device	Describe any property transferred	Dates transfers were made	Total amount or value
12.1			\$

Trustee

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

13. Transfers not already listed on this statement

List any transfers of money or other property - by sale, trade, or any other means - made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

None

Who received transfer?	Description of property transferred or payments received or debts paid in exchange	Date transfer was made	Total amount or value
13.1			\$

Address

Street

City State ZIP Code

Country

Relationship to Debtor

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 7: Previous Locations

14. Previous addresses

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

Does not apply

Address		Dates of occupancy	
14.1	Street	From	To
	City	State	ZIP Code
	Country		

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 8: Health Care Bankruptcies

15. Health Care bankruptcies

Is the debtor primarily engaged in offering services and facilities for:
 — diagnosing or treating injury, deformity, or disease, or
 — providing any surgical, psychiatric, drug treatment, or obstetric care?

- No. Go to Part 9.
- Yes. Fill in the information below.

Facility Name and Address	Nature of the business operation, including type of services the debtor provides	If debtor provides meals and housing, number of patients in debtor's care
15.1 Facility Name		
Street	Location where patient records are maintained (if different from facility address). If electronic, identify any service provider.	How are records kept?
City State ZIP Code		Check all that apply:
Country		<input type="checkbox"/> Electronically
		<input type="checkbox"/> Paper

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 9: Personally Identifiable Information

16. Does the debtor collect and retain personally identifiable information of customers?

No.

Yes. State the nature of the information collected and retained. _____

Does the debtor have a privacy policy about that information?

No

Yes

17. Within 6 years before filing this case, have any employees of the debtor been participants in any ERISA, 401(k), 403(b), or other pension or profit-sharing plan made available by the debtor as an employee benefit?

No. Go to Part 10.

Yes. Does the debtor serve as plan administrator?

No. Go to Part 10.

Yes. Fill in below:

	Name of plan	Employer identification number of the plan
17.1	<u>Tricida 401(k) Plan</u>	<u>EIN: 46-3372526</u>

Has the plan been terminated?

No

Yes

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 10: Certain Financial Accounts, Safe Deposit Boxes, and Storage Units

18. Closed financial accounts

Within 1 year before filing this case, were any financial accounts or instruments held in the debtor's name, or for the debtor's benefit, closed, sold, moved, or transferred? Include checking, savings, money market, or other financial accounts; certificates of deposit; and shares in banks, credit unions, brokerage houses, cooperatives, associations, and other financial institutions.

None

Financial institution name and address	Last 4 digits of account number	Type of account	Date account was closed, sold, moved, or transferred	Last balance before closing or transfer
18.1 Name Street City State ZIP Code Country	XXXX-	<input type="checkbox"/> Checking <input type="checkbox"/> Savings <input type="checkbox"/> Money market <input type="checkbox"/> Brokerage <input type="checkbox"/> Other		\$

19. Safe deposit boxes

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

None

Depository institution name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
19.1 Name Street City State ZIP Code Country			<input type="checkbox"/> No <input type="checkbox"/> Yes

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

20. Off-premises storage

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

None

Facility name and address	Names of anyone with access to it	Description of the contents	Does debtor still have it?
20.1 See SOFA 20 Attachment			<input type="checkbox"/> No
Name			<input type="checkbox"/> Yes
Street			
City	State	ZIP Code	Address
Country			

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own

21. Property held for another

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

None

	Owner's name and address	Location of the property	Description of the property	Value
21.1	Name			\$
	Street			
	City State ZIP Code			
	Country			

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 12: Details About Environmental Information

For the purpose of Part 12, the following definitions apply:

- *Environmental law* means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).
- *Site* means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.
- *Hazardous material* means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.

- No
- Yes. Provide details below.

Case title	Court or agency name and address	Nature of the case	Status of case
22.1	Name Street		<input type="checkbox"/> Pending <input type="checkbox"/> On appeal <input type="checkbox"/> Concluded
Case Number	City State ZIP Code		
	Country		

23. Has any governmental unit otherwise notified the debtor that the debtor may be liable or potentially liable under or in violation of an environmental law?

- No
- Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
23.1 Name Street	Name Street		
City State ZIP Code	City State ZIP Code		
Country	Country		

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

24. Has the debtor notified any governmental unit of any release of hazardous material?

No

Yes. Provide details below.

Site name and address	Governmental unit name and address	Environmental law, if known	Date of notice
24.1			
Name	Name		
Street	Street		
City State ZIP Code	City State ZIP Code		
Country	Country		

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

Part 13: Details About the Debtor's Business or Connections to Any Business

25. Other businesses in which the debtor has or has had an interest

List any business for which the debtor was an owner, partner, member, or otherwise a person in control within 6 years before filing this case. Include this information even if already listed in the Schedules.

None

25.1	Business name and address	Describe the nature of the business	Employer Identification number
			Do not include Social Security number or ITIN.
	Name		EIN:
	Street		Dates business existed
			From _____ To _____
	City State ZIP Code		
	Country		

26. Books, records, and financial statements

26a. List all accountants and bookkeepers who maintained the debtor's books and records within 2 years before filing this case.

None

26a.1	Name and Address	Dates of service	
		From	To
	Annie Yoshiyama, SVP, Finance & Chief Accounting Officer	12/4/2020	Present
	Name		
	7000 Shoreline Ct		
	Street		
	Suite 201		
	South San Francisco CA 94080		
	City State ZIP Code		
	Country		

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

None

26b.1	Name and Address	Dates of service	
		From	To
	Ernst & Young LLP	2018	Present
	Name		
	303 S. Almaden Blvd		
	Street		
	San Jose CA 95110		
	City State ZIP Code		
	Country		

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

None

Name and address

If any books of account and records are unavailable, explain why

26c.1 Annie Yoshiyama, SVP, Finance & Chief Accounting Officer

Name

7000 Shoreline Ct

Street

Suite 201

South San Francisco

CA

94080

City

State

ZIP Code

Country

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

None

Name and address

26d.1 Hercules Capital

Name

400 Hamilton Avenue, Suite 310

Street

Palo Alto

CA

94301

City

State

ZIP Code

Country

Name and address

26d.2 U.S. Bank, Trustee

Name

425 Walnut Street

Street

Attn: Daniel Boyers

Cincinnati

OH

45202

City

State

ZIP Code

Country

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

27. Inventories

Have any inventories of the debtor's property been taken within 2 years before filing this case?

No

Yes. Give the details about the two most recent inventories.

Name of the person who supervised the taking of the inventory	Date of Inventory	The dollar amount and basis (cost, market, or other basis) of each inventory
		\$ _____

Name and address of the person who has possession of inventory records

27.1

Name _____

Street _____

City _____ State _____ ZIP Code _____

Country _____

28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

Name	Address	Position and Nature of any interest	% of interest, if any
28.1 See SOFA 28 Attachment	_____	_____	_____

29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?

No

Yes. Identify below.

Name	Address	Position and Nature of any interest	Period during which position or interest was held
29.1 _____	_____	_____	From _____ To _____

Debtor: Tricida, Inc.

Case number (if known): 23-10024

Name

30. Payments, distributions, or withdrawals credited or given to insiders

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

No

Yes. Identify below.

Name and address of recipient	Amount of money or description and value of property	Dates	Reason for providing the value
30.1 See SOFA Question 4 Name _____ Street _____ City _____ State _____ ZIP Code _____ Country _____ Relationship to debtor _____			

31. Within 6 years before filing this case, has the debtor been a member of any consolidated group for tax purposes?

No

Yes. Identify below.

Name of the parent corporation	Employer Identification number of the parent corporation
31.1 _____	EIN: _____

32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?

No

Yes. Identify below.

Name of the pension fund	Employer Identification number of the pension fund
32.1 _____	EIN: _____

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both.

18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this *Statement of Financial Affairs* and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 01/30/2023
MM / DD / YYYY

x / s / Annie Yoshiyama _____

Printed name Annie Yoshiyama _____

Signature of individual signing on behalf of the debtor

Position or relationship to debtor Senior Vice President, Finance & Chief Accounting Officer

Are additional pages to *Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy* (Official Form 207) attached?

No

Yes

In re: Tricida, Inc.
Case No. 23-10024
Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	Address 2	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
ABD Insurance & Financial Services	777 Mariners Island Blvd.	Suite 250	San Mateo	CA	94404		11/11/2022	\$2,618.90	Services
ABD Insurance & Financial Services	777 Mariners Island Blvd.	Suite 250	San Mateo	CA	94404		12/21/2022	\$795,018.73	Services
ABD Insurance & Financial Services	777 Mariners Island Blvd.	Suite 250	San Mateo	CA	94404		1/9/2023	\$3,731,881.00	Services
ACTenviro Advanced Chemical Transport Inc.	967 Mabury Road		San Jose	CA	95133		12/21/2022	\$1,407.72	Services
ACTenviro Advanced Chemical Transport Inc.	967 Mabury Road		San Jose	CA	95133		1/10/2023	\$86,285.00	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		10/19/2022	\$966.66	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		11/4/2022	\$5,512.35	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		12/2/2022	\$966.66	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		12/9/2022	\$3,415.59	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		1/4/2023	\$966.66	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		1/6/2023	\$8,546.60	Services
ADP, LLC	PO Box 31001-1874		Pasadena	CA	91110-1874		1/10/2023	\$966.66	Services
AT&T	PO Box 5019		Carol Stream	IL	60197-5019		10/19/2022	\$2,032.59	Services
AT&T	PO Box 5019		Carol Stream	IL	60197-5019		12/2/2022	\$2,049.61	Services
AT&T	PO Box 5019		Carol Stream	IL	60197-5019		12/14/2022	\$2,049.61	Services
AT&T	PO Box 5019		Carol Stream	IL	60197-5019		1/10/2023	\$2,032.59	Services
AT&T Mobility	PO Box 6463		Carol Stream	IL	60197-6463		10/19/2022	\$2,655.35	Services
AT&T Mobility	PO Box 6463		Carol Stream	IL	60197-6463		12/21/2022	\$3,840.27	Services
Babak Shahbaba	Address on record						12/6/2022	\$12,922.00	Services
Bryan Cave Leighton Paisner LLP	P.O. Box 503089		St. Louis	MO	63150-3089		12/6/2022	\$204,083.64	Services
Bryan Cave Leighton Paisner LLP	P.O. Box 503089		St. Louis	MO	63150-3089		1/4/2023	\$30,187.27	Services
Canteen Refreshment Services	Division of Compass Group File #50196		Los Angeles	CA	90074-0196		10/21/2022	\$4,553.33	Services
Canteen Refreshment Services	Division of Compass Group File #50196		Los Angeles	CA	90074-0196		12/6/2022	\$4,130.85	Services
Canteen Refreshment Services	Division of Compass Group File #50196		Los Angeles	CA	90074-0196		12/16/2022	\$4,050.96	Services
CDW Direct	P.O. Box 75723		Chicago	IL	60675-5723		10/21/2022	\$13,937.45	Services
CDW Direct	P.O. Box 75723		Chicago	IL	60675-5723		12/6/2022	\$4,289.70	Services
CDW Direct	P.O. Box 75723		Chicago	IL	60675-5723		12/16/2022	\$14,658.00	Services
CDW Direct	P.O. Box 75723		Chicago	IL	60675-5723		1/4/2023	\$6,498.60	Services
CDW Direct	P.O. Box 75723		Chicago	IL	60675-5723		1/10/2023	\$14,674.64	Services
CHLIC-CHICAGO	5476 Collections Center Dr		Chicago	IL	60693		10/12/2022	\$6,542.93	Services
CHLIC-CHICAGO	5476 Collections Center Dr		Chicago	IL	60693		11/14/2022	\$6,620.90	Services
CHLIC-CHICAGO	5476 Collections Center Dr		Chicago	IL	60693		12/12/2022	\$3,850.68	Services
Cigna Healthcare	1340 Treat Blvd. Suite 599		Walnut Creek	CA	94597		10/20/2022	\$97,165.61	Services
Cigna Healthcare	1340 Treat Blvd. Suite 599		Walnut Creek	CA	94597		11/22/2022	\$97,165.61	Services
Cigna Healthcare	1340 Treat Blvd. Suite 599		Walnut Creek	CA	94597		12/20/2022	\$55,297.33	Services
Comac Medical	131 Odrin Street Apt 22		Sofia		1303	Bulgaria	10/19/2022	\$224,815.43	Services
Comac Medical	131 Odrin Street Apt 22		Sofia		1303	Bulgaria	11/9/2022	\$674,943.53	Services
Compensia Inc.	P.O. Box 1059		San Jose	CA	95108		10/19/2022	\$15,619.10	Services
Compensia Inc.	P.O. Box 1059		San Jose	CA	95108		12/6/2022	\$17,823.90	Services
CONCUR TECHNOLOGIES, Inc.	62157 Collections Center Drive		Chicago	IL	60693		10/21/2022	\$4,515.69	Services
CONCUR TECHNOLOGIES, Inc.	62157 Collections Center Drive		Chicago	IL	60693		12/6/2022	\$4,515.69	Services
CONCUR TECHNOLOGIES, Inc.	62157 Collections Center Drive		Chicago	IL	60693		1/4/2023	\$4,347.54	Services
CONCUR TECHNOLOGIES, Inc.	62157 Collections Center Drive		Chicago	IL	60693		1/10/2023	\$4,347.54	Services
Cornerstone Research, Inc.	P.O. Box 745900		Los Angeles	CA	90074-5900		11/11/2022	\$18,670.50	Services
Covered CA for Small Business	P.O. Box 740167		Los Angeles	CA	90074-0167		10/19/2022	\$7,378.31	Services
Covered CA for Small Business	P.O. Box 740167		Los Angeles	CA	90074-0167		11/21/2022	\$7,378.31	Services
CT Corporation	PO Box 4349		Carol Stream	IL	60197-4349		11/9/2022	\$267.00	Business license and registration services
CT Corporation	PO Box 4349		Carol Stream	IL	60197-4349		1/4/2023	\$14,836.77	Business license and registration services
Datasite LLC	P.O. Box 74007252		Chicago	IL	60674-7252		10/21/2022	\$11,783.98	Services
Datasite LLC	P.O. Box 74007252		Chicago	IL	60674-7252		12/6/2022	\$12,234.58	Services
Datasite LLC	P.O. Box 74007252		Chicago	IL	60674-7252		1/4/2023	\$16,778.58	Services
Datasite LLC	P.O. Box 74007252		Chicago	IL	60674-7252		1/10/2023	\$15,123.28	Services
Delaware Secretary of State	Division of Corporations	Post Office Box 5509	Binghamton	NY	13902-5509		11/30/2022	\$40,000.00	Franchise tax
Ernst & Young US LLP	PO Box 846793		Los Angeles	CA	90084-6793		11/11/2022	\$138,000.00	Services
Ernst & Young US LLP	PO Box 846793		Los Angeles	CA	90084-6793		12/6/2022	\$130,700.00	Services

In re: Tricida, Inc.
Case No. 23-10024
Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	Address 2	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
Ernst & Young US LLP	PO Box 846793		Los Angeles	CA	90084-6793		12/16/2022	\$90,000.00	Services
Ernst & Young US LLP	PO Box 846793		Los Angeles	CA	90084-6793		1/4/2023	\$108,061.08	Services
ETRADE Financial Corporate Services, Inc.	Attn: Accounts Receivable	PO Box 484	Jersey City	NJ	07303-0484		11/11/2022	\$2,000.00	Services
ETRADE Financial Corporate Services, Inc.	Attn: Accounts Receivable	PO Box 484	Jersey City	NJ	07303-0484		12/6/2022	\$675.00	Services
ETRADE Financial Corporate Services, Inc.	Attn: Accounts Receivable	PO Box 484	Jersey City	NJ	07303-0484		1/10/2023	\$20,000.00	Services
Focus 10 Life, Inc.	131 Oak Street, Suite 5		Glastonbury	CT	06033		10/21/2022	\$3,533.61	Services
Focus 10 Life, Inc.	131 Oak Street, Suite 5		Glastonbury	CT	06033		12/6/2022	\$6,063.11	Services
Focus 10 Life, Inc.	131 Oak Street, Suite 5		Glastonbury	CT	06033		1/10/2023	\$2,529.50	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/12/2022	\$692.61	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/14/2022	\$481.80	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/15/2022	\$94.96	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/18/2022	\$196.20	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/19/2022	\$323.83	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/21/2022	\$315.92	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/22/2022	\$93.94	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/25/2022	\$191.38	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/26/2022	\$210.79	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/28/2022	\$197.25	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		10/29/2022	\$91.47	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/1/2022	\$249.06	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/2/2022	\$247.85	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/4/2022	\$467.00	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/5/2022	\$187.65	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/8/2022	\$250.39	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/9/2022	\$294.45	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/11/2022	\$241.84	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/15/2022	\$141.49	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/16/2022	\$343.60	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/17/2022	\$195.89	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/18/2022	\$76.47	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/19/2022	\$56.65	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/22/2022	\$117.55	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/23/2022	\$70.58	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/24/2022	\$41.46	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/29/2022	\$143.08	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		11/30/2022	\$146.88	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/1/2022	\$172.39	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/2/2022	\$90.53	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/3/2022	\$121.23	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/6/2022	\$82.38	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/7/2022	\$153.21	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/8/2022	\$325.39	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/9/2022	\$83.24	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/10/2022	\$69.26	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/13/2022	\$126.29	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/14/2022	\$125.32	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/15/2022	\$266.85	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/16/2022	\$162.71	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/17/2022	\$58.37	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/20/2022	\$124.96	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/21/2022	\$95.15	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/22/2022	\$285.53	Services
Forkable	5214F Diamond Heights Blvd		San Francisco	CA	94131		12/23/2022	\$206.60	Services
George Clinical Pty Ltd	Level 5.1 King Street		Newton	New South Wales	2042	Australia	10/19/2022	\$335,166.30	Services
GI ETS Shoreline LLC	6720 N. Scottsdale Road, Suite 350		Scottsdale	AZ	85253		11/1/2022	\$370,592.82	Rent
GI ETS Shoreline LLC	6720 N. Scottsdale Road, Suite 350		Scottsdale	AZ	85253		12/6/2022	\$370,592.82	Rent

In re: Tricida, Inc.
Case No. 23-10024
Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	Address 2	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
Intrado Digital Media, LLC	PO Box 74007143		Chicago	IL	60674-7143		11/11/2022	\$7,350.00	Services
Intrado Digital Media, LLC	PO Box 74007143		Chicago	IL	60674-7143		12/6/2022	\$2,480.00	Services
Jeffrey Bates	Address on record						10/21/2022	\$16,000.00	Services
Jeffrey Bates	Address on record						12/6/2022	\$16,000.00	Services
Jeffrey Bates	Address on record						12/23/2022	\$16,000.00	Services
Jeffrey Bates	Address on record						1/4/2023	\$64,000.00	Services
John Dempsey dba JB Dempsey & Company LLC	251 Clinton Avenue		Oak Park	IL	60302		11/17/2022	\$30,000.00	Services
Lee Hecht Harrison LLC	PO Box 7410312		Chicago	IL	60674-0312		11/17/2022	\$75,000.00	Services
Life Science Logistics LLC	3100 Olympus Blvd, Suite 100		Dallas	TX	75019		11/11/2022	\$11,400.00	Services
Life Science Logistics LLC	3100 Olympus Blvd, Suite 100		Dallas	TX	75019		12/6/2022	\$7,500.00	Services
Life Science Logistics LLC	3100 Olympus Blvd, Suite 100		Dallas	TX	75019		12/21/2022	\$8,103.00	Services
Life Science Logistics LLC	3100 Olympus Blvd, Suite 100		Dallas	TX	75019		1/10/2023	\$4,500.00	Services
Mathur Consulting, LLC	25 Upenuf Road Suite 100		Woodside	CA	94062-2633		10/21/2022	\$50,300.00	Services
Mathur Consulting, LLC	25 Upenuf Road Suite 100		Woodside	CA	94062-2633		11/11/2022	\$76,045.47	Services
Mathur Consulting, LLC	25 Upenuf Road Suite 100		Woodside	CA	94062-2633		12/6/2022	\$10,900.00	Services
Mathur Consulting, LLC	25 Upenuf Road Suite 100		Woodside	CA	94062-2633		12/16/2022	\$29,600.00	Services
Mathur Consulting, LLC	25 Upenuf Road Suite 100		Woodside	CA	94062-2633		1/4/2023	\$66,500.00	Services
Medpace Reference Laboratories	PO Box 844841		Boston	MA	02284-4841		10/21/2022	\$638,794.10	Services
Medpace Research Inc	PO Box 844841		Boston	MA	02284-4841		10/21/2022	\$28,774.01	Services
Mercer Investments LLC	21875 Network Place		Chicago	IL	60673-1218		11/11/2022	\$6,250.00	Services
Mercer Investments LLC	21875 Network Place		Chicago	IL	60673-1218		1/10/2023	\$6,250.00	Services
Michael G Walker	Address on record						10/21/2022	\$23,010.00	Services
Michael G Walker	Address on record						11/9/2022	\$30,976.27	Services
Michael G Walker	Address on record						12/16/2022	\$4,160.00	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		10/19/2022	\$2,903.81	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		11/7/2022	\$1,145.75	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		11/19/2022	\$3,034.96	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		12/9/2022	\$695.75	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		12/10/2022	\$156.43	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		12/11/2022	\$3,003.89	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		1/6/2023	-\$252.00	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		1/7/2023	\$136.33	Services
Microsoft Corporation	One Microsoft Way		Redmond	WA	98052		1/10/2023	\$175.24	Services
Moss Adams LLP	PO Box 101822		Pasadena	CA	91189-1822		11/11/2022	\$55,000.00	Services
Moss Adams LLP	PO Box 101822		Pasadena	CA	91189-1822		12/6/2022	\$27,500.00	Services
Moss Adams LLP	PO Box 101822		Pasadena	CA	91189-1822		12/21/2022	\$9,200.00	Services
Moss Adams LLP	PO Box 101822		Pasadena	CA	91189-1822		1/4/2023	\$37,425.05	Services
Navdeep Tangri	Address on record						12/6/2022	\$51,704.67	Services
Navdeep Tangri	Address on record						1/4/2023	\$9,000.00	Services
NETASSIST INC	20488 Stevens Creek Blvd	Apt 2105	Cupertino	CA	95014		12/2/2022	\$1,000.00	Services
NETASSIST INC	20488 Stevens Creek Blvd	Apt 2105	Cupertino	CA	95014		12/21/2022	\$7,000.00	Services
ORACLE Netsuite	Bank of America Lockbox Services	15612 Collections Center Drive	Chicago	IL	60693		12/16/2022	\$48,548.06	Services
PCI Pharma Services	AndersonBrecon Inc	PO Box 22000	New York	NY	10087-2000		10/21/2022	\$11,283.00	Services
Pharmaceutical Research Associates Inc dba ICON plc	P.O. Box 200072		Dallas	TX	75320-0072		10/21/2022	\$644,097.30	Services
Pharmaceutical Research Associates Inc dba ICON plc	P.O. Box 200072		Dallas	TX	75320-0072		11/11/2022	\$762,719.63	Services
PharmaStat LLC	Attention: Carl Chesbrough	39270 Paseo Padre Parkway #102	Fremont	CA	94538-1616		10/21/2022	\$121,283.75	Services
PharmaStat LLC	Attention: Carl Chesbrough	39270 Paseo Padre Parkway #102	Fremont	CA	94538-1616		11/11/2022	\$176,958.75	Services
PPD Development LP	26361 Network Place		Chicago	IL	60673-1263		10/21/2022	\$121,815.41	Services
Resource Global Professionals	PO Box 740909		Los Angeles	CA	90074-0909		10/21/2022	\$1,338.00	Services
Resource Global Professionals	PO Box 740909		Los Angeles	CA	90074-0909		11/11/2022	\$1,348.00	Services
Resource Global Professionals	PO Box 740909		Los Angeles	CA	90074-0909		12/6/2022	\$4,265.50	Services

In re: Tricida, Inc.
Case No. 23-10024
Attachment 3

Certain payments or transfers to creditors within 90 days before filing this case

Creditor's name	Address 1	Address 2	City	State	Zip	Country	Date	Total amount or value	Reason for payment or transfer (e.g. Secured debt, Unsecured loan repayments, Suppliers or vendors, Services, or Other)
Resource Global Professionals	PO Box 740909		Los Angeles	CA	90074-0909		1/4/2023	\$1,867.50	Services
Resource Global Professionals	PO Box 740909		Los Angeles	CA	90074-0909		1/10/2023	\$372.00	Services
Sidley Austin LLP	P.O. Box 0642		Chicago	IL	60690		11/4/2022	\$527,992.50	Services
Sidley Austin LLP	P.O. Box 0642		Chicago	IL	60690		12/16/2022	\$118,341.76	Services
Sidley Austin LLP	P.O. Box 0642		Chicago	IL	60690		12/30/2022	\$188,792.90	Services
Sidley Austin LLP	P.O. Box 0642		Chicago	IL	60690		1/4/2023	\$223,731.77	Services
Strategic Health Resources	4565 Indiana Ave Suite 200		La Canada	CA	91011		10/21/2022	\$15,298.75	Services
Strategic Health Resources	4565 Indiana Ave Suite 200		La Canada	CA	91011		12/6/2022	\$9,552.50	Services
Strong Tower Solutions, Inc.	430 Reeds Road		Downingtown	PA	19335		10/21/2022	\$9,290.00	Services
Tabakman, Scott	Address on record						10/21/2022	\$11,945.13	Expense reimbursement
Tabakman, Scott	Address on record						1/4/2023	\$2,000.00	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		10/21/2022	\$16,750.00	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		11/11/2022	\$19,524.00	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		12/6/2022	\$28,972.50	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		12/16/2022	\$16,570.00	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		12/21/2022	\$9,287.00	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		1/4/2023	\$7,857.00	Services
The Ascent Services Group, Inc.	2400 Meadowbrook Pkwy		Duluth	GA	30096		1/10/2023	\$9,280.00	Services
The Lincoln National Life Insurance Company	P. O. Box 0821		Carol Stream	IL	60132-0821		10/31/2022	\$6,483.14	Services
The Lincoln National Life Insurance Company	P. O. Box 0821		Carol Stream	IL	60132-0821		11/28/2022	\$3,017.09	Services
The Lincoln National Life Insurance Company	P. O. Box 0821		Carol Stream	IL	60132-0821		12/27/2022	\$3,061.26	Services
The Lincoln National Life Insurance Company	P. O. Box 0821		Carol Stream	IL	60132-0821		1/10/2023	\$95.04	Services
U.S. BANK CORPORATE TRUST	60 Livingston Avenue		Saint Paul	MN	55107		1/10/2023	\$60,953.00	Services
U.S. Bank Trust N.A.	CM-9690	PO BOX 70870	Saint Paul	MN	55170-9690		1/11/2023	\$3,500,000.00	Interest on Convertible Senior Notes
Van Den Boom & Associates	9171 Towne Centre Drive, Suite 380		San Diego	CA	92122		10/21/2022	\$39,457.50	Services
Van Den Boom & Associates	9171 Towne Centre Drive, Suite 380		San Diego	CA	92122		12/6/2022	\$364.30	Services
Vanguard	c/o Ascensus	P.O. Box 28067	New York	NY	10087		10/19/2022	\$4,345.00	Services
Vanguard	c/o Ascensus	P.O. Box 28067	New York	NY	10087		1/4/2023	\$2,962.50	Services
Vanguard	c/o Ascensus	P.O. Box 28067	New York	NY	10087		1/10/2023	\$292.00	Services
Wagner, Troy	Address on record						12/2/2022	\$2,000.00	Services
Wagner, Troy	Address on record						12/2/2022	\$11,146.33	Expense reimbursement
Weir Catering and Events	975 Industrial Rd., Suite D.		San Carlos	CA	94070		11/9/2022	\$21,431.52	Services
WiLine Networks, Inc.	P.O. Box 102150		Pasadena	CA	91189-2150		10/21/2022	\$2,088.94	Services
WiLine Networks, Inc.	P.O. Box 102150		Pasadena	CA	91189-2150		12/6/2022	\$2,260.23	Services
WiLine Networks, Inc.	P.O. Box 102150		Pasadena	CA	91189-2150		12/21/2022	\$2,491.06	Services
WiLine Networks, Inc.	P.O. Box 102150		Pasadena	CA	91189-2150		1/10/2023	\$2,441.06	Services
Workiva, Inc.	2900 University Blvd.		Ames	IA	50010		12/21/2022	\$9,707.90	Services
Worldwide Clinical Trials Ltd	Fourth Floor, East West	Tollhouse Hill	Nottingham		NG1 5FS	United Kingdom	10/19/2022	\$437,936.71	Services
Worldwide Clinical Trials Ltd	Fourth Floor, East West	Tollhouse Hill	Nottingham		NG1 5FS	United Kingdom	11/9/2022	\$561,061.83	Services

In re: Tricida, Inc.
 Case No. 23-10024
 Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Alpern, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/25/2022	\$11,250.00	Board Fees	Director
Alpern, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/10/2022	\$11,250.00	Board Fees	Director
Alpern, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/23/2022	\$11,250.00	Board Fees	Director
Alpern, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/9/2022	\$3,489.00	Expense Reimbursement	Director
Alpern, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/6/2022	\$22,500.00	Board Fees	Director
Auburndale Advisors, LLC	6799 Collins Avenue #1205		Miami	FL	33141	1/04/2023	\$25,000.00	Board Fees	Director
Coufal, Sandra	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/25/2022	\$11,875.00	Board Fees	Board Member
Coufal, Sandra	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/10/2022	\$11,875.00	Board Fees	Board Member
Coufal, Sandra	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/23/2022	\$11,875.00	Board Fees	Board Member
Coufal, Sandra	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/18/2022	\$1,379.39	Expense reimbursements	Board Member
Coufal, Sandra	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/6/2022	\$23,750.00	Board Fees	Board Member
Falberg, Kathryn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/25/2022	\$15,000.00	Board Fees	Director
Falberg, Kathryn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/10/2022	\$15,000.00	Board Fees	Director
Falberg, Kathryn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/23/2022	\$15,000.00	Board Fees	Director
Falberg, Kathryn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/6/2022	\$30,000.00	Board Fees	Director
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/13/2022	\$2,483.12	Expense Reimbursement	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/14/2022	\$25,150.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/31/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/28/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/7/2022	\$270,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/31/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/15/2022	\$588.38	Expense Reimbursement	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/15/2022	\$25,150.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/29/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/13/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/31/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/3/2022	\$120,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/30/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/29/2022	\$25,150.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/5/2022	\$9,516.60	Expense Reimbursement	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/31/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/30/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$150.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/21/2022	\$16,432.35	Expense Reimbursement	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/31/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/5/2022	\$1,131.55	Expense Reimbursement	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/21/2022	\$780,000.00	Retention payment	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/30/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$46,153.60	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$150.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$25,000.00	Payroll	Chief Executive Officer
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/30/2022	\$25,000.00	Payroll	Chief Executive Officer

In re: Tricida, Inc.

Case No. 23-10024

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Longitude Capital Management Co. LLC	2740 Sand Hill Road	2nd Floor	Menlo Park	CA	94025	2/25/2022	\$14,375.00	Board Fees	Director (David Hirsch)
Longitude Capital Management Co. LLC	2740 Sand Hill Road	2nd Floor	Menlo Park	CA	94025	6/10/2022	\$14,375.00	Board Fees	Director (David Hirsch)
Longitude Capital Management Co. LLC	2740 Sand Hill Road	2nd Floor	Menlo Park	CA	94025	9/21/2022	\$14,375.00	Board Fees	Director (David Hirsch)
Longitude Capital Management Co. LLC	2740 Sand Hill Road	2nd Floor	Menlo Park	CA	94025	12/6/2022	\$25,625.00	Board Fees	Director (David Hirsch)
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/14/2022	\$16,816.67	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/31/2022	\$16,666.67	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/15/2022	\$16,666.67	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/28/2022	\$16,666.67	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/7/2022	\$120,000.00	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/31/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/15/2022	\$17,483.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/29/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/13/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/31/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/3/2022	\$50,000.00	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/24/2022	\$42.00	Expense Reimbursement	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/30/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/8/2022	\$7,642.85	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/16/2022	\$10,671.17	Expense Reimbursement	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/29/2022	\$17,483.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/31/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/30/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$150.00	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/31/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/21/2022	\$520,000.00	Retention payment	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/30/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$17,333.34	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$150.00	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$9,924.00	Payroll	Executive Vice President
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/30/2022	\$17,333.34	Payroll	Executive Vice President
Orbimed Advisors LLC	601 Lexington Avenue 54th Floor		New York	NY	10022	2/25/2022	\$17,500.00	Board Fees	Director (David Bonita)
Orbimed Advisors LLC	601 Lexington Avenue 54th Floor		New York	NY	10022	6/10/2022	\$17,500.00	Board Fees	Director (David Bonita)
Orbimed Advisors LLC	601 Lexington Avenue 54th Floor		New York	NY	10022	9/21/2022	\$17,500.00	Board Fees	Director (David Bonita)
Orbimed Advisors LLC	601 Lexington Avenue 54th Floor		New York	NY	10022	10/21/2022	\$2,381.41	Expense Reimbursement	Director (David Bonita)
Orbimed Advisors LLC	601 Lexington Avenue 54th Floor		New York	NY	10022	12/6/2022	\$35,000.00	Board Fees	Director (David Bonita)
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/14/2022	\$18,200.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/31/2022	\$18,200.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/15/2022	\$18,200.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/28/2022	\$18,200.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/7/2022	\$147,420.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/31/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/15/2022	\$18,564.00	Payroll	Executive Vice President

In re: Tricida, Inc.

Case No. 23-10024

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount		Relationship to debtor
							or value	Reasons for payment or transfer	
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/29/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/13/2022	\$1,878.30	Expense Reimbursement	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/13/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/31/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/3/2022	\$54,600.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/30/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/29/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/16/2022	\$6,609.37	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/31/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/30/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/26/2022	\$1,469.20	Expense Reimbursement	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/31/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/11/2022	\$1,659.31	Expense Reimbursement	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/21/2022	\$556,920.00	Retention payment	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/30/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$18,564.00	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$28,000.22	Payroll	Executive Vice President
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/30/2022	\$18,564.00	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/14/2022	\$18,396.34	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	1/31/2022	\$18,246.34	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/15/2022	\$18,246.34	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/28/2022	\$18,246.34	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/7/2022	\$147,795.00	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	3/31/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/15/2022	\$18,761.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	4/29/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/13/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	5/31/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/3/2022	\$54,739.00	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/24/2022	\$1,440.30	Expense Reimbursement	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/30/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/8/2022	\$7,642.85	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/16/2022	\$1,498.53	Expense Reimbursement	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	7/29/2022	\$18,761.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	8/31/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/29/2022	\$2,618.96	Expense Reimbursement	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/30/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$150.00	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/14/2022	\$18,611.27	Payroll	Executive Vice President

In re: Tricida, Inc.

Case No. 23-10024

Attachment 4

Payments or other transfers of property made within 1 year before filing this case that benefited any insider

Insider's name	Address 1	Address 2	City	State	Zip	Date	Total amount or value	Reasons for payment or transfer	Relationship to debtor
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/16/2022	\$1,366.00	Expense Reimbursement	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	10/31/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/21/2022	\$558,338.00	Retention payment	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	11/30/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$150.00	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$34,360.00	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/15/2022	\$18,611.27	Payroll	Executive Vice President
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/30/2022	\$18,611.27	Payroll	Executive Vice President
Veitinger, Klaus	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	2/25/2022	\$27,500.00	Board Fees	Director
Veitinger, Klaus	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	6/10/2022	\$27,500.00	Board Fees	Director
Veitinger, Klaus	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	9/23/2022	\$27,500.00	Board Fees	Director
Veitinger, Klaus	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	12/6/2022	\$58,125.00	Board Fees	Director

In re: Tricida, Inc.

Case No. 23-10024

Attachment 7

Legal actions, administrative proceedings, court actions, executions, attachments, or governmental audits

Case Title	Case number	Nature of case	Court name	Court address 1	Court address 2	Court City	Court State	Court Zip	Status of case (e.g. Pending, On appeal, Concluded)
In Re Tricida Stockholder Derivative Litigation	Master File No. 1:21-cv-00205-RGA	Derivative Securites Case	U.S. District Court, District of Delaware	J. Caleb Boggs Federal Building	844 N. King Street	Wilmington	DE	19801-3555	Pending
MedPace Research Inc., et al. v. Tricida, Inc.	Case No. A2204678	Contract Dispute	The Court of Common Pleas, Hamilton County, Ohio	Hamilton County Courthouse	1000 Main St UNIT 315	Cincinnati	OH	45202	Pending
Pardi, et al. v. Tricida, Inc., et al.	Case No. 21-cv-00076-HSG	Securities Class Action	U.S. District Court, Northern District of California	Oakland Courthouse, Courtroom 2 – 4th Floor	1301 Clay Street	Oakland	CA	94612	Pending
Randy Bates v. Tricida, Inc.	WCAB No. ADJ17005589	Workers Comp Claim	California Workers' Compensation Appeals Board	Van Nuys State Office Building	6150 Van Nuys Blvd #110	Van Nuys	CA	91401	Pending

In re: Tricida, Inc.

Case No. 23-10024

Attachment 9

Certain Gifts and Charitable Contributions

Recipient's name	Address 1	City	State	Zip	Description of the gifts or contributions	Dates given	Value
American Kidney Fund, Inc.	11921 Rockville Pike, Suite 300	Rockville	MD	20852	AKF Sponsorship 2022	3/14/2022	\$10,000.00
American Society of Nephrology	1410 H Street, NW, Ste 900	Washington	DC	20005	2022 Ancillary Event Fee	7/14/2022	\$5,000.00
National Kidney Foundation	30 E 33rd Street	New York	NY	10016-5337	"Are You the 33%?" campaign	2/11/2021	\$225,000.00
National Kidney Foundation	30 E 33rd Street	New York	NY	10016-5337	2020 National Kidney Foundation Bay Area Kidney Walk	2/11/2021	\$25,000.00
National Kidney Foundation	30 E 33rd Street	New York	NY	10016-5337	NKF Policy Coalition Dues 2021	5/20/2022	\$5,000.00
National Kidney Foundation	30 E 33rd Street	New York	NY	10016-5337	"Are You the 33%?" campaign	6/10/2022	\$25,000.00
United Way	701 North Fairfax Street	Alexandria	VA	22314-2045	Donation	5/12/2022	\$5,000.00
University of Florida Foundation, Inc	PO Box 100386	Gainesville	FL	32610-0386	Educational Grants	11/24/2021	\$5,000.00
USA for UNHCR	1310 L ST NW, STE 450	Washington	DC	20005	Donation	3/1/2022	\$15,000.00

In re: Tricida, Inc.

Case No. 23-10024

Attachment 11

Payments related to bankruptcy

Who was paid or who received the transfer?	Address 1	City	State	Zip	Email or website address	Dates	Total amount or value
Davis Polk & Wardwell LLP	450 Lexington Avenue	New York	NY	10017	davispolk.com	1/10/2023	\$337,687.69
FTI Consulting, Inc.	16701 MELFORD BLVD., SUITE 200	Bowie	MN	20715	fticonsulting.com	1/10/2023	\$256,695.43
Kurtzman Carson Consultants, Inc.,	150 Royall Street	Canton	MA	02021	kccllc.com	1/5/2023	\$65,000.00
Kurtzman Carson Consultants, Inc.,	150 Royall Street	Canton	MA	02021	kccllc.com	1/10/2023	\$50,000.00
Miller Buckfire & Co, LLC	787 Seventh Avenue, 5th Floor	New York	NY	10019	stifelinstitutional.com	12/15/2022	\$205,889.27
Miller Buckfire & Co, LLC	787 Seventh Avenue, 5th Floor	New York	NY	10019	stifelinstitutional.com	1/4/2023	\$100,424.38
Sidley Austin LLP	P.O. Box 0642	Chicago	IL	60690	sidley.com	11/4/2022	\$222,007.50
Sidley Austin LLP	P.O. Box 0642	Chicago	IL	60690	sidley.com	12/16/2022	\$608,573.24
Sidley Austin LLP	P.O. Box 0642	Chicago	IL	60690	sidley.com	12/30/2022	\$561,207.10
Sidley Austin LLP	P.O. Box 0642	Chicago	IL	60690	sidley.com	1/4/2023	\$526,268.23
SierraConstellation Partners LLC	355 S. Grand Avenue, Suite 1450	Los Angeles	CA	90071	sierraconstellation.com	11/17/2022	\$75,000.00
SierraConstellation Partners LLC	355 S. Grand Avenue, Suite 1450	Los Angeles	CA	90071	sierraconstellation.com	12/6/2022	\$51,571.50
SierraConstellation Partners LLC	355 S. Grand Avenue, Suite 1450	Los Angeles	CA	90071	sierraconstellation.com	12/9/2022	\$84,274.50
SierraConstellation Partners LLC	355 S. Grand Avenue, Suite 1450	Los Angeles	CA	90071	sierraconstellation.com	12/21/2022	\$36,614.58
SierraConstellation Partners LLC	355 S. Grand Avenue, Suite 1450	Los Angeles	CA	90071	sierraconstellation.com	1/4/2023	\$278,658.55
SierraConstellation Partners LLC	355 S. Grand Avenue, Suite 1450	Los Angeles	CA	90071	sierraconstellation.com	1/10/2023	\$57,142.00
Young Conaway Stargatt & Taylor, LLP	1000 North King Street	Wilmington	DE	19801	youngconaway.com	1/4/2023	\$101,717.00
Young Conaway Stargatt & Taylor, LLP	1000 North King Street	Wilmington	DE	19801	youngconaway.com	1/10/2023	\$100,000.00

In re: Tricida, Inc.
Case No. 23-10024
Attachment 20
Off-premises storage

Facility name	Address 1	Address 2	City	State	Zip	Names of anyone with access to it	Description of contents	Does debtor still have it? (Y/N)
Clinigen Clinical Supplies Management Fargo	342 42nd St S		Fargo	ND	58103	Laura Kurtz	IMP returns processing and destruction for US and Canada sites.	Y
Clinigen Clinical Supplies Management Frankfurt	Am Kronberger Hang 3		65824 Schwalbach a.Ts.	Germany		Angelika Poellmann	IMP and I-STAT returns, processing, storage and destruction	Y
Clinigen Clinical Supplies Management Malvern	300 Technology Drive		Malvern	PA	19355	Willie Hill	IMP storage of undistributed inventory and destruction. Principal/parent contract holder for all World Courier depots.	Y
Contract Source Interiors	3392 Foothills Ccreek Road		Gold Hill	OR	97525	Ron or Laurie Ladeux	Cubicle Storage	Y
Corodata	450 Charcott Ave.		San Jose	CA	95131		Offsite File Storage	Y
Deployed Logistics	3873 S. Main St.		Santa Ana	CA	92707	Bob Rosol	Responsible for collection and returning iSTAT devices to the Company	Y
Life Science Logistics LLC	1105 E Northfield Dr		Brownsburg	IN	46112		Storage of veverimer drug substance	Y
PCI Pharma Services	4545 Assembly Dr		Rockford	IL	61109	Gary Fisher Kristi Czepczynski	Storage of veverimer drug substance and drug product	Y
World Courier Argentina Depot	Bauness 845	Capital Federal	Buenos Aires	Argentina	C1427BCI	Aldana Rizzi, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y
World Courier Australia Depot	18 Reid Way	Melbourne Airport	Victoria	Australia	3045	Bill Anastasopoulos, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y
World Courier Brazil Depot	Rodovia Anhanguera, s/n - km 15 - Boxes 7 and 8 Terreo and Mesanino - Pirituba	Centro Logistico Anhanguera CEP 05112-000	Sao Paulo	Brazil		Ana Carolina Nogueira Duarte, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y
World Courier Chile Depot	Lira 353		Santiago de Chile	Chile	8330289	Irma Barrenechea, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y

In re: Tricida, Inc.
Case No. 23-10024
Attachment 20
Off-premises storage

Facility name	Address 1	Address 2	City	State	Zip	Names of anyone with access to it	Description of contents	Does debtor still have it? (Y/N)
World Courier Colombia Depot	Av. Calle 24 No. 95-12 Bodega 42	Parque Industrial Portos	Bogotá	Colombia	11001000	Gelver Leon, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y
World Courier Mexico Depot	Av. Gustavo Baz No. 176 Bodega 1	San Jerónimo Tepetlcalco	Tlalnepantla	Estado de México	54090	Jesus Lopez Moreno, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y
World Courier Singapore Depot	5 Changi North Street 1		Singapore		498766	Audrey Toh, depot manager	All world courier depots are contracted via CCSM Malvern, US and carry out IMP and I-STAT returns, processing, storage and destruction.	Y

In re: Tricida, Inc.

Case No. 23-10024

Attachment 28

Current Partners, Officers, Directors and Shareholders

Name	Address 1	Address 2	City	State	Zip	Position and nature of any interest	% of interest, if any
Alpern, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Director	0.0%
Auburndale Advisors, LLC	6799 Collins Avenue #1205		Miami	FL	33141	Director	0%
Falberg, Kathryn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Director	0.1%
Klaerner, Gerrit	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Chief Executive Officer & President	0.6%
Longitude Capital Management Co. LLC	2740 Sand Hill Road	2nd Floor	Menlo Park	CA	94025	Director (David Hirsch)	5.2%
McKague, Robert	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Executive Vice President, General Counsel & Chief Compliance Officer	<0.1%
Orbimed Advisors LLC	601 Lexington Avenue 54th Floor		New York	NY	10022	Director (David Bonita)	0%
Otto, Dawn	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Executive Vice President, Clinical Development	<0.1%
Parker, Geoffrey	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Executive Vice President, Chief Operating Officer & Chief Financial Officer	0.7%
Sandra Coufal MD	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Director	0.2%
Veitinger, Klaus	7000 Shoreline Court	Suite 201	South San Francisco	CA	94080	Director	0.2%