Your claim can be filed electronically on KCC's website at https://epoc.kccllc.net/Tricida.

Fill in this inf	0 m	
Debtor	Tricida, Inc.	<u> </u>
United States B	ankruptcy Court for the District of Delaware	
Case number	23-10024	<u> </u>

## Official Form 410

## **Proof of Claim**

04/22

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Other than a claim under 11 U.S.C. § 503(b)(9), this form should not be used to make a claim for an administrative expense arising after the commencement of the case.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies or any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed.

Who is the current creditor?	Name of the current creditor (the person or entity to be paid for this class)  Other names the creditor used with the debtor		
Has this claim been acquired from someone else?	No Yes. From whom?		
3. Where should notices and payments to the	Where should notices to the creditor be sent? Aしつない とらといているし Name	Where should payments to the creditor different)	be sent? (if
creditor be sent?	Name	Name	
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Z1221         GIFT         ROAD           Number         Street           BEND         DR         97703           City         State         ZIP Code	Number Street	
(1 ND1 / 2002(g)		City State	ZIP Cod
	Country	Country	
ANTON TANTED	Contact phone 541-325-9466	Contact phone	
FEB 2 2 2023	Contact email KSENZULALO CONTLOCK	Contact email	
IAN CARSON CONSINTANTS	Uniform claim identifier for electronic payments in chapter 13 (if you us	se one):	
Does this claim     amend one already	<b>⊠</b> No		
filed?	Yes. Claim number on court claims registry (if known	) Filed on	/ YYYY
5. Do you know if anyone else has filed	⊠ No		
anyone else has filed a proof of claim for this claim?	Yes. Who made the earlier filing?	<del></del>	

Pa	ort 2: Give Information Abo	ut the Claim as of the Date the Case Was Filed
6.	Do you have any number you use to identify the debtor?	No  No  Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor:    9 9 9   R
7.	How much is the claim?	S Does this amount include interest or other charges?  No  Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).
8.	What is the basis of the claim?	Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.  Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).  Limit disclosing information that is entitled to privacy, such as health care information.
9.	Is all or part of the claim secured?	Yes. The claim is secured by a lien on property.  Nature of property:  Real estate: If the claim is secured by the debtor's principal residence, file a Mortgage Proof of Claim Attachment (Official Form 410-A) with this Proof of Claim.  Motor vehicle  Other. Describe:  Basis for perfection:  Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)  Value of property:  Amount of the claim that is secured:  Amount of the claim that is unsecured:  [The sum of the secured and unsecured amount should match the amount in line 7.)
	RECEIVED	Amount necessary to cure any default as of the date of the petition: \$
	FEB 2 2 2023	☐ Fixed
10	). Is this claim based on a lease?	No     Yes. Amount necessary to cure any default as of the date of the petition. \$
11	. Is this claim subject to a right of setoff?	No  Yes. Identify the property:

12. Is all or part of the claim entitled to priority under	<b>⊠</b> No		
11 U.S.C. § 507(a)?	Yes. C	heck all that apply:	Amount entitled to priori
A claim may be partly priority and partly nonpriority. For example,	☐ Do	mestic support obligations (including alimony and child support) under U.S.C. § 507(a)(1)(A) or (a)(1)(B).	\$
in some categories, the law limits the amount	Up se	to \$3,350* of deposits toward purchase, lease, or rental of property or vices for personal, family, or household use. 11 U.S.C. § 507(a)(7).	\$
entitled to priority.	da	ages, salaries, or commissions (up to \$15,150*) earned within 180 ys before the bankruptcy petition is filed or the debtor's business ends, ichever is earlier. 11 U.S.C. § 507(a)(4).	\$
	☐ Ta	xes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).	\$
	☐ Co	ntributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).	\$
	☐ Ot	her. Specify subsection of 11 U.S.C. § 507(a)() that applies.	\$
	* Amo	unts are subject to adjustment on 4/01/25 and every 3 years after that for cases begi	un on or after the date of adjustmen
13. Is all or part of the claim	No No	•	
pursuant to 11 U.S.C. § 503(b)(9)?	days h	ndicate the amount of your claim arising from the value of any goods red efore the date of commencement of the above case, in which the good tinary course of such Debtor's business. Attach documentation support	s have been sold to the Debto
	\$	·	•
Part 3: Sign Below			
The names completing	Chook the an	propriate box:	
The person completing this proof of claim must	_	•	
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2423 E. LINCOLN DRIVE MAIL STOP 01-018572 PHOENIX, AZ 85016

A BANKRUPTCY W/ PROOF OF CLAIM SECURITY DESCRIPTION: TRICIDA INC

CUSIP#: ACCOUNT#: 89610F101

999R

01/30/23

QUANTITY:

200

.

Dear Client,

\*\*\*\*\*\*\*AUTO\*\*ALL FOR ADC 970 ANDREW C KSENZULAK 21221 GIFT RD BEND OR 97703

We have been requested to forward you the enclosed material. Please review the enclosed documents for instructions on how to submit a claim. If you have any questions pertaining to this notice or on how to submit a claim, please contact the litigation administrator that is referenced on the notice.

FOR INFORMATION CALL:

SCHWAB AT

(800) 435-4000

扔

JOB NUMBER: E33033 164

CONTROLS: 811162959505

Subject: Fwd: Trade Notification - Account ending in 999

Date: Wednesday, February 15, 2023 at 15:48:18 Pacific Standard Time

From: Andrew Ksenzulak
To: Andrew Ksenzulak

----- Forwarded message -----

From: Schwab Alerts < donotreply-comm@schwab.com>

Date: Mon, Mar 29, 2021 at 6:54 AM

Subject: Trade Notification - Account ending in 999

To: < ksenzulak@gmail.com >



**Trade Notification** 

March 29, 2021 | your account ending: 999

## Trade Notification

The following was processed in your account ending in 999 on 03/29/2021:

Settle Date:

03/31/2021

Action:

Bought

Quantity:

200

Symbol:

**TCDA** 

**Unit Price:** 

\$5.28

\*Principal Amount:

\$1,056.00

This is not an official trade confirmation. You will receive your official trade confirmation via U.S. mail or via Schwab eConfirms™. \*Commissions and fees are not included in the principal amount listed above.

## UNSUBSCRIBE : PRIVACY - CONTACTUS . F. GELOGIN : F

If you have any questions or concerns, PLEASE DO NOT REPLY TO THIS EMAIL. Instead, visit <u>Schwab.com/contactus</u> to identify a fast and simple way to get in touch.

For your protection, we are unable to accept instructions to change your email address sent in reply to this message. To update your address using a secure channel, please <u>log in</u> to your

account.

For your security, Charles Schwab will never request personal information through email.

View SchwabSafe® and The Schwab Security Guarantee.

Notice: All email sent to or from the Charles Schwab corporate email system is subject to archival, monitoring and/or review by Schwab personnel.

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