

Fill in this information to identify the case:

Debtor 1 Tricida, Inc.
 Debtor 2 _____
 (Spouse, if filing)
 United States Bankruptcy Court for the: _____ District of Delaware
 Case number 23-10024-JTD

- Date Stamped Copy Returned
- No self addressed stamped envelope
- No copy to return

Official Form 410
Proof of Claim

12/15

Read the instructions before filling out this form. This form is for making a claim for payment in a bankruptcy case. Do not use this form to make a request for payment of an administrative expense. Make such a request according to 11 U.S.C. § 503.

Filers must leave out or redact information that is entitled to privacy on this form or on any attached documents. Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages, and security agreements. Do not send original documents; they may be destroyed after scanning. If the documents are not available, explain in an attachment.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 5 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Fill in all the information about the claim as of the date the case was filed. That date is on the notice of bankruptcy (Form 309) that you received.

Part 1: Identify the Claim

1. Who is the current creditor? Ann Neilson and Luke Neilson (minor)
 Name of the current creditor (the person or entity to be paid for this claim)
 Other names the creditor used with the debtor Ann L. Neilson

2. Has this claim been acquired from someone else? No
 Yes. From whom? _____

3. Where should notices and payments to the creditor be sent?	Where should notices to the creditor be sent?	Where should payments to the creditor be sent? (if different)
Federal Rule of Bankruptcy Procedure (FRBP) 2002(g)	Name <u>Ann Neilson</u> Number <u>6517</u> Street <u>Westridge Avenue</u> City <u>Bend, OR</u> State <u>OR</u> ZIP Code <u>97702</u> Contact phone <u>541.749.8480</u> Contact email <u>anneilson.rd@gmail.com</u>	Name <u>Ann Neilson or Luke (minor) Neilson</u> Number <u>6517</u> Street <u>Westridge Avenue</u> City <u>Bend, OR</u> State <u>OR</u> ZIP Code <u>97702</u> Contact phone <u>541.647.0452</u> Contact email <u>luke.m.neilson@gmail.com</u>

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Uniform claim identifier for electronic payments in chapter 13 (if you use one): _____

4. Does this claim amend one already filed? No
 Yes. Claim number on court claims registry (if known) _____ Filed on _____ MM / DD / YYYY

5. Do you know if anyone else has filed a proof of claim for this claim? No
 Yes. Who made the earlier filing? _____



231002423032000000000001

Part 2: Give Information About the Claim as of the Date the Case Was Filed

6. Do you have any number you use to identify the debtor? No
 Yes. Last 4 digits of the debtor's account or any number you use to identify the debtor: _____

7. How much is the claim? \$ 89,010.38 Does this amount include interest or other charges?
 No
 Yes. Attach statement itemizing interest, fees, expenses, or other charges required by Bankruptcy Rule 3001(c)(2)(A).

8. What is the basis of the claim? Examples: Goods sold, money loaned, lease, services performed, personal injury or wrongful death, or credit card.
Attach redacted copies of any documents supporting the claim required by Bankruptcy Rule 3001(c).
Limit disclosing information that is entitled to privacy, such as health care information.
Stock owners, ESPP owner, custodial account owner

9. Is all or part of the claim secured? No
 Yes. The claim is secured by a lien on property.
Nature of property:
 Real estate. If the claim is secured by the debtor's principal residence, file a *Mortgage Proof of Claim Attachment* (Official Form 410-A) with this *Proof of Claim*.
 Motor vehicle
 Other. Describe: _____

Basis for perfection: _____
Attach redacted copies of documents, if any, that show evidence of perfection of a security interest (for example, a mortgage, lien, certificate of title, financing statement, or other document that shows the lien has been filed or recorded.)

Value of property: \$ _____

Amount of the claim that is secured: \$ _____

Amount of the claim that is unsecured: \$ _____ (The sum of the secured and unsecured amounts should match the amount in line 7.)

Amount necessary to cure any default as of the date of the petition: \$ _____

Annual Interest Rate (when case was filed) _____ %

Fixed
 Variable

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10. Is this claim based on a lease? No
 Yes. Amount necessary to cure any default as of the date of the petition. \$ _____

11. Is this claim subject to a right of setoff? No
 Yes. Identify the property: _____

12. Is all or part of the claim entitled to priority under 11 U.S.C. § 507(a)?

No

Yes. Check one:

A claim may be partly priority and partly nonpriority. For example, in some categories, the law limits the amount entitled to priority.

Domestic support obligations (including alimony and child support) under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B).

Amount entitled to priority

\$ _____

Up to \$2,775* of deposits toward purchase, lease, or rental of property or services for personal, family, or household use. 11 U.S.C. § 507(a)(7).

\$ _____

Wages, salaries, or commissions (up to \$12,475* earned within 180 days before the bankruptcy petition is filed or the debtor's business ends, whichever is earlier. 11 U.S.C. § 507(a)(4).

\$ _____

Taxes or penalties owed to governmental units. 11 U.S.C. § 507(a)(8).

\$ _____

Contributions to an employee benefit plan. 11 U.S.C. § 507(a)(5).

\$ 15,697.92

Other. Specify subsection of 11 U.S.C. § 507(a)() that applies.

\$ _____

* Amounts are subject to adjustment on 4/01/16 and every 3 years after that for cases begun on or after the date of adjustment.

Part 3: Sign Below

The person completing this proof of claim must sign and date it. FRBP 9011(b).

If you file this claim electronically, FRBP 5005(a)(2) authorizes courts to establish local rules specifying what a signature is.

A person who files a fraudulent claim could be fined up to \$500,000, imprisoned for up to 6 years, or both. 18 U.S.C. §§ 152, 157, and 3571.

Check the appropriate box:

I am the creditor.

I am the creditor's attorney or authorized agent.

I am the trustee, or the debtor, or their authorized agent. Bankruptcy Rule 3004.

I am a guarantor, surety, endorser, or other codebtor. Bankruptcy Rule 3005.

I understand that an authorized signature on this *Proof of Claim* serves as an acknowledgment that when calculating the amount of the claim, the creditor gave the debtor credit for any payments received toward the debt.

I have examined the information in this *Proof of Claim* and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on date 03/01/2023
MM / DD / YYYY


Signature

Print the name of the person who is completing and signing this claim:

Name Ann Lynn Neilson
First name Middle name Last name

Title _____

Company _____

Identify the corporate servicer as the company if the authorized agent is a servicer.

Address 61517 Westridge Avenue
Number Street

Bend, OR 97702
City State ZIP Code

Contact phone 541.749.8480 Email anneilson.rd@gmail.com

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March 1, 2023

etrade Accounts for Ann Neilson
custodial etrade Accounts for Luke Neilson

Stock Plan (TCDAQ) - 8610

Employee Stock Purchase Plan (ESPP)

Quantity = 72 shares

Grant Date = January 2, 2020

\$23.36

Discount percent = 15%

* 672

Grant date FMV = \$37.19

\$15,697.⁹²

Purchase date FMV = \$27.48

Est Cost Basis (per share) = \$23.36

Qualified Plan = Qualified

Contribution Source = Employee Contribution

Individual Brokerage - 8610

\$7.36

TCDAQ Quantity of Shares = 6,473

* 6,473

Price Paid = \$7.36

\$47,641.28

Roth IRA - 8546

TCDAQ Quantity of Shares = 2,754

\$6.69

Price Paid = \$6.69

* 2,754

\$18,424.26

Custodian UTMA / UGMA - 9186

TCDAQ Quantity of Shares = 786

\$9.22

Price Paid = \$9.22

* 786

\$7,246.⁹²

TOTAL TCDAQ (debtor) owes

Ann Neilson (creditor) = \$81,763.46

Luke Neilson (minor creditor) = \$7,246.⁹²

\$89,010.38

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Custodian UTMA/UGMA -9186 ▾



Portfolio Balances Orders Transactions Doc >

Net Account Value

\$527.06

Day's Gain

\$8.28

5.05%

Total Gain

-\$7,269.12

-97.68%

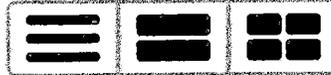
Cash

\$354.56

More Info >

Standard

Options



Symbol ▲	Price Paid	Total Gain \$	Qty
GMDA	1.97	-\$35.05	100
TCDAQ	9.22	-\$7,234.08	786

As of 1:58 PM ET 03/13/2023



Accounts



Watch Lists



Trade



Markets



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Individual Brokerage -8610 ▾



Portfolio Balances Orders Transactions Doc >

Net Account Value

\$1,828.18

Day's Gain

\$83.49

4.79%

Total Gain

-\$48,246.94

-96.35%

Cash

\$0.30

More Info >

Standard

Options



Symbol ▲	Price Paid	Total Gain \$	Qty
ACER	1.26	\$45.09	100
GMDA	2.42	-\$752.59	940
OMER	1.98	\$20.37	14
TCDAQ	7.36	-\$47,559.82	6473

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Accounts



Watch Lists



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Markets



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Roth IRA -8546 ▾



Portfolio Balances Orders Transactions Doc >

Net Account Value

\$402.48

Day's Gain
\$19.62
5.13%

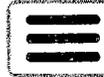
Total Gain
-\$20,243.58
-98.05%

Cash
\$0.55

More Info >

Standard

Options



Symbol ▲	Price Paid	Total Gain \$	Qty
GMDA	9.90	-\$1,865.25	225
TCDAQ	6.69	-\$18,378.34	2754

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Accounts



Watch Lists



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Markets



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Stock Plan (TCDAQ) -8610 ▾



Overview / Holdings Sell / Exercise Orders Dc >

confirmation documents here.

2022 1099-B Tax Document

2022 Stock Plans Supplemental Statement

Total Account Value ⓘ	\$9.41
Day's Change	\$0.67 (+7.64%)
Current Account Value ⓘ	\$9.41
Potential Benefit Value ⓘ	\$0.00

View By Type
View By Status

Employee Stock Purchase Plan (ESPP) ^

Est. Market Value	\$9.41
Purchase Date	06/30/2020
Purchase Price	\$23.36
Purchased Qty.	672
Sellable Qty.	672
Expected Gain/Loss	-\$15,687.17

▾ Detailed Information

Sell / Exercise

